RealTime Transcriptions

TRANSCRIPTION OF THE

COMMISSION OF INQUIRY

MARIKANA

BEFORE TRIBUNAL

THE HONOURABLE MR JUSTICE FARLAM (RETIRED) - CHAIRPERSON MR TOKOTA SC MS HEMRAJ SC

HELD ON

DAY 283 2 SEPTEMBER 2014 PAGES 36453 TO 36662



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    [PROCEEDINGS ON 2 SEPTEMBER 2014]
                                                                             MS MOTLOENYA:
                                                                                                    Okay, and then Lebo and
    [09:21] CHAIRPERSON:
                                    The Commission resumes.
                                                                      Ally, who are they and - first two questions, who was Lebo
2
3
    You're still under oath, Mr Blou.
                                                                      and who was Ally?
4
           MNTUNAYE HENRY BLOU:
                                            [s.u.o.]
                                                                   4
                                                                             MR BLOU:
                                                                                              Chairperson, Lebo is Lebogang
5
           CHAIRPERSON:
                                                                      Runto. Ms Lebogang is a security superintendent working
                                  Who is going to cross-
    examine on behalf of the families?
                                                                   6
                                                                      for Lonmin Platinum.
6
7
           MS MOTLOENYA:
                                                                   7
                                                                             MS MOTLOENYA:
                                   I am, Chair.
                                                                                                    And Ally?
           CHAIRPERSON:
                                                                   8
8
                                  Please commence.
                                                                             MR BLOU:
                                                                                             And then Ally referred to there
9
           CROSS-EXAMINATION BY MS MOTLOENYA:
                                                                   9
                                                                      would have been Ally Chamberlain. Mr Chamberlain works for
                                                                      the Emergency and Disaster Management which is adjoined to
10
    Morning, Mr Blou.
                                                                  10
           MR BLOU:
                                                                  11
                                                                      Mining Security for Lonmin Platinum.
11
                            Morning, Ma'am.
                                                                  12
                                                                             MS MOTLOENYA:
12
           MS MOTLOENYA:
                                   My name is Tholoana
                                                                                                    So there was no one that
    Motloenya and I represent the families of the three
                                                                  13
                                                                      was representing NUM at that meeting?
13
14
    strikers that were killed on the 13th and 33 of the 34 that
                                                                  14
                                                                             MR BLOU:
                                                                                              Chairperson, if it, as it
    were killed on the 16th.
                                                                  15
                                                                      appears there I do not remember seeing them, but I know
15
                                                                      there were meetings with NUM. I do not know whether it was
16
           MR BLOU:
                            Yes, Chairperson.
17
           MS MOTLOENYA:
                                   And I will be cross-
                                                                  17
                                                                      maybe another meeting.
18
    examining on their behalf. Mr Blou, if we can start off
                                                                  18
                                                                             MS MOTLOENYA:
                                                                                                    Because the difficulty
19
    with the events of the 11th of August, just to deal with the
                                                                  19
                                                                      that I'm having, I'm just trying to clarify is that Mr
20
    Lonmin Security management meeting, if we can just have
                                                                  20
                                                                      Botes testified about this briefing and he testified that
                                                                      the NUM was present at this meeting, this 14h00 meeting.
21
    exhibit EEEE19 on the screen, please. That's the logbook,
                                                                  21
                                                                  22
22
    and go to the 11th of August and the entry for 14h00. Ja,
                                                                             MR BLOU:
                                                                                              Right.
23
                                                                  23
    there we go. Now you see there it says "Briefed by HB and
                                                                             MS MOTLOENYA:
                                                                                                    I can just for the record
                                                                  24
                                                                      refer to the transcript references. It's day 265 and it's
24
    D Botes." I think from the evidence that you've already
25
    given and through the cross-examination we can establish
                                                                      page 33344, lines 4 to 17, and day 265, the transcript page
                                                      Page 36454
                                                                                                                        Page 36456
                                                                      number 33401. So that's why I'm just trying to clarify -
    that HB would be referring to you, Henry Blou?
1
                                                                      and that would be lines 8 to 17. So that's why I'm just
2
           MR BLOU:
                            Correct, Chairperson.
                                                                   2
3
           MS MOTLOENYA:
                                   And D Botes would be
                                                                   3
                                                                      trying to clarify if anyone from NUM was there -
4
    referring to Dirk Botes?
                                                                   4
                                                                             MR BLOU:
                                                                                              That is correct -
                                                                   5
5
           MR BLOU:
                            Yes.
                                                                             MS MOTLOENYA:
                                                                                                    - that you could recall.
                                                                             CHAIRPERSON:
           MS MOTLOENYA:
                                                                   6
                                                                                                   There's some support for
6
                                   Now there seems to be a
    list of people who were present at the meeting. Can you
                                                                   7
                                                                      the suggestion that NUM was there, is to be seen in the
7
8
    just - it lists SAPS, it lists yourself and Dirk Botes and
                                                                   8
                                                                      note itself, the entry. It says, having said "Management
9
                                                                   9
                                                                      will discuss with AMCU to assist," I take it that should be
    a person Lebo and Ally.
                                                                  10
                                                                      "with a KPL situation," I take it, or "with the KPL
10
           MR BLOU:
                            Yes, Chairperson.
           MS MOTLOENYA:
                                   Now you were together with
                                                                  11
                                                                      situation." I'm not quite sure what that entry actually
11
    Mr Botes during this meeting at the - during this briefing?
                                                                  12
                                                                      means. It then goes on, "NUM feels SAPS and mine security
12
13
           MR BLOU:
                            That is correct.
                                                                  13
                                                                      not doing enough." So it does seem as if someone from NUM
           MS MOTLOENYA:
                                                                  14
                                                                      may well have been there to express NUM's attitude, which
14
                                   Okay, and you conducted
                                                                  15
15
    the briefing?
                                                                      was that the police and the mine security weren't doing
16
           MR BLOU:
                            Yes, Chairperson.
                                                                  16
                                                                      enough. So would you agree with that?
17
           MS MOTLOENYA:
                                   Now who was - I see that
                                                                  17
                                                                             MR TIP SC:
                                                                                               Chair, sorry, may I interrupt
    SAPS was present. Who was representing SAPS at that
                                                                  18
                                                                      just to put a full picture, if one looks at the entry
18
19
    meeting?
                                                                      immediately above "Management will discuss with AMCU,"
20
           MR BLOU:
                            Chairperson, Captain Govender
                                                                      there's the entry "Management had a meeting with NUM to
21
    of SAPS was there.
                                                                  21
                                                                      assist."
           MS MOTLOENYA:
                                    Was Captain Govender the
                                                                  22
                                                                             CHAIRPERSON:
                                                                                                   [Microphone off, inaudible]
22
    only person representing SAPS?
                                                                  23
                                                                             MR TIP SC:
                                                                                               Yes.
23
         MR BLOU:
                            Chairperson, that is what I
                                                                  24
                                                                             CHAIRPERSON:
                                                                                                   Yes, my microphone wasn't
   remember at this stage.
                                                                      on. The entry "NUM feels SAPS and mine security is not
   RCHIVE FOR
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1	doing enough" is ambiguous. I put it tentatively when I	1	it would be better –
2	asked the question. It could either mean someone from NUM	2	CHAIRPERSON: Then we don't have to
3	was there saying what NUM's attitude was, alternatively it	3	interrupt every now and then.
4	could be that a report was being made on what NUM had said,	4	MS MOTLOENYA: I think it will be better.
5	or the NUM representatives had said at the meeting referred	5	CHAIRPERSON: How many exhibits have you
6	to two lines above. But anyway, let's hear what the	6	got?
7	witness has to say about it.	7	MS MOTLOENYA: Three, Chair.
8	MR BLOU: Chairperson, if one looks at	8	CHAIRPERSON: How many?
9	that particular entry from 14h00 it records, 14h00, the	9	MS MOTLOENYA: Three.
10	whole of it, and issues that were discussed at that	10	CHAIRPERSON: Three.
11	meeting. So it does appear that NUM was present,	11	MS MOTLOENYA: Well, it's only – sorry,
12	Chairperson.	12	Chair, it's actually only two which are new, so it's the
13	MS MOTLOENYA: Okay, Mr Blou, so the	13	security –
14	content of the meeting was this; that "A mob was noted and	14	CHAIRPERSON: If we wait longer then it
15	information was that they wanted to torch the NUM offices	15	will be only one. So it will be RRRR4 and 5, will it? Is
16	and Lonmin Combi users. There was somewhat a faction	16	that right, Ms Pillay?
17	between two groups, gunshot and two people were injured.	17	MS MOTLOENYA: So the security –
18	Management had a meeting with NUM to assist defuse the	18	CHAIRPERSON: Let's check, let's get her
19	situation," and then one line down it says, "NUM feels SAPS	19	permission.
20	and mine security not doing enough." So do you confirm	20	MS MOTLOENYA: Okay.
21	that that was the content of the meeting?	21	CHAIRPERSON: Ms Pillay, is it right?
22	MR BLOU: Yes, Chairperson.	22	MS PILLAY: That's correct, Chair.
23	MS MOTLOENYA: Now Mr Blou, from what you	23	CHAIRPERSON: So what is RRRR4?
24	said, you said NUM was present, but in any event it also	24	MS MOTLOENYA: It's the security case
25	seems clear that at the debriefing the NUM had already been	25	book entry number –
	Page 36458		Page 36460
1	informed about the incident of the morning of the 11th. Is	1	CHAIRPERSON: Yes, that was in your list
2	that correct?	2	of documents.
3	MR BLOU: Yes, Chairperson.	3	MS MOTLOENYA: Yes, Chair.
4	MS MOTLOENYA: And they were also aware	4	CHAIRPERSON: Case book entry number?
5	that two people had been injured –	5	MS MOTLOENYA: 55/08 of 2012.
6	MR BLOU: That is correct, Chairperson.	6	CHAIRPERSON: Yes, alright, that's RRRR4.
7	MS MOTLOENYA: - in that incident. So	7	I've noted that. And what is RRRR5?
8	also SAPS was also informed at that meeting, when that	8	MS MOTLOENYA: And RRRR5 would be the
9	meeting took place, that a confrontation had happened	9	amended Mati post mortem report.
10	between two faction groups and that two people were shot	10	CHAIRPERSON: That was also in your list
11	and injured.	11	of documents.
12	MR BLOU: Indeed, Chairperson.	12	MS MOTLOENYA: Yes, Chair.
13	MS MOTLOENYA: You agree with that?	13	CHAIRPERSON: Yes, I've marked that, I've
14	MR BLOU: Yes.	14	written those in my book, RRRR5, amended Mati post mortem
15	MS MOTLOENYA: So by 14h00 on the 11th of	15	report. MC MOTI OFNIVA: Thenk you Chair Mr.
16	August both NUM and SAPS had been informed about the	16	MS MOTLOENYA: Thank you, Chair. Mr
17	confrontation and the fact that two people were shot and	17	Blou, if we can just deal with the security case book, if
18	injured on the 11th of August. Is that correct?	18	we can have that put up on the screen. So Mr Blou, it
19	MR BLOU: That's correct, Chairperson.	19	would be correct that a Lonmin Security case book is opened
20	MS MOTLOENYA: Now Mr Blou, I want us to	20	whenever an incident of a Lonmin employee dying of
21	move on to another issue, and Chairperson, I don't know if	21	unnatural causes such as murder?
22	this would be an opportune moment to add another exhibit. CHAIRPERSON: Shouldn't we do the	23	MR BLOU: Yes, Chairperson. MS MOTLOENYA: Okay, and this would be an
23	- // 14 / - / 4 /	23	5.
24 25	housekeeping now, mark all your exhibits – MS MOTLOENYA: We should, Chair. I think	25	example of a case book, correct? MR BLOU: That is correct.
23	INIS MOTEOENYA: We Should, Chair. I think	25	WIN DEOU. THAT IS CONTECT.

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Page 36461
                                                                                                                         Page 36463
            MS MOTLOENYA:
                                    Now this is a case book
                                                                       this matter?
1
    relating to the 13th of August, because I see it says "Date
                                                                   2
                                                                              MR BLOU:
2
                                                                                               Yes
                                                                   3
3
    attending to the complaint." Would that be correct?
                                                                              MS MOTLOENYA:
                                                                                                      Right, so he would have
4
            MR BLOU:
                             That is correct.
                                                                   4
                                                                        essentially obtained the version of the police?
                                                                   5
5
           MS MOTI OFNYA:
                                                                              MR BLOU:
                                                                                               That is correct.
                                    And if you look at the
    bottom of the first page of this case book you will see
                                                                              MS MOTLOENYA:
                                                                   6
                                                                                                      Right, now if we look at
6
    there that it's written the CAS-number, 116/08/2012. You
                                                                   7
7
                                                                       paragraph 2 - we have actually seen that, it refers to the
                                                                   8
                                                                       incident of the 13th of August. Now if we look on the first
8
    see that?
9
                                                                   9
           MR BLOU:
                             Correct, Chairperson.
                                                                       page, if we look at paragraph 2.1 it says the offence is
10
           MS MOTLOENYA:
                                                                   10
                                                                       murder. You see that?
                                    Now that was the case
     docket that was opened in relation to Mr Mati who was
                                                                   11
                                                                              MR BLOU:
11
                                                                                               I see that, Chairperson.
    killed on the 13th of August. Do you accept that?
                                                                   12
12
                                                                              MS MOTLOENYA:
                                                                                                      Now if we look at page 2
13
            MR BLOU:
                             Yes, Chair.
                                                                   13
                                                                       and paragraph 4 -
                                                                   14
14
            MS MOTLOENYA:
                                    Right. So this Lonmin
                                                                              CHAIRPERSON:
                                                                                                    Sorry, the operator is
    case book clearly refers to the case of Mr Mati. Would
                                                                   15
                                                                       going a little bit too guickly. I'd like to see the entry
15
     that be correct?
                                                                       at the foot of the - yes, there it is, 2.5, description of
16
17
                                                                   17
                                                                       the offence, "The unknown black male ran towards the Group
           MR BLOU:
                             Yes, Chair.
18
           MS MOTLOENYA:
                                    Now if we go to point 3.1,
                                                                       5 house and he died as result of gunshots," and somewhere
19
    paragraph 3.1, we'll see that the full names there are
                                                                   19
                                                                       else it says that the area was the field next to the ZCC
20
    recorded for Obed and the surname would be Meku, so that
                                                                   20
                                                                       Church, Marikana. So it appears that in that informal
21
                                                                  21
                                                                       settlement, one of those shacks or houses must be a Zionist
    would be the person who made the statement.
22
                                                                   22
           MR BLOU:
                                                                       Christian Church building and what's said here is that the
                             And in, went out to
23
                                                                  23
                                                                       information obviously that the investigator had was he ran
    investigate, that is correct.
                                                                   24
                                                                       towards a house in that informal settlement and died then
24
            CHAIRPERSON:
                                  He's the complainant. His
25
    occupation is given in 3.4 as being investigating officer
                                                                       as a result of gunshots. So that's all I wanted to see,
                                                      Page 36462
                                                                                                                         Page 36464
                                                                       sorry. I haven't got the document in front of me, you see.
1
    and 3.5 indicates that he works for Lonmin.
                                    Yes, Chair, and if we go
                                                                       We can now go on to the page that you want to refer to.
2
           MS MOTLOENYA:
3
    to page 2 of the report we will see there that Mr Meku
                                                                   3
                                                                              MS MOTLOENYA:
                                                                                                      Yes, if we go to page 2
4
    states that he works under the leadership of Mr Henry Blou,
                                                                   4
                                                                       and paragraph 4, I'm just trying to find the right
5
    so that would be you.
                                                                       paragraph. In the seventh line it reads "The unknown black
           MR BLOU:
                                                                   6
                                                                       male ran towards Group 5 house and fell down and he was
6
                             Yes, Chair.
7
           MS MOTLOENYA:
                                                                   7
                                                                       bleeding" -
                                    Okay, and as the Chair
    referred, he is an investigator within the Lonmin Security
                                                                   8
                                                                              CHAIRPERSON:
8
                                                                                                    "As he was bleeding."
9
     division, correct?
                                                                   9
                                                                              MS MOTLOENYA:
                                                                                                      - "as he was bleeding."
                                                                   10
10
                             That is correct.
                                                                              CHAIRPERSON:
                                                                                                    "The deceased was one of
           MR BLOU:
                                                                       the people who are on strike. It is believed that the
           MS MOTLOENYA:
                                    Yes, now how would an
                                                                   11
11
12
    investigator go about investigating such an incident?
                                                                   12
                                                                       deceased is one of the" - it looks like suspects - "who
13
    Would they inspect the scene? Would they interview
                                                                   13
                                                                       killed the SAPS policemen, that's why he was shot and he
14
    witnesses? How would they go about investigating such an
                                                                   14
                                                                       tried to run away with R5 rifle, which is still missing.
15
    incident?
                                                                   15
                                                                       No arrest made."
16
           MR BLOU:
                             Yes, they would go and inspect
                                                                   16
                                                                              MS MOTLOENYA:
                                                                                                      Thank you, Chair. Now my
17
    the scene. They would interview people like witnesses. If
                                                                   17
                                                                       emphasis of what the Chair had just read was that it said
18
    there's a complainant identified they will interview them
                                                                   18
                                                                       the suspect who - it says, "It is believed that the
19
    and they will always act as subordinate to the SAPS;
                                                                   19
                                                                       deceased was one of the suspects who killed the SAPS
20
    whenever it is a SAPS case they will seek for permission in
                                                                       policemen, that's why he was shot and he tried to run away
21
     order to go into that crime scene and the like. They will
                                                                   21
                                                                       with the R5 rifle." You see that on the second -
                                                                   22
                                                                                               I see that, Chairperson, yes.
22
    collect exhibits and so on.
                                                                              MR BLOU:
          MS MOTLOENYA:
                                   Okay, now we saw that the
                                                                  23
23
                                                                              MS MOTLOENYA:
                                                                                                      Now from this we can
   CAS-number was recorded on the front page and he would
                                                                       conclude that Mr Meku recorded here that he was shot by the
   certainly have interviewed the police who were working on
                                                                       police officers, correct?
  ARCHIVE FOR JUSTICE
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Page 36465
                                                                                                                          Page 36467
            MR BLOU:
                             Correct, that's what I note.
                                                                        confidently that of a gunshot wound through the thigh and
 1
            MS MOTLOENYA:
                                                                        not a stab wound." Under B he says, "The photographs alone
 2
                                    Okay, and surely this
 3
     information would have come from the police officers who
                                                                        do not allow for a confident distinction between entry and
 4
     were involved at the scene, correct?
                                                                        exit wounds." You see that?
                                                                    5
 5
            MR BLOU:
                                                                               MR BLOU:
                             I could imagine so, yes, Chair.
                                                                                                I see that, Chairperson.
            MS MOTLOENYA:
 6
                                    Then on the next page,
                                                                    6
                                                                               MS MOTLOENYA:
                                                                                                      Thank you. On that point
                                                                    7
 7
     which is page 3, it says, "The victim was found bleeding
                                                                        that's all that I needed your assistance with, Mr Blou.
     from both legs next to the house at Group 5. He died as a
                                                                    8
 8
                                                                        And then just on another point, if we can turn to your
                                                                    9
 9
     result of gunshot." You see that?
                                                                        statement dated the 3rd of July, that is exhibit RRRR1.3.
10
            MR BLOU:
                                                                   10
                                                                        [09:41] Can we have quadruple R, thank you. RRRR1.3 and
                             I see that, Chair.
                                                                        if we can turn to paragraph 40 and if we can just start
11
            MS MOTLOENYA:
                                    So the conclusion of the
                                                                   11
12
     Lonmin investigation into Mr Mati's death is that Mr Mati
                                                                   12
                                                                        with the second sentence where you say, "Suddenly a group
13
     died from gunshot wounds, correct?
                                                                   13
                                                                        from the crowd attacked two police officers. I witnessed
14
            MR BLOU:
                             I see that, yes, Chair.
                                                                   14
                                                                        both attacks from a distance and saw how one of the police
15
            MS MOTLOENYA:
                                                                        officers was hacked to death. The police officers then
                                    Now this conclusion is
                                                                        opened fire with what I believed was teargas and stun
16
     also supported and substantiated by the post mortem report,
                                                                        grenades. I do not know if they used other live
17
     and Chair, that would be RRRR5, if we can turn to the post
18
     mortem report.
                                                                        ammunition. As the crowd dispersed I noticed a dead police
19
            COMMISSIONER HEMRAJ:
                                             Have we been
                                                                   19
                                                                        officer and a dead protester." Now Mr Blou, I'd like to
20
     furnished with a copy of the PM report? I know Mr Wesley
                                                                   20
                                                                        refer you to exhibit TTT4.
21
     put it on record, or sorry, Mr Chaskalson put it on record
                                                                   21
                                                                               MR BLOU:
                                                                                                Chairperson, may I just ask
     that the cause of death was now gunshot wound, but we
                                                                   22
22
                                                                        please?
23
     haven't seen that report as yet.
                                                                   23
                                                                               MS MOTLOENYA:
                                                                                                       Ves
                                                                   24
24
            MS MOTLOENYA:
                                                                               MR BLOU:
                                                                                                I heard that I do not know if
                                    My instructions are that
25
     it was actually given to you, Commissioners, beforehand,
                                                                        they used other live ammunition but I read there, "I do not
                                                       Page 36466
                                                                                                                          Page 36468
    last week.
                                                                        know if they used other ammunition."
 1
                                                                    1
 2
            CHAIRPERSON:
                                                                    2
                                                                               MS MOTLOENYA:
                                  [Microphone off, inaudible]
                                                                                                      Yes, that's correct.
 3
            MS MOTLOENYA:
                                   It was actually furnished
                                                                    3
                                                                               MR BLOU:
                                                                                               Ja, I just heard the word
 4
                                                                        "live" being put in there when I do not see it.
     to you.
                                                                    5
                                                                                                      Okay.
 5
            CHAIRPERSON:
                                  [Microphone off, inaudible]
                                                                               MS MOTLOENYA:
                                                                                                Okay.
    I must confess I think I have seen it. The contents are
                                                                               MR BLOU:
                                                                    6
 6
                                                                    7
                                                                               MS MOTLOENYA:
                                                                                                      It might be my fault. And
 7
     not new to me, and we'd obviously been given a copy of -
                                                                    8
                                                                        then if we can start at 6:13.
8
    no, we found it, we have it. What led to the confusion is
                                                                    9
                                                                               CHAIRPERSON:
 9
     it's not headed PM report, it's actually headed
                                                                                                     Sorry, before we look at
10
     "Commentary," and in fact it appears to be a report by Dr
                                                                        that can I just ask a question flowing from the previous
                                                                   10
11
     Naidoo -
                                                                        exchange? Paragraph 40 of the statement you referred to
                                                                   11
12
            MS MOTLOENYA:
                                                                   12
                                                                        concludes by saying this, which is the middle of the
                                   Yes, Chair.
13
            CHAIRPERSON:
                                  - commenting on the autopsy
                                                                   13
                                                                        paragraph, "As the crowd dispersed I noticed a dead police
     photographs and the post mortem report. It's not actually
                                                                   14
                                                                        officer and a dead protester."
14
                                                                   15
     a post mortem report, it's as it were a supplementary
                                                                               MR BLOU:
15
                                                                   16
                                                                               CHAIRPERSON:
                                                                                                     "Another police officer was
     report on what's seen at the post mortem and is visible
17
     from the photographs.
                                                                   17
                                                                        injured and airlifted to hospital," and then you go on
18
            MS MOTLOENYA:
                                   Yes, Chair.
                                                                   18
                                                                        about that. Now, so you saw effectively two dead people as
19
            CHAIRPERSON:
                                  Anyway, it's all been
                                                                   19
                                                                        the crowd dispersed.
    sorted out, so we can carry on.
                                                                   20
                                                                               MR BLOU:
                                                                                                That's -
21
           MS MOTLOENYA:
                                   Thank you. I just wanted
                                                                   21
                                                                               CHAIRPERSON:
                                                                                                     One was the policeman, I
```

24

25

the field as it were.

MR BLOU:

think it was Monene if my memory serves me correctly and he

Correct, Chairperson.

was, a spot was pointed out to us in inspection, it's in

22 us to go to page 2 of the commentary there and at paragraph

9, which relates to the conclusions. For our purposes I

24 just wanted us to deal with the conclusions and Mr Naidoo

25 records here, he says, "The features are in my opinion

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Page 36467 2 person you saw whom you described as a dead protester — 3 MR BLOU: Yes. 4 CHAIRPERSON: was that the body of Mr 5 Malt who was found in front of a shack in that settlement. 6 which I see is described as the Group 5 settlement. 7 the other body you saw or did you see a body somewhere in 8 the field? 8 the field? 9 MR BLOU: I also saw a body somewhere in 10 the field, Chairperson, not in the informal settlement. 11 CHAIRPERSON: I see. So in other words 12 the dead protester you're talking about is not Mr Mati. 13 MR BLOU: He's not — 14 CHAIRPERSON: Mr Mati's body was apparently, you remember we —I don't know if you were at apparently, you remember we —I don't know if you were at 10 the inspection that we did twice, Mr Mati's body was found 17 in front of one of the houses. 19 CHAIRPERSON: In that little settlement. 20 Is that settlement known as Group 5? 21 MR BLOU: Yes. 22 CHAIRPERSON: Is that where contract 23 labourers who work for Group 5 who are in turn 24 subcontractors for Lommin are housed? 23 Iabourers who work for Group 5 who are in turn 25 work how ork for Group 5 who are in turn 26 work point. 24 CHAIRPERSON: I see, thank you. 3 MR BLOU: And some for Lommin, yes, they Page 36470 or CHAIRPERSON: That was the stun grenades and then the contract 26 which we have a subcontractors for Lommin are housed? 3 Iabourers who work for Group 5 who are in turn 27 more than 18 to 18 more 18
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17 [VIDEO 15 SHOWIN] 17 TOBUL LOWARDS THE INFORMAL SETTLEMENT.
10 MC MOTI OFNIVA. Thomk you you can stop it 10 CHAIDDEDCOM. The work it would be an
18 MS MOTLOENYA: Thank you, you can stop it 18 CHAIRPERSON: The way it worked, there
19 there. Now Mr Blou, just to deal with your statement, from 20 this video that we've just played you saw that the strikers 20 line and then at one point there was another gravel road
20 this video that we've just played you saw that the strikers 21 were walking along in the field until the stun grenades was 22 line and then at one point there was another gravel road 23 which was –
22 thrown by the police and then it was then that the 22 MR BLOU: Perpendicular.
h 1 Sming L 1 1 1 1 1
23 confrontation happened between the strikers and the police 23 CHAIDDEDSON: No vertical really
23 confrontation happened between the strikers and the police. 24 CHAIRPERSON: No, vertical really. 25 CHAIRPERSON: No, vertical really. 26 MR BLOU: So so =
23 confrontation happened between the strikers and the police. 24 MR BLOU: Yes, Chairperson. 25 MS MOTLOENYA: Whereas, and in the video 26 CHAIRPERSON: No, vertical really. 27 MR BLOU: So, so – 28 CHAIRPERSON: To the road parallel with

	Page 36473		Page 36475
1	the railway line.	1	MR BLOU: In correct sequence I'm not,
2	MR BLOU: Yes.	2	Chairperson, no.
3	CHAIRPERSON: And that ran along the side	3	CHAIRPERSON: And so do you accept what's
4	of part of the informal settlement.	4	being put to you, that your chronology of what came first
5	MR BLOU: That is correct.	5	and what came second is incorrect in the light of what
6	CHAIRPERSON: And General Mpembe was	6	we've seen and what General Mpembe conceded.
7	afraid that there might be difficulty there so he ordered,	7	MR BLOU: I accept.
8	as the strikers moved off towards the koppie –	8	CHAIRPERSON: And Colonel Vermaak said?
9	MR BLOU: Yes.	9	MR BLOU: I accept, Chairperson.
10	CHAIRPERSON: - he ordered the Nyalas to	10	CHAIRPERSON: So it's not true to say
11	go along that road to make sure that no-one deviated into	11	that suddenly a group from the crowd attacked two police
12	the settlement and caused trouble.	12	officers and thereafter the police opened fire with teargas
13	MR BLOU: That is correct.	13	and stun grenades, the sequence is the other way around.
14	CHAIRPERSON: That's right?	14	MR BLOU: I accept, Chairperson.
15	MR BLOU: Yes, Chair.	15	CHAIRPERSON: Alright, thanks.
16	CHAIRPERSON: And so at the time of the	16	MS MOTLOENYA: Thank you, Chair. Chair,
17	action, as you've described –	17	I see that Mr Wesley is indicating that our time is up.
18	MR BLOU: Yes.	18	Chair, if we can just have a two minute adjournment so I
19	CHAIRPERSON: - you were in a Nyala which	19	can approach you and the other Commissioners, there is some
20	hadn't moved down that road next to the settlement, you	20	point that if we can raise with Mr Blou then we wouldn't
21	were in a Nyala still near the railway line, is that	21	need to cross-examine –
22	correct?	22	CHAIRPERSON: It's a point that you –
23	MR BLOU: It had just turned from the	23	MS MOTLOENYA: It's a small point.
24	railway line onto that road.	24	CHAIRPERSON: Yes, it's a point that you
25	CHAIRPERSON: Ja, but it was effectively	25	don't want to disclose in front of Mr Blou?
	Page 36474		Page 36476
1	Page 36474 still close the railway line.	1	Page 36476 MS MOTLOENYA: Yes, Chair.
1 2	•	1 2	
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2 3 4 5	still close the railway line. MR BLOU: That is correct, yes. CHAIRPERSON: So you were quite far from what one can call the action? MR BLOU: Indeed. CHAIRPERSON: So your opportunity to observe what was happening was very limited.	2 3 4 5	MS MOTLOENYA: Yes, Chair. CHAIRPERSON: I see. MS MOTLOENYA: We want to cross-examine Mr Blou on, sir, if we are allowed to. CHAIRPERSON: Yes, you and your colleague did tell me that you'd hoped to be able to possibly lengthen your cross-examination of this witness beyond the
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Email: realtime@mweb.co.za

	Page 36477		Page 36479
1	CHAIRPERSON: Okay. W2, I see.	1	your cross-examination?
2	MS MOTLOENYA: So Chair, the video is an	2	MS MOTLOENYA: That concludes our cross-
3	exhibit but this is a screenshot of the video so I think	3	examination questions, thank you, Chair.
4	this we might have to make an exhibit.	4	CHAIRPERSON: Right. I think there's no
5	CHAIRPERSON: I see. Well, let's make	5	other cross-examiner, is there, so re-examination, Mr Van
6	this an exhibit. Is this the exhibit that you were	6	As?
7	thinking of using and decided to withdraw? Let's allow you	7	MR VAN AS: Mr Wesley reserved his right
8	to reverse that decision. RRRR6 is a screenshot of exhibit	8	to ask questions.
9	W2 at 01:17.	9	CHAIRPERSON: Oh, you're quite right.
10	MS MOTLOENYA: Mr Blou, that vehicle	10	Your memory is better than mind. Mr Wesley, do you want to
11	there, I just want you to – with the light on top, I just	11	exercise your right?
12	want you to confirm for me whether that's a Lonmin security	12	RE-EXAMINATION BY MR WESLEY: Chair, yes,
13	vehicle?	13	it's just one very, very small aspect. Could we have
14	CHAIRPERSON: Which vehicle? There are	14	EEEE19 up please, Craig? Could we go to the 12th of August
15	two vehicles on that –	15	at 7H40. Mr Blou, if you could just confirm something for
16	MS MOTLOENYA: On the right of the screen	16	me. You see there that there is an inscription that's
17	just by where the three gentlemen are standing.	17	under 7:32, there's five lines below, at the meeting 7:40
18	CHAIRPERSON: The one which has the lower	18	what's recorded is, "Tony – Murray and Roberts," do you see
19	portion which is yellow.	19	that?
20	,	20	MR BLOU: Yes, Chairperson.
	• • • • • • • • • • • • • • • • • • •	21	·
21	on top. MR BLOU: Yes.	22	, ,
			see the sixth line from the bottom next to 7H40 the
23	MS MOTLOENYA: That's a Lonmin security	23	following is recorded, "Tony reports all in order and
24	vehicle.	24	communiqué sent out through HC to sensitise workers on the
25	MR BLOU: No, it is not.	25	strike situation." Do you see that?
	Page 36478		Page 36480
1	Page 36478 MS MOTLOENYA: It is not a Lonmin –	1	Page 36480 MR BLOU: I see that, Chairperson.
1 2	<u> </u>	1 2	-
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2	MS MOTLOENYA: It is not a Lonmin – MR BLOU: It's a Lonmin rescue vehicle,	2	MR BLOU: I see that, Chairperson. MR WESLEY: Tony, do you know what his
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Email: realtime@mweb.co.za

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1	MR BLOU: That is correct.	1	MR BLOU: We wanted to inform everybody
2	CHAIRPERSON: Including Mr Mabebe.	2	at every shaft that the situation is, there's a strike
3	MR BLOU: That is workers at K4 for	3	situation and then we wanted to inform all contractors
4	Murray and Roberts, yes.	4	working for Lonmin platinum.
5	CHAIRPERSON: On the strike situation.	5	CHAIRPERSON: Why?
6	MR BLOU: Yes.	6	MR BLOU: We wanted them to know from us
7	CHAIRPERSON: Now what exactly was this	7	as security and emergency disaster management.
8	communiqué going to say? Did you ever see the communiqué,	8	COMMISSIONER HEMRAJ: Well, there are
9	do you know what it was?	9	other references to incidents pertaining to K4.
10	MR BLOU: No, I never saw it,	10	MR BLOU: Yes, Chair.
11	Chairperson, but we were discussing the situation and we	11	COMMISSIONER HEMRAJ: On this.
12	wanted to get as many people involved as possible so they	12	MR BLOU: Yes.
13	can sensitise their people in return.	13	COMMISSIONER HEMRAJ: So you can hardly
14	CHAIRPERSON: What does sensitise mean?	14	say that it was not foreseen that it might be a trouble
15	Do you mean bring them up to speed to know what's going on?	15	spot because there's mention in this exhibit of a march
16	MR BLOU: Inform yes, yes, Chair.	16	towards K4.
17	CHAIRPERSON: To inform, it's a	17	MR BLOU: Yes.
18	malapropism for reform, I take it.	18	COMMISSIONER HEMRAJ: Do you want to
19	MR BLOU: Yes, yes, Chair.	19	reconsider your answer in the light of that?
20	COMMISSIONER HEMRAJ: So at that stage it	20	MR BLOU: Commissioner, what I remember
21	was foreseen that K4 shaft might be a trouble spot.	21	at that stage, at those meetings, our strategy has always
22	MR BLOU: Probably, Commissioner, or even	22	been, before this strike, post this strike, that everybody
23	just to ensure that we leave nobody out, that they are not	23	should be informed. That is why we had a representative
24	knowing about the situation.	24	from that shaft.
25	CHAIRPERSON: No, you wouldn't sensitise	25	COMMISSIONER HEMRAJ: Informed to be
	Page 36482		Page 36484
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2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	people and alarm them unnecessarily, would you, so - you use the word "sensitise," which I don't think is right, but anyway. MR BLOU: Yes. CHAIRPERSON: You wouldn't do that unnecessarily to alarm them, so there must have been, as Adv Hemraj puts to you, there must have been foresight that there might be trouble at K4. It follows, doesn't it? MR BLOU: Maybe, Chairperson. CHAIRPERSON: Sorry? MR BLOU: I think — CHAIRPERSON: Maybe. MR BLOU: Ja. CHAIRPERSON: We're talking about a foresight of something as a possibility, right? MR BLOU: Yes. CHAIRPERSON: And it looks as if there was, it was foreseen that there might be trouble at K4, hence the need to inform the workers as to what was going on, or might happen, is that right? MR BLOU: I don't — CHAIRPERSON: Or am I misreading it?	2 3 4 5 6 7 8 9 10 11 12 13 14 15 16 17 18 19 20 21 22	careful that there might be trouble? MR BLOU: Alert and careful that there might be trouble, yes. COMMISSIONER HEMRAJ: And therefore steps needed to be taken to protect those points. MR BLOU: Yes. CHAIRPERSON: It's actually simpler than that, isn't it? The fact is that the RDOs wanted to stop production. MR BLOU: Yes. CHAIRPERSON: They didn't want any work done. MR BLOU: Yes. CHAIRPERSON: They wanted a total strike, isn't that right? MR BLOU: Correct. CHAIRPERSON: There was no reason to believe that they had a selective approach, that they only wanted to strike at some shafts and not others, is that right? MR BLOU: That's right, Chairperson.
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Page 36485
                                                                                                                          Page 36487
            CHAIRPERSON:
                                  And if they wanted a strike
                                                                        the Lonmin mine about the security of their buses and bus
1
    at K4, the probability was - not just the possibility - the
                                                                    2
2
                                                                        drivers." We now come to a sentence which interests me.
     probability was that they would do something about it to
3
                                                                        "We had advised Midbank bus services that the situation
4
     make sure that there was a strike at K4.
                                                                        would be monitored and also that there were no reported
                                                                    5
5
            MR BLOU:
                             Yes.
                                                                        incidents from the previous night." That's the end of the
            CHAIRPERSON:
6
                                  Is that right?
                                                                    6
                                                                        quotation. Now one of the points that interests us and
7
                                                                    7
            MR BLOU:
                             Yes, Chair.
                                                                        it's obviously important for our investigations, is whether
8
            CHAIRPERSON:
                                                                    8
                                                                        there was intimidation on the Friday night, Saturday
                                  I mean it's as simple as
                                                                    9
9
    that, isn't it? And if those were the facts that were not
                                                                        morning and I think you've said there was and there's some
    known afterwards but known at the time, then it must have
                                                                   10
                                                                        evidence about it. But that being so I don't understand
10
    been apparent that there might well be trouble at K4 and
                                                                   11
11
                                                                        what the statement "There were no reported incidents from
                                                                   12
12
    something has got to be done about it to prevent it and to
                                                                        the previous night" means in a context where it's Lonmin's
                                                                   13
13
     protect the people who were there, isn't that correct?
                                                                        case that there was intimidation. Now was there or wasn't
                                                                   14
14
            MR BLOU:
                             That's correct, Chairperson.
                                                                        there? Were there reported incidents the previous night or
15
            CHAIRPERSON:
                                   Thank you. Sorry, Mr
                                                                   15
                                                                        weren't there, what's going on?
                                                                   16
                                                                               MR BLOU:
                                                                                                Chairperson, this has reference
16
    Wesley -
                                                                        to the buses. Prior to this event we had had buses being
17
            MR WESLEY:
                                Thank you, Chair.
                                                                   17
18
            CHAIRPERSON:
                                   I interrupted you.
                                                                        burnt and we had had drivers being intimidated. So we
19
            MR WESLEY:
                                No, that's covered everything
                                                                   19
                                                                        advised them here that there were no incidents towards them
20
    which I wanted -
                                                                   20
                                                                        at Midbank.
21
                                                                   21
                                                                               CHAIRPERSON:
            CHAIRPERSON:
                                                                                                     So it's incomplete, the
                                   I am sorry if I stole your
                                                                   22
22
                                                                        statement. It should say there were no reported incidents
    thunder.
23
                                                                   23
                                                                        involving the buses and bus drivers for the previous night.
            MR WESLEY:
                                No problem, Chair.
                                                                   24
                                                                        Is that your explanation?
24
            CHAIRPERSON:
                                   Alright re-examination, Mr
                                                                   25
25
                                                                               MR BLOU:
    Van As.
                                                                                                Yes.
                                                       Page 36486
                                                                                                                          Page 36488
    [10:01] RE-EXAMINATION BY MR VAN AS:
1
                                                                    1
                                                                               CHAIRPERSON:
                                                                                                    I see thank you.
                                                                        Commissioner Hemraj, wants to ask a question, we think in
2
    before the attack on Lonmin security on Sunday the 12th of
3
    August 2012 had you received any reports of the strikers
                                                                        fairness to you, Mr Van As, we should ask it now so you can
4
    attacking or threatening to attack Lonmin Security?
                                                                        deal with it if you want to in the rest of your re-
5
                                                                    5
           MR BLOU:
                            Chairperson, no.
                                                                        examination.
                                                                    6
           MR VAN AS:
                                                                               MR VAN AS:
                                                                                                 Thank you, Mr Chairperson.
6
                              Had you received reports
7
                                                                    7
                                                                               COMMISSIONER HEMRAJ:
    about the strikers intimidating and threatening non-
                                                                                                               Mr Blou, this is
                                                                        the ICAM file, it's exhibit RRRR2.4 The Wonderkop Hostel
                                                                    8
8
    striking workers?
9
                                                                    9
           MR BLOU:
                            Chairperson, yes on the Friday
                                                                        Incident. Page 2 of that, do you have it in front of you?
    evening the 10th, intimidation yes.
                                                                   10
                                                                        The second column from the left, dated 11 August 2012, the
10
11
           MR VAN AS:
                              You also -
                                                                   11
                                                                        Saturday which reads "A call was made to the shaft mine
12
           CHAIRPERSON:
                                 Are you moving onto another
                                                                   12
                                                                        overseer of KR shaft by a Lonmin employee", below that, "To
    point?
                                                                   13
13
                                                                        inform him on an attack that will be carried out on K4
14
           MR VAN AS:
                              I am, thank you, Mr -
                                                                   14
                                                                        shaft on Sunday night. The employees were informed about
15
                                                                   15
           CHAIRPERSON:
                                                                        the attack by people planning the attack." What does that
                                 I was going to ask this
                                                                   16
                                                                        refer to and what information do you have about that?
    later, but I suppose in fairness to you I should ask it now
17
    so that you can deal with it. There's something that
                                                                   17
                                                                               MR BLOU:
                                                                                               Commissioner, for me it refers
18
    puzzles me about your statement and I must put it to you.
                                                                   18
                                                                        to an employee telephoning into K4 shaft and forewarning
19
    In your statement of the 17th October 2012 which is the once
                                                                        them that there's a planned attack. However, personally I
    closes to the events you said this in paragraph 23. Under
                                                                   20
                                                                        was not aware of a planned attack at K4 shaft before it
                                                                   21
21
    the heading Saturday the 11th of August "On Saturday 11
                                                                        occurred.
22 August 2012 I reported for duty at 7:30" and you go on to
                                                                   22
                                                                               CHAIRPERSON:
                                                                                                     But somebody was then
    say how you went to the EDM to brief your colleagues and
                                                                   23
                                                                        because the person to whom this report was made knew about
24 you say "I received reports of concern that were raised by
                                                                   24
                                                                        it.
25 Midbank bus service which provide internal bus services at
                                                                   25
                                                                               MR BLOU:
                                                                                                Yes.
   ARCHIVE FOR JUSTICE
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Page 36489 Page 36491 And obviously the purpose CHAIRPERSON: under cover I know exactly about that. As to the security 1 of informing that person was so that something could be 2 persons that were informed beforehand, I do not know about 3 done about. that, Chairperson. It is a serious breach of security for 4 MR BLOU: Correct. whoever in security was informed and later there was that 5 5 CHAIRPERSON: attack. And nothing was done about it. 6 6 CHAIRPERSON: Was that investigated? I 7 7 MR BLOU: Correct. Chairperson, the mean this is not something that you heard about for the 8 first time. The ICAM people were onto it, so this, what 8 question we must - not the question, we should find out 9 9 whether that person then alerted the security people, the you concede to be a serious breach of security do you know 10 executives and the like. 10 whether that was investigated? 11 CHAIRPERSON: 11 MR BLOU: The section above the I don't know, Chairperson. 12 12 paragraph that was read reads "A call was made to the shaft CHAIRPERSON: If it had been investigated 13 mine overseer of K4 shaft." would it not have been communicated to you as the head of 14 MR BLOU: Correct. security that there was this serious breach that had been 15 CHAIRPERSON: All right and the ICAM investigated? They wouldn't have done it behind your back people picked it up obviously, that was investigated. and not told you would they? 16 16 17 17 MR BLOU: Yes. MR BLOU: I'm afraid it wasn't done, 18 CHAIRPERSON: And then lower down it says 18 Chairperson. It should have been done. "The employee had a good relationship with the mine 19 19 CHAIRPERSON: Those you've got two 20 overseer as they worked together previously." 20 serious matters now. The first is a breach of security, a 21 MR BLOU: Yes. 21 serious breach which actually can almost be related to the 22 CHAIRPERSON: Then in the next column -22 death of Mr Mabebe and then another serious omission, the could we perhaps have that lowered a little bit so we can 23 23 failure to follow up when the ICAM people discovered these see that? Thank you. It goes on to say "The mine overseer 24 facts which are set out here. 24 confirmed with the employee that he informed security about 25 MR BLOU: 25 Yes Page 36490 Page 36492 CHAIRPERSON: And you don't know why that 1 the planned attack, to alert security in time about the 1 wasn't followed up either. 2 planned attack, for security to be prepared for the 2 3 possible attack." And then the next column says, 3 MR BLOU: I do not know, Chairperson. 4 presumably this is where the information comes from "A 4 CHAIRPERSON: Wasn't it your 5 security employee" that's one of your people I take it, responsibility as head of security when it was brought to "went under cover and attended the gathering of people in your attention to ensure that the matter was fully 6 7 the open field next to Wonderkop stadium." 7 investigated, or was that the responsibility of somebody 8 8 MR BLOU: Yes. else? 9 9 CHAIRPERSON: To get intelligence of what MR BLOU: I only saw the ICAM report in was happening. The security did not know what was 10 2014, Chairperson. 10 happening. The security had a lack of intelligence in the CHAIRPERSON: 11 11 Had the trail gone cold by 12 field. 12 then, was it too late to investigate? 13 13 MR BLOU: Yes. MR BLOU: Chairperson, when the ICAM was 14 CHAIRPERSON: 14 conducted I wasn't aware about the contents of what was Now what does all that 15 15 mean? This relates to the security department to happening. I was aware that there was an ICAM, but that information being conveyed to them in order, as it is put, 16 never was brought under my attention. 16 17 for security to be prepared for the possibility of attack. 17 CHAIRPERSON: To whose attention would 18 You can see that nothing was done to ward off the attack. 18 the results of the ICAM investigation have been brought? 19 So it looks like a serious breach of security. A failure 19 MR BLOU: It would have been brought to to react to information which prima facie appears to have 20 the attention of Mr Graham Sinclair and Mr Frank Russo-21 been reliable or certainly reliable enough to be taken 21 Bello. seriously. Would you agree with that? 22 CHAIRPERSON: I see. Well Mr Sinclair is 22 MR BLOU: 100%, Chairperson. 23 coming next perhaps someone may make a note and ask him 23 CHAIRPERSON: 24 So what's your explanation? 24 about it. This ICAM report is full of things that I want MR BLOU: The security employee that went to put to you. The next column goes on about - well let's

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Page 36493
                                                                                                                          Page 36495
    start with the column beginning where security employee
                                                                               CHAIRPERSON:
                                                                    1
                                                                                                     No, no, no I know it was a
2
    went under cover. That reads "A security employee went
                                                                        Sunday night. Sorry it's my fault. I asked the question
3
    undercover, attended the gathering of people in the open
                                                                        ambiguously, forgive me. This information that we've heard
4
    field next to the Wonderkop stadium to get intelligence on
                                                                        about now from the ICAM report was that available to people
5
    what was happening. The security didn't know what was
                                                                    5
                                                                        in the JOC on the Saturday night?
                                                                    6
    happening. The security had a lack of intelligence in the
                                                                               MR BLOU:
6
                                                                                                Chairperson, he reported to the
7
    field. The leaders of the mass of people requested that
                                                                    7
                                                                        JOC when he returned, I'm not sure what day this was.
    the sangoma that helped Impala employees assist the mass
                                                                    8
                                                                               CHAIRPERSON:
8
                                                                                                     I see. It looks like the
                                                                    9
9
    gathering. To let the people attending do a ritual to be
                                                                        Saturday night doesn't it? Because obviously the K9 think
10
    fearless. They believed that the bullets fired at them
                                                                   10
                                                                        hasn't happened yet. Sorry the K4 thing, the K9 was
11
    will turn into water and firearms would not be able to
                                                                   11
                                                                        something else, we won't go there now. The K4 attack
    shoot bullets. The mass wanted to retaliate. The mass
                                                                   12
12
                                                                        hadn't happened yet, right?
                                                                   13
13
    believed that two of their members were killed during an
                                                                               MR BLOU:
14
    attempt earlier in the day to torch the NUM offices. They
                                                                   14
                                                                               CHAIRPERSON:
                                                                                                     So he talks about the
                                                                        people aren't going to sleep that night, he's clearly
15
    were preparing for war. The mass of people moved to the
                                                                   15
    koppie. It was more private for what they had planned.
                                                                        talking about something that's going to happen the night
16
17
    There were no women and children around to witness what was
                                                                   17
                                                                        before. It looks that it's the Saturday isn't it?
18
    happening. The undercover security officer decided to
                                                                   18
                                                                               MR BLOU:
                                                                                                The way I read it, it's the
19
    leave the mass of people gathered at the koppie, it was
                                                                   19
                                                                        Sunday, but I'm not sure. I read it that they won't sleep
20
    dark and his life was in danger. The undercover security
                                                                   20
                                                                        this evening which is Sunday. But surely we should know
21
    officer reported to the JOC team and informed them of the
                                                                   21
                                                                        what day this was based on the ICAM.
22
                                                                   22
                                                                               COMMISSIONER HEMRAJ:
    ritual and the retaliation planned. He needed to inform
                                                                                                                Yes it says the
23
    them that the mass will not sleep that night. The mass
                                                                   23
                                                                        11th.
                                                                   24
24
    wanted to plan what they will be doing in retaliation.
                                                                               CHAIRPERSON:
                                                                                                     It's headed the 11th.
25
    They were planning for war." Now according to this all
                                                                   25
                                                                               MR BLOU:
                                                                                                Okay.
                                                       Page 36494
                                                                                                                          Page 36496
                                                                               CHAIRPERSON:
    this information was conveyed, reported to the JOC team.
                                                                                                     So let's not go there, it's
1
    Now what's that about? Was it reported to you by the way?
2
                                                                        obviously the Saturday night. Now the point of the matter
3
            MR BLOU:
                             Chairperson, it was reported.
                                                                    3
                                                                        is that the people in the JOC knew on the Saturday, perhaps
4
    I remember speaking to the person that was at the koppie.
                                                                    4
                                                                        knew is an unfortunate word because some people may deny
5
    I can put on record that he has never informed me
                                                                        the information is correct. But what the people on the
                                                                    6
    specifically about K4 shaft.
                                                                        Saturday night knew was the information that had been
6
7
                                                                    7
            CHAIRPERSON:
                                  Never mind whether he
                                                                        received.
                                                                    8
                                                                               MR BLOU:
8
     reported about K4 shaft, we've already gone over that.
                                                                                                Yes.
9
                                                                    9
            MR BLOU:
                             Yes
                                                                               CHAIRPERSON:
                                                                                                     Which they had to take
                                                                   10
10
            CHAIRPERSON:
                                  Then let's not revisit
                                                                        seriously.
    that. You accept that the RDOs wanted a general strike
                                                                               MR BLOU:
11
                                                                   11
                                                                                                Yes.
12
     over the whole of Lonmin didn't they?
                                                                   12
                                                                               CHAIRPERSON:
                                                                                                     And they don't seem to take
13
            MR BLOU:
                             Yes.
                                                                   13
                                                                        that seriously. What did they do?
14
                                                                   14
                                                                               MR BLOU:
                                                                                                They concentrated for what we
            CHAIRPERSON:
                                  It wouldn't have suited
15
    their purpose if they had a partial strike or a selective
                                                                   15
                                                                        believed that the retaliation would be directed towards the
    strike, one shaft on and one shaft off. So obviously K4
                                                                        Lonmin property from historical events that we would list
17
    was in their visier isn't that right?
                                                                   17
                                                                        and those were the areas that we covered.
18
            MR BLOU:
                                                                   18
                                                                               CHAIRPERSON:
                                                                                                     Ja but they wanted to
19
            CHAIRPERSON:
                                  But this was the
                                                                   19
                                                                        retaliate against what they believed was the death of their
    information that you people had.
                                                                   20
                                                                        colleagues.
20
21
           MR BLOU:
                             Yes.
                                                                   21
                                                                               MR BLOU:
                                                                                                Yes.
22
            CHAIRPERSON:
                                  When was that, on the
                                                                   22
                                                                               CHAIRPERSON:
                                                                                                     And they believed I take it
                                                                   23
                                                                        that they'd been killed by NUM people because shots had
23
    Saturday night?
         MR BLOU:
                             The K4 incident happened on
                                                                   24
                                                                        been fired by NUM people -
    Sunday.
                                                                   25
                                                                               MR BLOU:
                                                                                                That is correct.
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Page 36497
                                                                                                                       Page 36499
            CHAIRPERSON:
                                                                      worrying us to the witness now to enable you to deal with
 1
                                   - in the vicinity of the
                                                                      them if you consider it appropriate in re-examination.
                                                                  2
2
    NUM office. So you didn't have to be a rocket scientist to
3
    know that it was possible, if not probable, that the target
                                                                  3
                                                                             MR VAN AS:
                                                                                               Mr Chairperson, I wonder if I
 4
    for retaliation might well be the NUM office. Isn't that
                                                                  4
                                                                      could ask if we could take the first comfort break now just
5
                                                                  5
                                                                      to give me five minutes to consider the points you've put
    right?
                                                                      because there quite a number of points -
                                                                  6
6
            MR BLOU:
                             No it's not right, Chairperson.
                                                                  7
                                                                             CHAIRPERSON:
7
            CHAIRPERSON:
                                                                                                   We'll take the first
                                   Why not?
                                                                  8
                                                                      comfort break now, a bit earlier than usual, but we'll give
8
            MR BLOU:
                             There were many NUM offices at
                                                                  9
9
     the time at Lonmin Plats, there's not just one NUM office.
                                                                      15 minutes to consider the points.
10
            CHAIRPERSON:
                                   The place at which they
                                                                  10
                                                                                               Thank you, Mr Chairperson.
                                                                             MR VAN AS:
     thought the killings had taken place was the office in
                                                                  11
                                                                             COMMISSION ADJOURNS
                                                                                                          COMMISSION RESUMES
11
12
     question was it not?
                                                                  12
                                                                      [10:45] CHAIRPERSON:
                                                                                                     - Mr Van As, thanks to the
13
            MR BLOU:
                             Yes, Chair.
                                                                  13
                                                                      housekeeping matters we've been attending to you've had a
14
            CHAIRPERSON:
                                   Anyway but I want to know
                                                                      bit longer to consider your position than you thought you
15
    is what exactly did the people at the JOC do which I take
                                                                  15
                                                                      had. Are you ready to commence your re-examination?
     it included you.
                                                                  16
16
                                                                             RE-EXAMINATION BY MR VAN AS:
                                                                                                                     I am, thank
17
            MR BLOU:
                             Yes.
                                                                  17
                                                                      you, Mr Chairperson. Mr Blou, you were cross-examined
18
            CHAIRPERSON:
                                   What did you do? You got
                                                                      rather extensively about the radio communication, the
19
     this information, quite alarming information, talking about
                                                                      warning that Mr Louw gave on the Sunday, on the 12th of
20
     planning for war, right?
                                                                      August 2012, and you testified that it's a real-time
21
            MR BLOU:
                                                                  21
                                                                      communication.
                             Yes.
                                                                  22
                                                                             MR BLOU:
22
            CHAIRPERSON:
                                                                                             Yes, Chair.
                                   So this is a serious
23
                                                                  23
                                                                             MR VAN AS:
                                                                                               And I understood your
    matter, a very, very serious matter.
                                                                  24
                                                                      evidence to be that meant that anybody else who had access
24
            MR BLOU:
                             That is correct, Chair.
25
            CHAIRPERSON:
                                                                      to a Lonmin Security radio would have heard it at the same
                                   So what did you do about
                                                                                                                       Page 36500
                                                     Page 36498
1
    it?
                                                                   1
                                                                      time as what the security centre heard the communication.
2
           MR BLOU:
                            We deployed our resources as
                                                                   2
                                                                      Can you just confirm that?
3
    best we could. We also ensured that we inform the SAPS
                                                                   3
                                                                             MR BLOU:
                                                                                              Correct, I confirm that, Chair.
4
    about information we had at our disposal.
                                                                  4
                                                                             MR VAN AS:
                                                                                                So similarly when Mr Louw
5
           CHAIRPERSON:
                                 Did you convey this
                                                                  5
                                                                      then radios in a bit later and he warns Lonmin Security not
    information to the police, say this all information we've
                                                                  6
                                                                      to confront the strikers, would anybody who had access to a
6
                                                                  7
7
    got, these people are planning for war? They're planning
                                                                      Lonmin Security radio also have heard that warning?
                                                                  8
8
    to act in retaliation for what they considered to be the
                                                                             MR BLOU:
                                                                                              Real-time, yes, Chair.
9
                                                                  9
    deaths of their colleagues, did you tell that to the
                                                                             MR VAN AS:
                                                                                                Without the security centre
    police? That they'd sent for a sangoma to make them
                                                                  10
                                                                      having to relay that warning back to them?
10
    fearless and turn the bullets that are going to be fired at
                                                                  11
                                                                             MR BLOU:
                                                                                              Chairperson, that is not
11
12
    them into water and render the firearms incapable of
                                                                  12
                                                                      standard practice because they will be jamming the
                                                                      communication, so yes, without them relaying it.
13
    shooting bullets to use the words that it is reported in,
                                                                  13
14
    was that conveyed to the police?
                                                                  14
                                                                             CHAIRPERSON:
                                                                                                    Does everybody have their
15
           MR BLOU:
                                                                  15
                            Chairperson, yes. Some of the
                                                                      security radio on all the time?
    police members had informed us that they had witnessed this
                                                                  16
                                                                             MR BLOU:
                                                                                              Yes, Chairperson.
17
    ritual themselves in the afternoon at the koppie.
                                                                  17
                                                                             CHAIRPERSON:
                                                                                                   You talk about those who
18
           COMMISSIONER HEMRAJ:
                                           But if you had
                                                                  18
                                                                      have access to the radio, but does everybody listen to the
19
    believed that the retaliation or the attack would be
                                                                  19
                                                                      security radio all the time?
                                                                  20
    against Lonmin structures or key points then that is what
                                                                             MR BLOU:
                                                                                              Yes, Chairperson.
21
    you would have communicated to the police. Not that there
                                                                  21
                                                                             CHAIRPERSON:
                                                                                                   24/7?
    would be a possible attack on the NUM offices.
                                                                  22
                                                                             MR BLOU:
22
                                                                                              Yes, Chairperson.
         MR BLOU:
                         Correct.
                                                                  23
23
                                                                             MR VAN AS:
                                                                                                And where are these security
                                 Sorry, Mr Van As, I think
         CHAIRPERSON:
                                                                  24
                                                                      radios normally kept? Are they kept on one's person or are
25 it's only fair that we should put those points that were
                                                                      they kept in the vehicle?
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1	Page 36501 MR BLOU: Both, Chairperson. We've got	1	Page 36503 koppie, and in proceeding as they were –
2	base stations in radios and hand-held radios on the person.	2	MR BLOU: Yes.
3	MR VAN AS: We're specifically dealing	3	CHAIRPERSON: - they came past this rock
4	with Mr Fundi and Mr Mabelane and do you know whether they	4	here, this corner as Mr Van As describes it.
5	would have had a hand-held radio on their person or whether	5	MR BLOU: Yes, Chairperson.
6	they would have had a radio in their motor vehicle?	6	CHAIRPERSON: Now when that happened you
7	MR BLOU: Chairperson, I would know of a	7	were in a Nyala near the railway line. Is that right?
8	radio in the motor vehicle. I would not be 100% sure if	8	MR BLOU: No, when they started walking
9	they had a radio on the person.	9	away we were all still at the place where they had
10	MR VAN AS: So in other words if they had	10	congregated. We drove away as they were walking away.
11	been out of their motor vehicle already at the time that Mr	11	MR VAN AS: Be that as it may, you
12	Louw issued the warning not to confront the strikers, would	12	testified in cross-examination that you were scared and
13	they have heard that warning?	13	uncomfortable about the situation.
14	MR BLOU: If they were a distance from	14	MR BLOU: Correct, Chairperson.
15	the vehicle, Chairperson, they would have not heard it.	15	MR VAN AS: Now you saw these strikers
16	MR VAN AS: And would it similarly flow	16	moving forward in a crouching formation on that day, did
17	from that that even if radio control centre had sent	17	you not?
18	another warning to them over the radio they wouldn't have	18	MR BLOU: Correct, I did, Chairperson.
19	heard that warning either if they'd been out of their	19	MR VAN AS: Now the evidence before the
20	vehicle?	20	Commission from Lonmin Security is that that is a similar
21	MR BLOU: Correct, Chairperson.	21	position that they adopted on the Sunday when they
22	MR VAN AS: Now you were present on the	22	approached Lonmin Security.
23	Monday near the railway line.	23	MR BLOU: Yes.
24	MR BLOU: Yes.	24	MR VAN AS: Now did you view, when you
25	MR VAN AS: I'd please ask you if we	25	saw them on the Monday did you view them as adopting a
	Page 36502		Page 36504
1	could just please look at exhibit Z1 and if you could go to		
	codia just picase look at exhibit 21 and if you could go to	1	threatening and aggressive approach?
2	about 23 minutes, please? No, if we can go to 23. I want	1 2	threatening and aggressive approach? MR BLOU: Correct, Chairperson.
2	<i>3</i> .		
	about 23 minutes, please? No, if we can go to 23. I want	2	MR BLOU: Correct, Chairperson.
3	about 23 minutes, please? No, if we can go to 23. I want the part where they're marching around the corner, when	2	MR BLOU: Correct, Chairperson. MR VAN AS: Right, now you know the late
3 4	about 23 minutes, please? No, if we can go to 23. I want the part where they're marching around the corner, when they're moving around the corner, please. Stop there,	2 3 4	MR BLOU: Correct, Chairperson. MR VAN AS: Right, now you know the late Mr Fundi and the late Mr Mabelane, don't you? You worked
3 4 5	about 23 minutes, please? No, if we can go to 23. I want the part where they're marching around the corner, when they're moving around the corner, please. Stop there, please.	2 3 4 5	MR BLOU: Correct, Chairperson. MR VAN AS: Right, now you know the late Mr Fundi and the late Mr Mabelane, don't you? You worked with them for a number of years.
3 4 5 6	about 23 minutes, please? No, if we can go to 23. I want the part where they're marching around the corner, when they're moving around the corner, please. Stop there, please. CHAIRPERSON: That's 23:14.	2 3 4 5 6	MR BLOU: Correct, Chairperson. MR VAN AS: Right, now you know the late Mr Fundi and the late Mr Mabelane, don't you? You worked with them for a number of years. MR BLOU: Yes, Chair.
3 4 5 6 7	about 23 minutes, please? No, if we can go to 23. I want the part where they're marching around the corner, when they're moving around the corner, please. Stop there, please. CHAIRPERSON: That's 23:14. MR VAN AS: Thank you, Mr Chairperson.	2 3 4 5 6 7	MR BLOU: Correct, Chairperson. MR VAN AS: Right, now you know the late Mr Fundi and the late Mr Mabelane, don't you? You worked with them for a number of years. MR BLOU: Yes, Chair. MR VAN AS: Can it conceivably be concluded that Mr Fundi and Mr Mabelane would have formed any other view as to the danger posed by these individuals
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	Page 24505		Dogo 24507
1	Page 36505 MR BLOU: Mr Chairperson, as far as I can	1	Page 36507 misrepresentation.
2	remember it was to protect the NUM office.	2	CHAIRPERSON: Well, I don't know about
3	MR VAN AS: That's why they went there?	3	misrepresentation, maybe a wrong question. What is your
4	MR BLOU: Yes.	4	response to the question?
5	MR VAN AS: And that's why they formed	5	MR VAN AS: Well, it will make my case
6	the formation there?	6	significantly easier if Mr Louw hadn't issued such a
7	MR BLOU: That is correct.	7	warning. My understanding of the evidence is he testified
8	MR VAN AS: Right, now would they have	8	that he had in fact radioed in and told radio, and issued a
9	known whether the NUM office was occupied or not at that	9	warning that Lonmin Security shouldn't approach the
10	stage?	10	strikers because they were armed and dangerous.
11	MR BLOU: I am not hundred percent sure,	11	CHAIRPERSON: Yes, we remember that. It
12	Chairperson.	12	may not be in his statement, but that's our recollection.
13	MR VAN AS: Is it normally occupied on a	13	It may be incorrect, but it is our recollection of what he
14	Sunday morning?	14	said in his oral evidence. So I overrule the objection in
15	MR BLOU: Normally on Sundays it is	15	this regard.
16	closed, Chair.	16	MR RAMPHELE: We will have to check that,
17	CHAIRPERSON: Did you know whether the	17	Chair, because –
18	NUM – by you I mean you and your colleagues –	18	CHAIRPERSON: Yes, on the basis –
19	MR BLOU: Yes.	19	MR RAMPHELE: - I've been going through
20	CHAIRPERSON: - know that the, if this is	20	the transcript and I don't see that. The only thing is
21	so, I'm not sure it is so but I'm putting it on the basis	21	that he asked for replenishment.
22	of maybe. Did you know whether the NUM officials had gone	22	CHAIRPERSON: Yes. Anyway, I'll allow
23	into hiding already on the Sunday morning?	23	the question to be asked on the basis that if it turns out
24	MR BLOU: Yes –	24	that our recollection is incorrect then the answers he
25	CHAIRPERSON: There is evidence they went	25	gives now will be affected by that.
1	Page 36506	1	Page 36508
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2	into hiding. MR BLOU: Yes, we knew that, yes Chair.	2	MR VAN AS: Then you were also cross-examined rather extensively in relation to scenario
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	Page 36509		Page 36511
1	strategy that the Zulus adopted at the Isandlwana –	1	MR BLOU: Yes.
2	CHAIRPERSON: Yes, we won't go into 19th	2	MR VAN AS: That also doesn't appear in
3	century military history. I'll give you a little bit more	3	your telephone records.
4	time, because Adv Hemraj and I took up a bit of your time -	4	MR BLOU: That's my observation,
5	MR VAN AS: Thank you.	5	Chairperson.
6	CHAIRPERSON: - which it would not be	6	MR VAN AS: Is it possible that you would
7	fair to debit you with.	7	have used another telephone or cell phone to make these
8	MR VAN AS: The point I'm making is it's	8	calls to General Mbombo?
9	a sophisticated paramilitary strategy that was used by the	9	MR BLOU: It's very possible,
10	strikers on the Sunday.	10	Chairperson.
11	MR BLOU: Yes, Chair.	11	MR VAN AS: Was there an increase in
12	MR VAN AS: Have you ever experienced	12	police presence after the murders of Mr Fundi and Mr
13	something similar to that at Lonmin?	13	Mabelane?
14	MR BLOU: Chairperson, no. We have never	14	MR BLOU: No, Chairperson.
15	- that was the first time that we had seen such	15	MR VAN AS: Did Lonmin expect a
16	determination and armed people assuming paramilitary	16	significant increase in police presence after that?
17	tactics, yes.	17	MR BLOU: We did, Chairperson.
18	MR VAN AS: Was there any reason for you	18	MR VAN AS: Did you believe it was
19	to factor that into your scenario planning before the	19	necessary for you to actually spell out to the police that
20	Sunday morning?	20	more police need to arrive here after two people had been
21	MR BLOU: Chairperson, no. What we	21	brutally hacked to death on your premises?
22	worked on is historical events that had informed us of how	22	MR BLOU: Chairperson, correct, because
23	best to counter industrial action.	23	we were, we had limitations on where to operate and we
24	MR VAN AS: Then let's move on to your	24	needed the police presence to assist us with public
25	telephone conversations –	25	violence as well as, there were breaches of a lot of
	15.56		violeties as well as, there were streamed or a fet of
	Page 36510		Page 36512
1	CHAIRPERSON: Before you go to the	1	statutes and that to my recollection is a function of the
2	telephone conversations, I'd like to ask something about	2	South African Police Services.
3	what you've just asked. The information that you had	3	MR VAN AS: You also were cross-examined
4	according to passages I read from the ICAM report was that	4	about the fact that you held a meeting with NUM on the
5	they were planning for war. Had you ever encountered a	5	Saturday.
6	situation where workers or strikers were according to the	6	MR BLOU: Yes.
7	information apparently regarded as potentially reliable –	7	MR VAN AS: If one has regard to the
8	MR BLOU: Yes.	8	documentation you also undertook to hold a meeting with
9	CHAIRPERSON: Have you ever had a	9	AMCU about the security situation.
10	situation before where according to your information	10	MR BLOU: Correct.
11	strikers were planning for war?	11	MR VAN AS: Was that meeting held?
12	MR BLOU: Never, Chairperson.	12	MR BLOU: I know a meeting with AMCU was
13	MR VAN AS: You spoke to, you say in your	13	held at the LPD offices, but I do not remember a meeting
14	statement that you spoke to General Mbombo on the Friday.	14	with AMCU at the Middelkraal offices.
15	MR BLOU: Yes.	15	MR VAN AS: And then if we could please

you spoke to General Mpembe on the Sunday.
MR BLOU: Yes.
CHAIRPERSON: Is it General Mpembe or
General Mbombo?

That is correct.

That doesn't appear in your

It's also common cause that

MR VAN AS:

MR BLOU:

MR VAN AS:

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telephone records.

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24 MR VAN AS: General Mbombo, sorry. You 25 spoke to General Mbombo on the Sunday? afternoon at 2 o'clock. We did hear about the rituals that
 were performed from members of the SAPS. I'm sure it would

16 have exhibit LLLL2 on the screen? This is the statement of

ready for war, I think he used the words, either commit

crimes or engaged in faction fighting. Was that

MR BLOU:

information communicated to Lonmin by the police?

with Captain Govender in one of the - in fact on the

Captain Govender where he makes reference to going to the koppie and seeing strikers performing rituals and making

Chairperson, we had a meeting

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Page 36513 Page 36515 have been Captain Govender. 1 CHAIRPERSON: Thank you. You may be 2 MR VAN AS: seated. You can keep your jacket on or take it off, Now did the police advice whichever makes you feel the most comfortable. You may be 3 Lonmin to place additional security at the NUM office 4 because they were anticipating some sort of second attack seated. Now when you were here last time we asked you 5 on the NUM office? about some documents. You remember? Have you been able to MR BLOU: 6 trace, find the documents? 6 Chairperson, not as far as I 7 7 MR SINCLAIR: can remember. Mr Chair, if I can just 8 MR VAN AS: 8 please recall which documents? Because there's, I was a Thank you, Mr Chairperson, 9 9 little bit confused. I didn't get a list of what I had to those are my questions. 10 CHAIRPERSON: 10 find. I looked through the things. I think the documents Thank you, Mr Van As. Thank you, Mr Blou, you'll be excused on the usual basis that I was required to find, if I can recall correctly, 11 11 12 were the sheets that were required - sorry, the deployment 12 that if it's necessary for us to get you back we won't have sheets that were in the control room and then if I recall 13 to subpoena you; you'll come back when we ask you to do so. 13 MR BLOU: 14 14 Thank you, Chairperson. it was the deployment of Protea Coin. I think that was the 15 CHAIRPERSON: Are you prepared to give 15 other one that I was required to - and I don't recall which that undertaking? 16 of the other ones that I was required to -16 17 MR BLOU: 17 CHAIRPERSON: That is correct. The ones you've mentioned 18 CHAIRPERSON: Yes, you're excused. 18 now, are those the only ones you've brought? 19 19 MR BLOU: Thanks. MR SINCLAIR: Those are the ones, the -20 [NO FURTHER QUESTIONS - WITNESS EXCUSED] 20 when I was, and I needed to be careful, but I didn't go to 21 CHAIRPERSON: Is Mr Sinclair available to 21 Middelkraal to go and look for them myself. I went through 22 continue with his evidence? 22 Mike da Costa and asked him to trace them, obviously to 23 MR VAN AS: He's outside, if we could 23 stay within the rules, and I recall, Mr Chairman, that the 24 24 just call him in, please, Mr Chairperson. deployment sheet, the initial deployment sheets that we 25 CHAIRPERSON: Ms Baloyi, you will used in the control room where we would put them on the Page 36514 Page 36516 walls with the plans and everything, we would use 1 continue the cross-examination -2 handwritten ones. We were, they were not in a substantial MS BALOYI: Yes, Chair. 2 MR WESLEY: Chair, if I could perhaps at 3 typed up form for that. Those I believe the team did try this stage just highlight where we stand with the cross-4

[11:05] Whether they have been put away somewhere and

6 they weren't able to find those. What they did find is the

7 ones that came a little bit later because that operation

8 obviously went on for quite a while so I have an example of

9 that type of deployment sheet that we, handwritten, that we

10 haven't been able to find exactly who was on it and who was

11 deployed. I have an example of the later ones that were

12 typed up, I've not been able to find that. And then as

13 regard to the Protea Coin deployment, if I recall correctly

14 in - may I just look at something quickly, please?

15 CHAIRPERSON: Yes, of course.

16 MR SINCLAIR: Thank you. If I recall

17 correctly, it has been submitted to me by the Lonmin legal

18 team, it's in the bundle that I was given. I don't know if

19 that is here or how the process works but it's in there 20 that the Protea Coin, their deployment sheets, I've also

21 been given examples. For instance, that specifies - sorry,

22 not of the deployment sheets for the Protea Coin on duty

23 but for instance what we were asking for with the

24 additional hard skin vehicles and those type of things,

I've been able to find those, Mr Chair.

3 4 5 examination that's remaining of Mr Sinclair, because there has been a change. The SAPS have got 60 minutes left. NUM 6 7 and Mrs Fundi have 30 minutes left. Then AMCU have got an hour and a half. The families were then going to also have 8 9 an hour and a half but they've indicated they have no 10 cross-examination for Mr Sinclair. 11 CHAIRPERSON: Mr Gotz? 12 MR GOTZ: Chair, having regard to the 13 concessions that Mr Sinclair has already given and the 14 evidence of other witnesses since him it is not necessary 15 for AMCU to cross-examine Mr Sinclair. So -16 CHAIRPERSON: Thank you, Mr Gotz. I'm 17 grateful to you for communicating that to me now. Mr 18 Sinclair, I think I'd better swear you in again because 19 you've been out of the country since you last testified. Do you swear that the continued evidence you'll give in 21 this matter before the Commission will be the truth, the 22 whole truth, nothing but the truth? Please raise your right hand and say, "I swear, so help me God."

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me God.

GRAEME MILLER SINCLAIR:

RealTime Transcriptions

I swear, so help

Email: realtime@mweb.co.za

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Monday." You see that?

MR SINCLAIR:

MS BALOYI:

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Page 36517 CHAIRPERSON: When we take the next 1 2 2 adjournment you can hand them to Mr Wesley and we can then 3 take the matter further, if necessary. Were you not given 3 4 a list by the evidence leaders when you left of the 4 5 5 documents that you were asked to bring? MR SINCLAIR: 6 Mr Chair, I did ask for 6 7 that before we left and I have not been, unless it's come 7 to - but I have not been, got that it was this, this and 8 8 9 9 this unless I have missed it but I don't believe I have and 10 I tried to go through, I have read through the scripts to 10 see what was required and I've tried to stay as close to 11 11 that as possible -12 12 13 CHAIRPERSON: Alright, well, we won't 13 14 take that any further at this stage. Ms Baloyi, you're 14 cross-examining the witness. 15 15 CROSS-EXAMINATION BY MS BALOYI: 16 Thank 16 17 you, Chair. Good morning, Mr Sinclair. 17 18 MR SINCLAIR: Good morning, sir. 18 19 MS BALOYI: Mr Sinclair, if we could look 19 20 at exhibit FFFF6, yes, this document here and specifically 20 21 I'm looking at your report of the 10th of August which is 21 22 22 page 268 at the top. 23 COMMISSIONER HEMRAJ: 23 268 you say, Ms 24 Baloyi? 24 25 25 MS BALOYI: Yes, this one, thank you Page 36518 Commissioner. Mr Sinclair, this is a report from you, do 1 1 2

information of what to expect in the following days, am I correct? MR SINCLAIR: That was information that had been filtering through from various sources, Mr Chair. MS BALOYI: Yes. Now if you go to the next page of this document and you look at item 20, you say there, "Indications are that employees will not be reporting for work on Saturday, 11th August 2012." Do you see that? MR SINCLAIR: I see that. MS BALOYI: Am I correct, this sums up what your expectation is, Lonmin's expectation is about what will happen on the 11th, which is the Saturday? MR SINCLAIR: Mr Chair, I don't know if it was our expectations. That was information coming to us that we were saying this is the information we are receiving. Whether it was our expectations I cannot say that but this was the information that we, that was filtering through and we were trying to make sense of what information was coming through. MS BALOYI: Now if you had received any other information specifically that the strikers would be marching to the offices of the NUM to attack, to confront the NUM, if you received such information it would have been contained in this report, I would expect.

vou confirm that? MR SINCLAIR: Yes. MS BALOYI: And to whom is it directed? MR SINCLAIR: It is a report through to Mr Frank – is this one of the four, the briefs, yes. It is a report that I produced to send through to Mr Frank Russo-Bello who was my direct report, Mr Chair. MS BALOYI: Yes. Now, and this is a report, you're giving a report at the end of the 10th of 10 August, after the events of the 10th. I'm correct in that? 12 MR SINCLAIR: The 10th of August, yes, I 13 tied that up, if I remember, I think I finished it that 14 evening. 15 MS BALOYI: Now if you look at paragraph 16 2 of that document, it records what information you have at 17 that point when you write this report. You say to, you 18 say, "Conflicting information subsequently emerged. 1a) 19 being no employees will be permitted to work across Lonmin and access to bus transport would be denied." Then b), 21 "Employees would be permitted to work Friday night shift and Saturday day shift but work would be disrupted on

I see that, Mr Chair.

So this is about the

Page 36520 MR SINCLAIR: Mr Chairman, I think this report was concluded at about 11 o'clock that evening and I 3 think if I had had that information I certainly would have 4 included it in this brief to Mr Frank Russo-Bello. 5 MS BALOYI: Yes. Now moving on to something else, I would like to discuss with you about your 6 7 CCTV footage and unless you need me to call up videos, I 8 don't propose to do that. I'll just talk you through what 9 the evidence has been and indicate if you would like to see 10 any footage at all. We know from the evidence of Mr Botes, 11 amongst others, but also statements of Mr Blou and Mr 12 Botes, that the incident of the 11th of August, the 13 confrontation between the strikers and members of the NUM, 14 that was not covered on CCTV, on Lonmin CCTV. You are 15 aware of that? 16 MR SINCLAIR: I'm sorry, I missed that. 17 Could you just - the evidence of Mr Botes, what? 18 MS BALOYI: And the statement, a 19 statement deposed to by Mr Blou, okay? From that, we have been told that the incident of 11th August was a 21 confrontation between the strikers and the NUM, was not 22 covered or recorded on CCTV. You are aware of that? 23 MR SINCLAIR: I am aware that there was,

that we were not able to find footage of that confrontation

in the road past Wonderkop stadium towards the bus station.

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24

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1 We weren't able to find CCTV footage of that to – and I

2 don't think they have been able to find CCTV footage.

3 MS BALOYI: Yes.

4 CHAIRPERSON: Also am I correct in

5 thinking after you get to the bus stop you actually have to

6 turn the corner to come to the police satellite station and

7 the NUM office?

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8 MR SINCLAIR: If you're going from

9 Wonderkop stadium down towards the bus stop, when you get

10 to the T junction you turn left to the NUM offices and

11 right to the hospital, sir.

12 CHAIRPERSON: Is there no footage

13 available as to what happened near the NUM office? You

14 said there wasn't footage from the Wonderkop stadium to the

bus stop but from the bus stop to the NUM office, is there

no footage available for that either?

17 MR SINCLAIR: Sir, Mr Chair, we have two

18 or three cameras. I can't remember exactly but I know

there's two or three cameras. One critical camera is close

20 to the bus stop that can, it's one of those cameras, I

21 forget whether it can go all the way around and that can

22 see the NUM offices, it can see the houses, it can see down

23 towards the hospital and then back up towards the road.

24 However, there were trees on that one. I know that when I

25 looked at other footage there, there were trees on that

Page 36522

one. There's another one up towards the workshop area

2 halfway up to where the kitchen is in Wonderkop. That,

3 that one was there and there's one at the offices in – at

4 the technical offices. I think those are the three in that

5 area. They are all on the same system. Does that answer

6 your question?

CHAIRPERSON: No, it doesn't answer my

question. You told us there's no footage available from

9 the Wonderkop stadium to the bus stop. I want to know, is

10 there any footage available from the bus stop through to

11 the NUM office?

12 MR SINCLAIR: I haven't been able to find

any footage and I believe that the technical team have

14 looked and I believe they haven't been able to find on that

15 particular day, but I stand corrected whether they have

16 been able to subsequently find it.

17 CHAIRPERSON: Thank you.

18 MS BALOYI: Mr Blou, I'm trying to find –

19 I beg your pardon, Mr Sinclair, I'm trying to find the

20 statement from Mr Blou. Mr Blou, in one of his statements,

21 in fact said the camera that would have captured that scene

22 or that incident, that area, was not working on the day.

23 Does that accord with what you know?

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24 MR SINCLAIR: Mr Chair, I would believe

25 that that was correct, that there was obviously a technical

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1 issue with that camera on that day because I would have

2 gone back that afternoon and said, guys, review for me. I

3 definitely would have asked for a review that afternoon,

4 not that I can recall doing it at 2 o'clock or 3 o'clock

5 but I would have gone back because that's one thing that I

6 was fairly systematic about and there was – and to the

7 best, I could find no footage. So I don't believe that any

8 of those cameras were working on that particular day, sir.

9 MS BALOYI: And the incident of the 12th

10 where the two Lonmin security were attacked, that too,

11 there is no footage of that attack on the security.

MR SINCLAIR: I don't, I believe there is

13 footage of that from the camera that I explained that was

at the bus stop that could go from the NUM offices all the

15 way around. There is definitely footage because I have

16 personally seen that footage and I've looked at that

17 footage two or three times, so there is definitely footage

18 on the Sunday the 12th.

19 MS BALOYI: Yes. Well, Mr Sinclair, I

20 think you may be referring to different footage from what

21 I'm asking you. I'm asking you about the attack itself as

22 it is happening, when Mr Fundi is attacked and when Mr

23 Mabelane is attacked, I'm asking you about that particular

24 footage.

25

MR SINCLAIR: There is footage, there is

Page 36524

footage. You cannot see the actual attack because there

2 are, if I recall correctly and I haven't looked at it for

3 at least two years but, Mr Chair, there is definitely

4 footage of the movement of those people that I recall,

5 there is footage and I think it's behind the tree, if I

 $\,\,$ 6 $\,\,$ recall correctly, of smoke coming out. There is footage of

7 the people that were there, moving into the roadway that

8 $\,$ turns to NUM. So there is – and I can't remember all the

9 details, as I say I haven't looked at that footage for a

10 long time but there is definitely footage of that. The

11 attack, I can't recall how clear that footage is, Mr

12 Chairman.

13

16

21

MS BALOYI: Yes.

14 MR SINCLAIR: And I'm sure it must be, I

15 think it has been given to you, I'm not sure.

MS BALOYI: Yes. Mr Sinclair, just to

17 make sure that we are talking about the same thing, could

8 we have exhibit L33 shown on the screen? This is a shot

19 from Lonmin CCTV and I want to see if this is what you may

20 be referring to, or something different.

MR SINCLAIR: Mr Chair –

22 MS BALOYI: Does this mean anything to

23 you, Mr Sinclair?

24 MR SINCLAIR: No, that's – that one is a

25 bit difficult. If I could see a short clip of the video I

Email: realtime@mweb.co.za

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Page 36527
                                                       Page 36525
    would tell you.
                                                                         this.
1
                                                                     1
2
           [VIDEO IS SHOWN]
                                                                     2
                                                                                CHAIRPERSON:
                                                                                                       1:35 at the moment but we
3
           MR SINCLAIR:
                                I cannot, I think that is
                                                                     3
                                                                         haven't seen much the last few seconds.
4
    the same video, Mr Chairman, because the shot at the bottom
                                                                     4
                                                                                MS BALOYI:
                                                                                                   Yes.
5
    I remember saying, "What is that, that is going on there"
                                                                     5
                                                                                CHAIRPERSON:
                                                                                                       So make your point.
                                                                                                   I'll try and do that, Chair.
                                                                     6
                                                                                MS BALOYI:
    when I was doing a review on that. So I believe that this
6
                                                                     7
7
    is from the same footage that I am talking about.
                                                                         Mr Sinclair, what I'm trying to establish with you is that
8
                              Yes.
                                                                     8
                                                                         from this footage you are unable to identify the persons
           MS BALOYI:
                                                                     9
9
           MR SINCLAIR:
                                                                         that were involved in the attack on Mr Fundi and Mr
                                 It's about 15 minutes long,
                                                                    10
                                                                         Mabelane, is that correct?
10
    if I remember, if I recall correctly, Mr Chair.
                                                                    11
11
           MS BALOYI:
                              Chairperson, I'm told it
                                                                                MR SINCLAIR:
                                                                                                      Mr Chair, that is correct.
                                                                    12
12
    might be helpful for Y1, video Y1 to be shown.
                                                                         Through that, we were not able to see where, we could only
13
           CHAIRPERSON:
                                  Alright, do that.
                                                                    13
                                                                         see the tree and the smoke. We could not see people or
14
           [VIDEO IS SHOWN]
                                                                    14
                                                                         identify people from that.
15
           MS BALOYI:
                              Is this the relevant -
                                                                    15
                                                                                MS BALOYI:
                                                                                                   And the same for the damage
           MR SINCLAIR:
                                 I believe this is the same
16
                                                                    16
                                                                         to property, vehicles of Lonmin.
    one. That's where that still photograph was taken of that
                                                                    17
17
                                                                                MR SINCLAIR:
                                                                                                     We could not see that
18
    group there, if I recall correctly.
                                                                    18
                                                                         either through this camera with that footage, Mr Chair.
19
           MS BALOYI:
                              And do you know, is this
                                                                    19
                                                                                MS BALOYI:
                                                                                                   Now the earlier clip which is
20
    before or after the attack on security?
                                                                    20
                                                                         exhibit L33 that we started out with, if we could go back
21
           MR SINCLAIR:
                                                                    21
                                                                         to that or in fact pick it up on this video, that's fine.
                                If you follow this video
                                                                         Now on this as well, which is part of the clip that we've
22
    through, I think it's the same video that you will see, go
                                                                    22
23
    back and you will see smoke starting to rise from where the
                                                                    23
                                                                         been looking at, was Lonmin able to identify any of the
24
    tree is.
                                                                    24
                                                                         people that are found in this clip?
                                                                    25
25
                                                                                MR SINCLAIR:
           MS BALOYI:
                              And you say -
                                                                                                      Mr Chair, to the best of my
                                                       Page 36526
                                                                                                                           Page 36528
                                                                         knowledge through our investigations, but remember this has
1
            MR SINCLAIR:
                                   I think it's a continuous
2
     video and it's all the same video, if I recall correctly,
                                                                         become a police investigation so we were very careful to
3
     Mr Chair.
                                                                         not infringe on that, from just our looking we were not
            MS BALOYI:
                                                                         able, to the best of my knowledge, able to identify anybody
 4
                                 Yes. And you say from this
                                                                     5
                                                                         in either of those groups but I do stand corrected on that.
5
     video you actually are not able to see the attack as it
                                                                                MS BALOYI:
     happens at the time that they're being attacked, the two
                                                                     6
                                                                                                  Yes. And you have another
6
                                                                         attack on the evening of the 12th, this is the attack where
7
                                                                     7
     securities.
                                                                     8
                                                                         Mr Mabebe was attacked and killed. Now we have, I think,
8
            MR SINCLAIR:
                                   If I - as I say, it was a
                                                                     9
9
                                                                         the evidence of Mr Botes who explained - no, I'm sorry,
     long time - if you go, if the video goes back to where the
                                                                         yes, I think it's Mr Botes who explains also that the
     tree is, you can see the smoke starting.
10
                                 Okay, could we please go back
                                                                    11
                                                                         cameras could not pick up that incident in the - I think
11
            MS BALOYI:
12
     on the video to the beginning?
                                                                    12
                                                                         it's described as in the car park. Are you aware of that?
                                                                    13
13
            MR SINCLAIR:
                                   And I think if I recall it
                                                                                MR SINCLAIR:
                                                                                                    I don't recall. I would
                                                                    14
                                                                         have had a brief look at those but I don't recall the
14
     might be after, it comes down -
                                                                    15
15
            [VIDEO IS SHOWN]
                                                                         details of that CCTV footage as I sit here right now, sir.
16
            MR SINCLAIR:
                                   Mr Chair, I think if I
                                                                    16
                                                                         [11:25] MS BALOYI:
                                                                                                     Okay. Now maybe let me ask
17
     could just help? I think it's a bit beyond that, that it
                                                                         you this way, as you sit there right now, do you know
     takes - it takes quite a lot of, those people go onto the
                                                                         whether Lonmin has been able to identify any of the people
18
19
     road and then it comes back to that tree and you can see
                                                                         that were - from CCTV footage whether Lonmin has been able
     the smoke through the tree and that, there, that you can
                                                                         to identify any of the people that were involved in the
20
21
     see now. You can see the smoke starting there but it's a
                                                                    21
                                                                         incident with Mr Mabebe.
    little while after this, sir, if I recall correctly.
                                                                    22
                                                                                MR SINCLAIR:
                                                                                                     I cannot recall whether
22
                                                                    23
23
          [VIDEO IS SHOWN]
                                                                         they have identified them or not, through the
24
         MS BALOYI:
                               Chair, I'm happy to stop here
                                                                    24
                                                                         investigations or through the police investigation.
    to try and make the point that I'm trying to make with
                                                                    25
                                                                                MS BALOYI:
                                                                                                  Yes
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RCHIVE FOR JUSTICE

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                                                                                                                          Page 36531
            MR SINCLAIR:
                                                                        Time is against us a little, but I want to deal with a
1
                                 Because again that was a
2
    SAPS investigation now, so Lonmin would have been, the
                                                                        general subject first of all and that is what we have
3
    Lonmin security would have been very careful to not
                                                                    3
                                                                        debated at some length with your colleague Mr Blou and is
4
    infringe on that.
                                                                    4
                                                                        accepted, I think, as being an inherently important part of
5
            MS BALOYI:
                                                                    5
                                                                        security planning, namely what has been described as
                               Yes. No, I'm interested more
                                                                        comprehensive scenario planning. Would you concur that
    in the footage, Mr Sinclair, whether there was any useful
                                                                    6
6
                                                                    7
7
    footage from that -
                                                                        that is an important factor?
8
            MR SINCLAIR:
                                 I don't recall that. I
                                                                    8
                                                                               MR SINCLAIR:
                                                                                                    I'm sorry, could I – I just
                                                                    9
9
    stand corrected but I don't believe that we, that Lonmin
                                                                        didn't understand. Could you just ask me the question
10
    security have identified any people in this or that
                                                                   10
                                                                        again, please?
11
    footage, Mr Chair.
                                                                   11
                                                                               MR TIP SC:
                                                                                                 Yes, with pleasure. What,
12
                                                                   12
            MS BALOYI:
                               And the next attack that we
                                                                        let me take it step by step. When one has the duty to plan
13
    have that I'm interested in is the attack on Mr Langa on
                                                                   13
                                                                        security arrangements then it is essential to examine the
14
    the 13th early in the morning. This is the employee that
                                                                   14
                                                                        situation, to examine the mood of the various role players
                                                                        and to give very careful consideration to the various
    was one the way to work, we have been told -
15
                                                                        possibilities that may arise. Would you agree with that?
16
            MR SINCLAIR:
                                 Is that the one at Eastern
                                                                   16
                                                                   17
17
    Platinum?
                                                                               MR SINCLAIR:
                                                                                                    That is a good way of
18
            MS BALOYI:
                               I think it is.
                                                                   18
                                                                        sorting out security issues, with a couple of things
19
            MR SINCLAIR:
                                 That's the one near the
                                                                   19
                                                                        attached to that depending on the circumstances, depending
20
    railway line at Eastern Platinum, yes, okay.
                                                                   20
                                                                        on the time available and the resources available, as to
21
                                                                   21
                                                                        what depth that is done but certainly that is - you would
            MS BALOYI:
                               Yes, that's the one. Now Mr
                                                                   22
                                                                        try and follow those steps, Mr Chairman.
22
    Botes was asked questions about it by Mr Chaskalson, about
23
    whether that incident was captured on CCTV and whether it
                                                                   23
                                                                               MR TIP SC:
                                                                                                 Yes. Thank you, Mr Sinclair,
24
                                                                   24
                                                                        that's fairly put and why I use the phrase "comprehensive
    could have been captured and his answer, in short, was that
                                                                        scenario planning" is because that phrase was used in the
25
    it was dark, it couldn't be - there wasn't any CCTV footage
                                                       Page 36530
                                                                                                                          Page 36532
                                                                        ICAM report and I take it that you are familiar with the
    of that incident, it couldn't pick it up. Are you aware of
1
2
    that?
                                                                        ICAM report, not in every detail but overall what I dealt
3
           MR SINCLAIR:
                                 I don't know the time of
                                                                    3
                                                                        with.
4
    the incident but certainly I would concur with Mr Botha
                                                                    4
                                                                               MR SINCLAIR:
                                                                                                    Mr Chairman, I believe
5
    where, my knowledge of where the cameras are placed and
                                                                        that's the ICAM, I think that was one of the other
    their capabilities, that if it was dark and obviously I -
                                                                        documents that I needed to look at or somebody told me I
6
                                                                    6
7
           CHAIRPERSON:
                                  It was about 3 o'clock in
                                                                    7
                                                                        needed to look at. So I am, I have paged through it.
                                                                    8
8
    the morning.
                                                                        Could I have your question please, sir, again?
9
                                                                    9
                                                                               MR TIP SC:
                                                                                                 Yes. I think to assist you
           MR SINCLAIR:
                                 Then definitely our
10
    cameras, these cameras are not night vision cameras. They
                                                                   10
                                                                        let me call up a page of that ICAM report.
    would not have been able to get that and from my
                                                                   11
                                                                               CHAIRPERSON:
                                                                                                     Is the one for the 11th of
11
12
    understanding, that I never visited that scene personally
                                                                   12
                                                                        August -
13
    but from my understanding that was too far away from our
                                                                   13
                                                                               MR TIP SC:
                                                                                                 RRRR2.2.
14
    cameras based at the Eastern Platinum hostel area, sir.
                                                                   14
                                                                               CHAIRPERSON:
                                                                                                     Yes.
                                                                   15
15
           MS BALOYI:
                              Thank you, Chair, those are
                                                                               MR TIP SC:
                                                                                                 Now just familiarise
16
    my questions.
                                                                        yourself. I'm going to ask the operator in a moment just
17
           CHAIRPERSON:
                                  Thank you. Mr Wesley, who
                                                                   17
                                                                        to scroll down but this is the document that you would have
18
    is going to cross-examine next?
                                                                   18
                                                                        seen, the ICAM report that was compiled as a result of the
19
           MR WESLEY:
                               Chair, what remains is NUM
                                                                   19
                                                                        investigations that were done into the incidents in August
20
    and Mrs Fundi for half an hour, Chair.
                                                                        2012 and some of the incidents thereafter, as you see,
21
           CHAIRPERSON:
                                  Mr Tip?
                                                                   21
                                                                        August to November 2012. Are you familiar with what you're
            CROSS-EXAMINATION BY MR TIP SC:
22
                                                        Thank
                                                                   22
                                                                        looking at, Mr Sinclair?
    you, Chair. Well, as you've heard, Mr Sinclair, I'll be
                                                                   23
                                                                               MR SINCLAIR:
                                                                                                    Yes, I am, sir.
    putting some questions to you on behalf of NUM and also on
                                                                   24
                                                                               MR TIP SC:
                                                                                                 Yes, thank you. Then let us
```

behalf of Mrs Fundi and there will not be many topics.

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just scroll to page 12, please. That is a page that was

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compiled obviously by the authors of the report and

- evidently with the intention that a person picking up the 2
- 3 report would give, would see that as attracting particular
- prominence in the thinking of those who did the report. Do 4
- 5 you follow me?
- MR SINCLAIR: 6 I'm listening, sir.
- 7 MR TIP SC: Yes, good. And the subject
- matter that is identified there is, "Absent and failed 8
- 9 defences" which we understand and Mr Blou confirmed is a
- 10 reference to what was lacking in the response of Lonmin to
- the situation, a difficult situation that unfolded in the 11
- 12 course of the period that is being examined. Would that,
- 13 would you concur with that?

14 MR SINCLAIR: There were, there were 15 definitely absent and failed defences that were, after the

incidents when the inquiry was going on, that were 16

17 highlighted.

18

1

9

MR TIP SC: Yes, thank you. And the one

- 19 that I particularly want to draw to your attention and
- 20 you've probably read it as we're speaking, paragraph 2,
- 21 what is identified as an absent or failed defence is the
- following, I quote, "Comprehensive scenario planning not 22
- 23 conducted and embedded across the operations, which is risk
- 24 based and details tactical actions." I suppose that that
- 25 language would be familiar to you?

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21

MR SINCLAIR: It is familiar to me, sir.

2 MR TIP SC: Yes. In short, what the

- 3 compilers are highlighting here is that there was not
- 4 comprehensive scenario planning which was risk based and
- 5 which detailed the tactical actions that ought to have been
- 6 implemented by the security. Would that be a fair
- 7 description of it?

MR SINCLAIR: 8 Mr Chair, that is the view

of the team that was sitting together and had compiled the

- 10 comprehensive document. There were aspects of that that,
- 11 although they compiled that and to their belief collected
- 12 the information and put it down and put this in, there were
- 13 a couple of stages in the completion of this ICAM that
- 14 would have dealt with those three points. One would have
- 15 been a review of the ICAM team with the security management
- 16 team. Now that, I know this document was put out and I
- 17 don't remember when it came to there - I got a partial view
- 18 of, I got this sent to me, all those details of the views
- 19 and there were reasons how we came to dealing with mainly
- 20 the four issues there to give us an overall picture of what
- 21 the absent and failed things were and the other things that
- 22 we would need to look at. We never went back and reviewed
- this with that team, to the best of my knowledge, although
- 24 Henry Blou was responsible, together with Jacques Erasmus,
- 25 to deal with this ICAM. I don't believe that the

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investigators. I don't believe the investigators sat with

- 2 them to verify whether they had detected that as a correct
- 3 and comprehensive failed, absent and failed defence. So
- 4 don't believe we went to that review. I stand corrected
- 5 but I certainly don't believe we went to that. So when I
- 6 understand your question is, should that be taking place?
- 7 Yes, it should be taking place in any security scenarios,
- 8 time and the things that I've already mentioned. Did it
- 9 take place? To my knowledge there was a lot of scenario, a
- 10 great deal of scenario planning based on risks and tactical
- 11 options that did take place. Now where the missing is
- 12 between this number, this point number 2 and what I'm
- 13 telling you, I haven't analysed and I've actually parked
- 14 the conversation deliberately while I'm under oath and
- 15
 - giving here, to go back and address this once I'm released
- 16 from this because I believe sincerely that there is
- 17 something, a part of the detail that should have been done.
- 18 I don't know if it was done, I haven't been able to ask and
- 19 it probably would have a slightly different outcome on
- 20 these three points if it had been done.
 - MR TIP SC: Right. Thank you, Mr
- 22 Sinclair. You'll doubtless find it interesting when you do
- 23 take, undertake that exercise of going back but let me just
- 24 put very crisply, if I can, the proposition to you that
 - there were indeed very serious shortcomings in the

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- planning, the scenario planning in the course of the days,
 - at least 10, 11 and 12 August 2012. Would you accept that
- 3 there were such shortcomings?

MR SINCLAIR: Mr Chairman, I would accept

- 4 that under the rapid and changing conditions that we were
- 6 placed under with things that we had never anticipated - we
- 7 had never anticipated the violence, the levels of violence,
- 8 we had never anticipated that security had never, to my 9
- knowledge, been attacked with the exception of, I remember
- 10 briefing you on the Bapong things where we used, with the
- 11 vehicles, with scenario planning and how we did. That was
- 12 the only time security had actually ever been attacked.
- 13 So we were, there were definitely things that
- 14 just transpired in such a rapid way that yes, we would have
- 15 missed some of the steps by scripting and documenting, by
- 16 pulling teams in, because we were a small team deployed
- 17 across a vast area and we were totally taken by things that
- 18 were transpiring. So certainly we would have missed some
- 19 scenario planning. Scenario planning would have been done.
- 20 We would have missed some risks, risks would have been
- analysed. We definitely would have done those, if I can

answer, and I don't know, Mr Chair, if that has answered

Do you say -

23 the question, sir.

24

25

COMMISSIONER HEMRAJ:

MR SINCLAIR: Sorry?

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Page 36537 COMMISSIONER HEMRAJ: Would you say that 1 2 because of the circumstances that the scenario planning 3 that was done, was not as comprehensive as it ought to have 4 been? 5 MR SINCLAIR: Mr Chair, on all the previous unrests and things we had, we would have had 6 7 scenario planning and risk things and we had a system. That was the system that we explained, on the boards, 8 9 writing on the white boards, writing on the things. We 10 would have had a system where we got together - and we had 11 managed well up until that stage but because of these circumstances we were placed, we were stretched to our 12 13 limits, we were placed with things that we had not 14 anticipated and we couldn't really just go by what we had 15 traditionally been doing effectively in this circumstance. So yes, I'm sure that we made quite a few or omitted things 16 in that, not deliberately because we were a very 17 18 conscientious team but definitely we would have omitted, 19 and looking back, we need to go and review that. And I 20 think it has and in fact I would - that it has been 21 reviewed and if could just go one step further, I don't 22 want to delay but this recent five month disruption that we 23 had, everything was done completely differently to what we 24 had before the 12th to the 16th and then over the 12th to the

at Saturday and reading down from the top in that column, "A call was made to the shaft mine overseer of K4 shaft by a Lonmin employee to inform him of an attack that will be carried out on K4 shaft on Sunday night. The employee were 5 informed about the attack by people planning the attack. 6 The employee had a good relationship with the mine overseer 7 as they worked together previously." And then in column 3 8 again from the top, "The mine overseer confirmed with the 9 employee that he inform security about the planned attack 10 to alert security and time about the planned attack for security to be prepared for the possible attack." And I'll 11 12 stop reading at that point. Now this is a very specific 13 recordal by the ICAM investigators of this report which, as 14 I have identified, was conveyed to the mine overseer and, as noted there, was evidently also conveyed to security as at the afternoon of Saturday. Now, there appears not to 17 have been any reaction from the security establishment to 18 this early warning of an intended attack on K4 shaft on the 19 Sunday evening. Do you follow what I'm putting to you? 20 MR SINCLAIR: Just your last, there 21 appears to be? 22 MR TIP SC: There appears not to have 23 been any reaction from within the Lonmin security 24 establishment to this early warning of what was evidently intended by some of the strikers to take place on the

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and I hope I haven't over-extended but I think that there 2 were things missing and we needed to move forward and that 3 and get it right.

16th and to now. So yes, I think if that was the question

4 MR TIP SC: Thank you, Mr Sinclair. I'm 5 going to leave the broad overview type question at that point. 6

7 MR SINCLAIR: Yes, sir.

MR TIP SC:

25

1

8

24

9 two specific instances which I will do by examining with you RRRR2.4. I suppose that you recognise that, Mr 10

Sinclair, as being a timeline of a series of timelines that 11

12 were prepared and formed part of the ICAM investigation and report. 13

14 MR SINCLAIR: It's the first part of an 15 ICAM report, to my understanding.

16 MR TIP SC: And if we could look at page 17 2 and you will see there in the second column and, Mr 18 Sinclair, I will just read down if you follow me as I do

19 so, "A call was" - this is, I must point out first of all, that this is time-dated 11 August, in other words the

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21 Saturday and you'll recall that there was an attack on the

22 K4 shaft at about 9 o'clock on the Sunday, 12 August, that

Yes.

sequence is before you? 23

MR SINCLAIR:

MR TIP SC:

Thank you. So we're looking

And ask you to look at one or

Sunday evening.

[11:45] Namely that K4 Shaft would be attacked. Do you 2

3 follow? Now the question that has arisen in these

4 proceedings, Mr Sinclair, and I'd be glad if you can assist

5 us, is, (a), whether you have any knowledge from your

6 position as the ultimate head of security and emergency

7 management of this report having been fed into the security

8 information network; and (b), if you have no knowledge of

9 that and if it appears that it was not acted upon, whether

10 there has been an investigation subsequently into why there

was no reaction. I've put a few things together in that 11

12 one question. If it would assist you for me to break them

up I'll do so, but if you followed me then please let us 13

14 have your response.

15 MR SINCLAIR: Just give me your questions

16 on (b) again, please.

17

MR TIP SC: Yes, (b) -

18 MR SINCLAIR: So it's no knowledge?

19 MR TIP SC: Whether if indeed it is so

20 that there was no recorded knowledge within security or 21

response from security to this information, whether that in

22 itself as an apparent shortcoming of very serious extent

23 was investigated to find out why there had not been a

24 response to this very important information. Again let me

ask you whether that's all clear, because I know that

```
Page 36541
                                                                                                                          Page 36543
     there's quite a lot of content there.
                                                                        this information has itself been investigated as a
 2
            MR SINCLAIR:
                                                                    2
                                                                        shortcomina.
                                 Okay, Sir. I will, if I
 3
     could just go through step by step. Mr Chairman, firstly
                                                                    3
                                                                               MR SINCLAIR:
                                                                                                    If that -
                                                                               MR TIP SC:
 4
     is the comments that are in the ICAM report, they are not
                                                                    4
                                                                                                 In other words to whom did
 5
     the information to inform him that the attack will be
                                                                        this information come? Why was it not acted upon?
     carried out on K4 Shaft. K4 Shaft and the surrounding area
                                                                    6
                                                                               MR SINCLAIR:
 6
                                                                                                    Okay, sure.
                                                                    7
                                                                               MR TIP SC:
                                                                                                 That in itself is a point of
 7
     is a huge area, so I just want to highlight that point. K4
     Shaft is also, with it being specified that they are
                                                                    8
 8
                                                                        enquiry to see what went wrong.
                                                                    9
 9
     planning attack on K4 Shaft, it is extensively security
                                                                               MR SINCLAIR:
                                                                                                    So I cannot say whether
     fenced. It has extensive lighting. It has extensive
                                                                   10
                                                                        Henry has taken it up. I definitely did not see it for
10
11
     lighting, it has extensive things, and those - so where the
                                                                   11
                                                                        quite a while afterwards. So I didn't take it up. Was it
                                                                   12
12
     employee is saying on K4 Shaft, whereabouts? Is it on the
                                                                        taken up by Henry? But what I am very aware of as that the
13
     road coming to the shaft? Is it at the -
                                                                   13
                                                                        scenario planning in the lead up of the, after the Sunday,
14
            MR TIP SC:
                              Mr Sinclair, you'll forgive
                                                                   14
                                                                        the Sunday morning attack, scenario planning around all the
15
                                                                   15
                                                                        shafts, including K4, we very specifically placed our
     me for interrupting you but you are with respect just
16
     directing your answer towards the circumstances at K4
                                                                        people where we believed we were vulnerable; at K3, along
17
     shaft, and although those are important my questions are
                                                                   17
                                                                        the road to K4 and around the area. So there was
18
     directly very specifically to the aspect of what happened
                                                                        definitely a reaction in that area, and I know that because
                                                                   19
19
     to this information?
                                                                        I have reviewed deployments. I recall reviewing
20
            MR SINCLAIR:
                                 So the -
                                                                   20
                                                                        deployments that we were out in that area. So there was a
21
                                                                   21
                                                                        reaction. Whether it was because of this comment from the
            MR TIP SC:
                              That is where the question
                                                                   22
22
                                                                        mine overseer on the 11th, there was a reaction to security
     goes.
23
                                                                   23
                                                                        in that area and scenario. Exactly what it took from Dirk
            MR SINCLAIR:
                                 So that's - thank you.
                                                                   24
24
     Chair -
                                                                        Botes, from Henry, from the people who were undertaking
25
            MR TIP SC:
                              Was the information acted
                                                                        this I cannot say, Sir. Does that answer your question,
                                                       Page 36542
                                                                                                                          Page 36544
     upon, and if not, has that fact, namely the failure to
                                                                    1
                                                                        Sir?
 1
                                                                    2
                                                                               MR TIP SC:
 2
     react, been investigated?
                                                                                                 Partly, Mr Sinclair. I think
 3
            MR SINCLAIR:
                                 And - so Mr Chairman.
                                                                        just for the record I must put to you that there is
 4
     sorry, but I needed to just say that; I thought it was
                                                                        evidence that the situation at K4 Shaft on the Sunday
 5
     important. So has the information been acted on? Who
                                                                        evening was in no respect any different from what it had
                                                                    6
                                                                        been previously and that there was indeed no protection for
 6
     received that report? Was it just a security guard at the
 7
     shaft? I can't say. And was it there? I don't have
                                                                    7
                                                                        employees there. I put that to you. If you have a brief
                                                                    8
 8
     knowledge here as I sit of this report coming through.
                                                                        comment, please make it, otherwise we -
 9
                                                                    9
     However, it could have come through to the structures we
                                                                               MR SINCLAIR:
                                                                                                    I don't understand the
                                                                   10
                                                                        question, I'm sorry.
10
     have in place; the security managers out at the units. It
                                                                   11
                                                                               MR TIP SC:
                                                                                                 Yes.
11
     could have come through to the roving managers. It could
                                                                   12
12
     have come through to the call centre. Whether it is
                                                                               MR SINCLAIR:
                                                                                                    There was?
13
                                                                   13
                                                                               MR TIP SC:
     recorded in that I don't know. So I personally do not
                                                                                                 The evidence that has been
     recall whether this personally came through and was
                                                                   14
                                                                        placed before the Commission thus far is that the situation
14
     highlighted to me in that process that I was explaining
                                                                        at K4 Shaft at the time of the attack at approximately 9
15
16
     with the scenario planning and that, with the overwhelming
                                                                        o'clock on Sunday evening the 12th of August was no
17
     things that came through. I do not recall having knowledge
                                                                   17
                                                                        different from what it normally was, meaning that there was
18
     of this coming through until well after the time. Does
                                                                   18
                                                                        no additional security there, and meaning more importantly
                                                                   19
19
     that answer part (a) of your question?
                                                                        that there was no effective protection whatsoever for
                             It does, yes. If you could
                                                                   20
20
            MR TIP SC:
                                                                        employees who came to work at that shaft that evening.
21
     deal briefly with part (b), Mr Sinclair, I'd be grateful,
                                                                   21
                                                                               MR SINCLAIR:
                                                                                                    Mr Chair, I cannot agree
22
     thank you.
                                                                        with that. Firstly the shaft itself, we had spent a huge
                           Sure.
          MR SINCLAIR:
                                                                   23
                                                                        amount of time, effort and in securing the shaft, the
23
         MR TIP SC:
                              Let me just repeat (b), is
                                                                   24
                                                                        inside, the working for employees, with lighting, with
   whether the fact that there was no apparent response to
                                                                        cameras, with gate access, with all of those. There was
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                                                                                                                           Page 36547
    also an effort on our stretched resources of getting
                                                                     1
                                                                               COMMISSIONER HEMRAJ:
                                                                                                              But all three of
    patrols through the vulnerable area between K4 and K3 on
                                                                        them were not stationed at the access control point to -
2
                                                                               MR SINCLAIR:
3
    the roads to protect employees because that's traditionally
                                                                                                   I don't know where they
4
    where the attacks had taken place, the one in the ICAM of
                                                                        were stationed, Ma'am. They would have been stationed by
5
    April 2012 where one of our colleagues was brutally
                                                                        their, by the managers responsible for that. The contract
                                                                     6
                                                                        managers and the mine managers would have worked out where
6
    assaulted on his way to work through remote areas, so we
                                                                     7
                                                                        they should best be stationed.
7
    were very aware that those attacks are along those dark
                                                                    8
                                                                               MR TIP SC:
                                                                                                Thank you, Commissioner. Mr
8
    remote areas because the shaft itself was well fenced, well
9
                                                                    9
    lit, cameras, additional CCTV was activated and we were
                                                                        Sinclair, I just want to go on to a different topic partly
                                                                        because of time limitations.
10
    monitoring as much as we could with additional monitoring.
                                                                    10
                                                                               MR SINCLAIR:
                                                                    11
                                                                                                   Yes, Sir.
11
    So a whole lot was done to secure not just K4 but all the
                                                                    12
                                                                               MR TIP SC:
                                                                                                And I want to take you to one
12
    shafts across the property from Eastern Plats right the way
                                                                    13
                                                                        of your briefing -
13
    through to K4, which is an extensive area, Mr Chair.
                                                                    14
14
           COMMISSIONER HEMRAJ:
                                             Mr Sinclair, there
                                                                               CHAIRPERSON:
                                                                                                    Sorry, Mr Tip, I see it's
                                                                    15
15
    was one lady security officer who was deployed to the
                                                                        now almost 12 o'clock. I was proposing to take the tea
    access control where the boom entrance is. We've seen a
                                                                        adjournment now. Let's take it now then you can move on to
16
                                                                    17
                                                                        a new topic afterwards.
17
    statement from her.
18
           MR SINCLAIR:
                                 Mr Chair, to my
                                                                    18
                                                                               MR TIP SC:
                                                                                                That would be convenient,
19
    understanding – and I do stand to be correct – that there
                                                                    19
                                                                        Chair, thank you.
20
    was the deployment of the six officers at K4. There was
                                                                    20
                                                                               CHAIRPERSON:
                                                                                                    Quarter of an hour.
21
    also, because of the, and they had duties - and I don't
                                                                    21
                                                                               [COMMISSION ADJOURNS
                                                                                                            COMMISSION RESUMES]
22
    know what their duties are, they would have been dealt with
                                                                    22
                                                                                                       The Commission resumes. Mr
                                                                        [12:17] CHAIRPERSON:
23
    by the people responsible to ensure that they were
                                                                        Sinclair, you're still under oath.
                                                                    24
24
    effected. There were also roving patrols, and I know that
                                                                               GRAEME MILLER SINCLAIR:
                                                                                                                Yes, Sir.
    I had during the course of the Friday, Saturday and Sunday
                                                                    25
25
                                                                               CROSS-EXAMINATION BY MR TIP SC (CONTD.):
                                                       Page 36546
                                                                                                                           Page 36548
                                                                        Thank you, Chair. Mr Sinclair, I want to take up only one
    ensured that the roving patrols that visited regularly,
1
2
    were on a regular basis, they were in place, and that to
                                                                        further aspect with you today, and that is one of your own
3
    the best of my knowledge when I was talking with the vice
                                                                        briefing communications, exhibit FFFF6, please, at page
4
    president during the Saturday and Sunday, that the
                                                                        272, numbered page 272. Now Mr Sinclair, you may recall
5
    positions of who's going to be at work, are there limited
                                                                        that you had touched on your, what you called the mining
                                                                        security RDO disruption update, and you issued certain of
6
    people, do we have an assembly process in place for those
                                                                     6
7
                                                                     7
                                                                        those that went to Mr Russo-Bello.
    people who were going to work. So those had all been
                                                                    8
                                                                               MR SINCLAIR:
8
    considered. Whether it was recorded correctly I cannot
                                                                                                    That's -
9
                                                                    9
                                                                               MR TIP SC:
                                                                                                 Correct?
    say, but I'm certain that there were those patrols, or
                                                                    10
                                                                               MR SINCLAIR:
10
    those people in. I don't understand how there was out of
                                                                                                    That's correct, Mr Chair.
    that that I've just explained, that there was one lady by
                                                                    11
                                                                               MR TIP SC:
                                                                                                 Yes, and this one is brief
11
12
    herself. I don't know where I've missed that in the past,
                                                                    12
                                                                        number 4 which you evidently compiled on the 12th of August
                                                                        2012, the Sunday, at approximately 7:30 in the morning.
13
    but there was definitely more than that and there was a
                                                                    13
    limited team at the shaft with all the other infrastructure
                                                                    14
                                                                        You see that?
14
                                                                    15
                                                                               MR SINCLAIR:
15
    that I've, in place to assist with security, including the
                                                                                                    That's correct, Mr Chair.
                                                                    16
                                                                               MR TIP SC:
                                                                                                 That's correct. I'm going to
16
    access from K3 to K4, which is a substantial road. I think
17
    it's about two and a half kilometres to a very dark and a
                                                                        just read a few portions, if I may, and then ask you just
18
    bushy area. Those were in place, Ma'am, sorry.
                                                                    18
                                                                        one or two questions pursuant to that. If you'll just
19
            COMMISSIONER HEMRAJ:
                                             Well, the docket
                                                                    19
                                                                        follow what I read on screen, "Saturday afternoon and
```

23

evening saw no escalation in violence. However, reliable

information indicated that the group reported in the last

flare-up on Saturday morning at Wonderkop Hostel had

south area and were undergoing traditional rituals in

communication who were responsible for the confrontation

gathered some 1.5 kilometres away from the hostel in the

that relates to the investigation of this incident, if you

22 She asked the two other gentlemen security officers to

24 is what is contained in the docket.

MR SINCLAIR:

read the statements there it was one lady stationed there.

remain with her because she was afraid at that stage. That

Well, I -

21

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Page 36549
    preparation to attack the NUM representatives who
    confronted them in the Wonderkop Hostel on Saturday
2
3
    morning." You followed that; you're familiar, it's your
4
    own writing of course.
5
           MR SINCLAIR:
                                 Yes, Sir.
                              And then if you have a look
           MR TIP SC:
6
7
    at paragraph 2(a) you say the following there, "The
    security operation was stepped up on Saturday afternoon to
8
9
    a double red status because of the potential mass revenge
10
    conflict indicated in point 1." You see that?
11
           MR SINCLAIR:
                                 I see that, Sir.
12
           MR TIP SC:
                              And you understandably
13
    thought it appropriate to underline the words "mass
14
    revenge." You see that also?
15
           MR SINCLAIR:
                                 I can see that, Sir.
           MR TIP SC:
16
                              Now what of course that boils
17
    down to, Mr Sinclair, is a very clear recordal by you in
18
    this brief of the situation, namely that the group had
19
    moved to the koppie, as we know, that it had undergone
20
    rituals, that those rituals were in preparation for another
21
    attack on the NUM representatives who they had dealt, who
22
    had confronted them on the Saturday morning, and you saw
23
    this as being a potential mass revenge conflict. Correct?
24
           MR SINCLAIR:
                                 That's correct, Sir.
25
           MR TIP SC:
                              And really what, if I may put
                                                       Page 36550
    it in the language that I'd used earlier this morning, this
1
2
```

group. My question, is the question clear to you, Sir? 2 MR SINCLAIR: Please Sir, your question? 3 Will you give me the very - I just want the question, please. 5 MR TIP SC: The question is this, yes, 6 let me repeat that. The question is this; Mr Blou has told 7 this Commission that until after the incident had taken 8 place in which Mr Fundi and Mr Mabelane were killed, he had 9 not anticipated that there might be a retaliatory attack of 10 that kind. You're with me? And so the question that I'm 11 putting to you is given that you had very clearly 12 identified that prospect, did your identification of what 13 might happen get fed into the security information network so that people such as Mr Blou and others dealing with security matters that Sunday could address this very serious possibility? 16 17 MR SINCLAIR: Mr Chair, I would just like 18 to comment, I do not under - I cannot comment on why Mr 19 Blou, Henry Blou did not see this in the same light that I 20 saw it. So that's the first point I would make. The 21 second point is for me to put this into a report like this 22 to Mr Frank Russo-Bello, obviously we had already looked, 23 strategised and talked, whoever was the duty managers, and 24 put processes in place to try and cover eventualities that would be in our scenario planning. So definitely I would

it in the language that I'd used earlier this morning, this was in effect a very important item of scenario planning, or at least identification by you of something that appeared to be likely to happen. You agree with that?

MR SINCLAIR: I agree with that, Mr Chair.

MR TIP SC: And as we know there was indeed then the further, the second movement by the strikers intending to get to the NUM office and that is the one that led to the confrontation in which your officers

8 9 strikers intending to get to the NUM office and that is the 10 one that led to the confrontation in which your officers 11 Fundi and Mabelane lost their lives. 12 MR SINCLAIR: Yes, Mr Chair. MR TIP SC: 13 Yes. Now this is my question, Mr Sinclair; this information which you had thus 14 15 recorded at half past 7 in the morning of Sunday before the 16 incident began, this is before the group even started to 17 gather at the koppie, there was this very important 18 recordal by you of what was clearly potentially going to 19 take place and the question is how did you see to it that that went into the security network so as to ensure that 21 this potential event was dealt with in an appropriate way?

22 And I ask you that partly because Mr Blou, who as you know

24 8 o'clock on Sunday morning he had not foreseen the

25 possibility of a retaliatory or revenge attack by this

has given evidence, said that he had not foreseen, even at

have put it – did I put it to Henry Blou personally? I cannot recall, but it definitely would have been in our planning through the Saturday, with respect many hours in that, in and out, in and out of that when information like this had come through, would have definitely been put in and we would have tasked people, for instance Callie Miles out on the ground, be aware there is possibly a revenge attack; are you able to deal with this, and so we would have dealt with it. Whether Henry, and why Henry missed it I cannot comment on that, Sir.

CHAIRPERSON: What happens when you raise

the status of a particular operation to double red?

MR SINCLAIR:

CHAIRPERSON: To whom is that

communicated?

MR SINCLAIR: Okay, Mr Chair, this, in

this memo, I think this is the only place you will find

that word "double red." Ours is red, as I explained right
at the beginning when I came. So this double red was for
me to Frank Russo-Bello. I wanted to ensure that he was
reading the message the same as I was because obviously he
was our executive person going through. So that was a
point to him to say I'm seeing this as something that we
are very unsure of, but it has the potential to go there.

CHAIRPERSON: I don't understand that

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                                                                                                                           Page 36555
                                                                        information, which is very serious, that these people
1
    answer.
2
           MR SINCLAIR:
                                 Yes, Sir.
                                                                        according to our information are going to attack the NUM
3
           CHAIRPERSON:
                                  Here is a brief that you've
                                                                        representatives because it's a revenge situation and they
4
    issued 7:30 on the Sunday morning.
                                                                        are very angry and this I what they're going to do and we
5
           MR SINCLAIR:
                                 Yes, Sir.
                                                                    5
                                                                        want to do something to stop it. Isn't that right?
           CHAIRPERSON:
                                                                    6
                                                                               MR SINCLAIR:
6
                                  You say in the brief that
                                                                                                    Certainly if I had that
                                                                    7
7
    the security operation was stepped up on Saturday afternoon
                                                                        information it should have gone to him. Why it didn't I -
    to a double red status. So was that just something -
                                                                        you know I am, but it would have gone through to the rest
8
9
           MR SINCLAIR:
                                 That was -
                                                                        of my officials that were on duty and there. Why he missed
10
           CHAIRPERSON:
                                  - that was in your mind
                                                                    10
                                                                        it I cannot comment, and it's not good for me because he
                                                                    11
                                                                        should not have missed it, or I should have ensured that he
11
    that you didn't communicate to anybody?
                                                                    12
                                                                        had that information, Sir. I should have. Now I don't
12
           MR SINCLAIR:
                                 No, I definitely
13
    communicated it to Mr Russo-Bello. For instance we had -
                                                                    13
                                                                        know, we had many things going on at the time, lots of
14
           CHAIRPERSON:
                                  That was only at 7:30 the
                                                                    14
                                                                        additional, way beyond what we had normally been doing with
15
    next morning when you sent this brief. The question is did
                                                                        the strikes, so we had a lot of tasks on our hands and we
                                                                        had worked long hours. Why that had been missed I cannot
16
    anybody else on your staff -
17
           MR SINCLAIR:
                                                                    17
                                                                        share with you, Sir.
                                 Well, we have brought
18
    that -
                                                                    18
                                                                               CHAIRPERSON:
                                                                                                     He's your 2IC, isn't he?
19
            CHAIRPERSON:
                                                                    19
                                  - know that you had raised
                                                                               MR SINCLAIR:
                                                                                                    He was my 2IC -
20
    it to double red?
                                                                    20
                                                                               CHAIRPERSON:
                                                                                                     He's your chief of staff.
21
           MR SINCLAIR:
                                 We had brought in, for
                                                                   21
                                                                        Is that right?
22
    instance we had brought in the helicopter to assist us to
                                                                    22
                                                                               MR SINCLAIR:
                                                                                                    Lagree. Lagree with you,
23
    try and monitor what was going on, and remember your
                                                                   23
                                                                        Sir.
                                                                    24
24
    question why didn't it go out to K4. So we had, in that
                                                                               CHAIRPERSON:
                                                                                                     I mean surely the chief of
25
    process of going to red status we had done a whole lot of
                                                                        staff or the 2IC should be kept in the loop on all these
                                                       Page 36554
                                                                                                                           Page 36556
                                                                         important matters all the time. Is that right?
1
    things. We had tried to also bring in a whole lot of extra
                                                                    1
                                                                     2
                                                                                MR SINCLAIR:
                                                                                                    Chair, to the best of our
2
    vehicles. You will see - I don't know which brief it is,
3
    but there's definitely a brief where I've highlighted that
                                                                    3
                                                                         ability and the best of our knowledge we tried at all times
4
    we were having a huge problem in securing additional hard-
                                                                    4
                                                                         to do that. But there definitely would have been things
5
    skin vehicles. So they would have been, it would have been
                                                                    5
                                                                         that we would have slipped on. Here if he has said he
                                                                    6
    elevated to the teams and they would have been said to be
                                                                        wasn't aware of it, I believe that he wasn't aware of it.
6
7
    vigilant, to go out and see what they were doing, Sir.
                                                                    7
                                                                         I'm not going to dispute that, and that was then a
                                                                    8
8
            CHAIRPERSON:
                                  I don't understand how -
                                                                        breakdown somewhere in our scenarios and our bits and
9
                                                                    9
            MR SINCLAIR:
                                 I seem to be missing
                                                                         pieces to get it to every level. So yes, it can be a
                                                                    10
                                                                        severe criticism of we had a slip, but definitely the
    something -
10
11
            CHAIRPERSON:
                                                                    11
                                                                         people that were on the ground, it would have come from
                                  - Mr Blou, a senior
12
    official as Mr Blou doesn't know, hasn't been told that
                                                                    12
                                                                         them. That's why I would have had it and that's where we
13
    it's now double red and, what is more important, the reason
                                                                    13
                                                                         would have put that out and this was elevating it through
    for that, namely this potential mass revenge conflict.
                                                                    14
                                                                         to Russo-Bello. I don't know if I'd sent this brief on to
14
15
            MR SINCLAIR:
                                                                    15
                                 I cannot comment on why he
                                                                        him, he probably wouldn't have seen it because we had very
    was missed in that equation, Sir.
                                                                    16
                                                                         little time to read emails over this period of time, Sir.
17
            CHAIRPERSON:
                                   But should he not have
                                                                    17
                                                                                COMMISSIONER HEMRAJ:
                                                                                                                Revenge, mass
18
    been -
                                                                    18
                                                                        revenge referred to there, the revenge, who do you
19
            MR SINCLAIR:
                                  It should have been
                                                                    19
                                                                         understand it to be in relation to?
20
    communicated to him, Sir.
                                                                    20
                                                                                MR SINCLAIR:
                                                                                                    The, I was getting a feel
21
            CHAIRPERSON:
                                  Yes, should it not have
                                                                    21
                                                                         from people that I talked to on the mine, from our security
    been pertinently brought to his attention, to say look
22
                                                                    22
                                                                         teams that there was something going on. Now was it going
    here, not only the double red part -
23
                                                                    23
                                                                         to be at K3, was it going to be at the NUM offices, I
24
         MR SINCLAIR:
                                  Yes, Sir.
                                                                    24
                                                                         don't, I didn't mention the NUM offices because I didn't
            CHAIRPERSON:
                                  - but to say we've got
                                                                        think it was going to be there. But there was going to be
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6

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revenge attacks. We had also, I can recall having many

- phone calls coming in from the vice presidents of the
- 3 various units, from engineers, from people saying we're
- 4 hearing this. There were many rumours that were coming in.
- 5 This was something that out of those rumours I had deducted
- 6 this from it and taken it forward and we had obviously had
- 7 the planning sessions the night before, the morning, tried
- to deal with it, Sir. 8

12

13

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16

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17

9 COMMISSIONER HEMRAJ: So practically your deployments then as a result of this information would 10

cater for the revenge factor? 11

> MR SINCLAIR: Would cater for within our limitations, within our abilities, and that's why, I think it was the previous brief, I was very clear to say to my executive that we were trying to secure additional, because of, additional vehicles, additional things and we were having a hard time because they'd been taken up by many

17 18 other companies. So yes, our planning would have been

19 around that and we were trying to put this holistic picture

20 over, Mr Chair.

21 COMMISSIONER HEMRAJ: The revenge would

have been more likely against NUM considering the 22

23 background, than against Lonmin structures and key points?

24 MR SINCLAIR: Definitely it would have

25 been, we were focusing on that being against the NUM

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members and we weren't really focusing on our

2 infrastructure, our people. We didn't, I worked, when I

3 did this I did not believe that we were going to be subject

of a revenge attack, my own personal opinion.

5 COMMISSIONER HEMRAJ: Thank you, Mr

Sinclair. I'm so sorry to have interrupted you, Mr Tip.

7 MR TIP SC: Thank you, Commissioner.

Chair, my time is up but there is with respect one

9 necessary follow-up question. May I request -

10 CHAIRPERSON: We did take some of your

11 time up. I think it only fair that I must -

12 MR TIP SC: Thank you, Chair.

13 CHAIRPERSON: - give you the benefit of

14 that, not to debit you with it.

15 MR TIP SC: Yes, it's just one follow-up

item. Mr Sinclair, thank you for the evidence you've

given, which clarifies matter very substantially. There is

18 one follow-up question that is important. Having

19 identified the possibility of this potential mass revenge

attack did you satisfy yourself as to what the practical

21 planning steps were that would be implemented by Lonmin

22 Security if indeed this potential revenge attack

materialised? Specifically if it became apparent that a

group of strikers were gathering, that it was evident at

25 least that they might be gathering in order to carry out

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the sort of attack that you had identified, what was in

place to deal with that situation if it materialised, and

3 did you satisfy yourself as to the adequacy of whatever may

4 have been planned?

MR SINCLAIR: Thank you. Mr Chairman, I was not in a comfortable space with the whole version, and

7 I'll be very blunt with that, I was not in a comfortable

8 space because we did not have with this thing that had

9 happened on the march on the Friday and the issue on the

10 Saturday, that we were now stretched across the whole of

11 the property. This was virtually the first time that we

12 had been that extended. That's why my brief about we are

13 not able to get in resources that we require, and I believe

14 it was the brief the day before this. However, we, with

what we had we definitely had strategised on where best to

place, and on the boards and the way we worked in that

17 room, and I'm just sorry that you actually never came there

18 and saw how we operated. It would have made this

19 conversation a lot easier, but how we worked and how we

20 were deployed and what was going on, I was on Friday and

21 Saturday, if I had done this brief at this time I would

22 have obviously had input from early in the morning, very

23 early in the morning, that these were going on. So I

24 believe we had things in place and who would be controlling

what and who would be going where to deal with potentials,

Page 36560

was, and where were the possibilities of this revenge

attack taking place. So yes, I think - I hope I've

answered your questions. Yes, there had been input by me.

4 I do not know how Henry had missed it. There had been

input by me. The teams in place, and I can't find those

6 with the lists that you asked me to go back and find, can't

7 find who were the actual response - I've got an example of

8 how we do it.

9 [12:37] So I've got a very good example of how we did it

10 in our format, who would have been in place and I was

11 satisfied under the circumstances, not knowing what was

12 going to - not knowing the exact outcomes and not going in

13 and saying, well, this was a possibility and this was a

14 possibility. Time was just against us to actually get

15 those details in place but certainly I think we had put the

16 maximum effort within our capabilities in place to deal

17 with it, Mr Chair. Does that, did I answer the question -

18 MR TIP SC: Thank you, Mr Sinclair. We 19 have the answer, Chair, thank you. Those are our

20 questions.

21 CHAIRPERSON: Thank you, Mr Tip. Who is

next, Mr Wesley? Ms Pillay applied - she said, she told me

23 that she'd anticipated certain points would be raised by

24 other counsel. Other counsel have decided not to utilise

their time so she applied for an hour which I granted her.

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Page 36563
                                                       Page 36561
           MR WESLEY:
                              Thank you, Chair.
                                                                        then - my understanding was that it was four of the nine.
1
                                                                    2
2
           MS PILLAY:
                                                                               MS PILLAY:
                             Thank you, Chair. Just to
                                                                                                 Well, if we look at the
3
    kick off with a bit of housekeeping, Chair, we have one new
                                                                        summary on page 1 and 2 of the report you will see that the
4
    exhibit which is annexure, file 2 tab 15 as an annexure to
                                                                        ICAM team goes through each of the incidents they were
5
    the ICAM report.
                                                                    5
                                                                        meant to look at, incidents 1 to 9, and set out that
           CHAIRPERSON:
                                                                    6
6
                                 [Microphone off, inaudible]
                                                                        essentially they didn't conduct thorough investigations
7
           MS PILLAY:
                             It's file 2 tab 15 of the
                                                                    7
                                                                        into seven of the incidents because they were either
8
    ICAM report, it's an annexure to the ICAM report, that's
                                                                    8
                                                                        subject to police investigation or they didn't have enough
9
    correct.
                                                                        information to conclude the investigations. So they only
10
           CHAIRPERSON:
                                 And what will it be?
                                                                        concluded investigations in relation to incident 2 and
           MS PILLAY:
11
                             It will be FFFF10.
                                                                   11
                                                                        incident 3.
                                 Yes. So FFFF10 will be
                                                                   12
12
           CHAIRPERSON:
                                                                               MR SINCLAIR:
                                                                                                    If I look at, which report
                                                                        that says -
13
    file 2, tab 15 of the ICAM report.
                                                                   13
           CROSS-EXAMINATION BY MS PILLAY:
                                                                   14
14
                                                      Good
                                                                               MS PILLAY:
                                                                                                 The same report where we are
15
    afternoon, Mr Sinclair.
                                                                   15
                                                                        now, pages 1 and 2.
           MR SINCLAIR:
                                Hello, ma'am.
                                                                   16
                                                                               MR SINCLAIR:
                                                                                                    This report?
16
17
           MS PILLAY:
                             Mr Sinclair, if we can kick
                                                                   17
                                                                               MS PILLAY:
                                                                                                 Yes.
18
    off with DDDD2 which is the ICAM report and specifically
                                                                   18
                                                                               MR SINCLAIR:
                                                                                                    Yes?
19
    page 1 of the report just so that we can be clear on the
                                                                   19
                                                                               MS PILLAY:
                                                                                                 You'll see from the summaries
20
    purpose of the ICAM report. Now we see from page 1, Mr
                                                                   20
                                                                        which appear on page 1 and 2, that the ICAM team only made
21
    Sinclair, that there are, the purpose is fourfold. It's
                                                                   21
                                                                        conclusions in relation to two of the incidents listed in
22
    firstly to identify the causes of the incidents which are
                                                                   22
                                                                        the report.
23
    dealt with in the report, secondly to identify contributory
                                                                   23
                                                                               MR SINCLAIR:
                                                                                                   Mr Chair, I don't
24
    factors, thirdly to determine lessons learned and fourthly,
                                                                        understand that and I think it would be appropriate for me
25
    to make recommendations on possible areas of improvement in
                                                                        to comment on that because to my understanding that there
                                                       Page 36562
                                                                                                                          Page 36564
    order to improve management of security and emergency in
                                                                    1
                                                                        were four time lines and issues that were done.
1
                                                                    2
2
    order to prevent recurrence of those incidents. Do you see
                                                                                MS PILLAY:
                                                                                                   Alright -
3
    that?
                                                                    3
                                                                                MR SINCLAIR:
                                                                                                      But I, but I stand
4
            MR SINCLAIR:
                                 I see that, Mr Chair.
                                                                    4
                                                                        corrected.
                                                                    5
5
            MS PILLAY:
                              And that is consistent with
                                                                                MS PILLAY:
                                                                                                   We leave incident 1 aside now
    your understanding of the purpose of the ICAM report.
                                                                        because that doesn't real with this Commission's terms of
6
7
            MR SINCLAIR:
                                 That is what I understand,
                                                                    7
                                                                        reference. I am referring specifically to the incidents
8
    Mr Chair.
                                                                    8
                                                                        relevant to this Commission's terms of reference. It's
9
                                                                    9
            MS PILLAY:
                                                                        only conclusions in relation to incidents 2 and 3.
                              Now we see further on page 1
                                                                   10
10
    of the report, Mr Sinclair, that the ICAM report initially
                                                                                MR SINCLAIR:
                                                                                                      Because of this
11
    refers to nine incidents listed on pages 1 and 2 of the
                                                                   11
                                                                        Commission's - okay.
12
    report, but we know that ultimately the ICAM report only
                                                                   12
                                                                                MS PILLAY:
                                                                                                   The ones that are relevant to
13
    dealt in any amount of detail with two incidents with - of
                                                                   13
                                                                        this Commission.
14
    the nine incidents it only dealt in detail with incident 2
                                                                   14
                                                                                                      So the ICAM, that is
                                                                                MR SINCLAIR:
    and incident - sorry, incident 2 and I'm just struggling to
                                                                   15
                                                                        correct, the ICAM to my understanding, dealt with incident
15
    find a second incident - sorry, incidents 2 and 3, isn't
16
                                                                        1 because it was prior -
17
    that correct?
                                                                   17
                                                                                MS PILLAY:
18
            MR SINCLAIR:
                                 Mr Chairman, my
                                                                   18
                                                                                MR SINCLAIR:
                                                                                                      - and it would help them.
    understanding of the report and the way this report was
                                                                        It dealt with incident 2 definitely. It dealt with the
    dealt with was through Henry Blou. He was the key figure
                                                                   20
                                                                        incident at K4.
21
    in security that was dealing with the ICAM and the ICAM
                                                                   21
                                                                                MS PILLAY:
                                                                                                   That's Mr -
22 team, that they were dealing - my understanding is that
                                                                   22
                                                                                MR SINCLAIR:
                                                                                                      And it dealt with the
23 they were dealing with four of, they had been tasked with
                                                                   23
                                                                        incident at Eastern Platinum with the man that was killed
24 four of the nine. That is my understanding. However, if
                                                                   24
                                                                        at the railway line. That is my understanding.
25 you are now sharing with me that it's only two of the nine
                                                                   25
                                                                                MS PILLAY:
                                                                                                   Well, let's deal with the
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                                                                                                                          Page 36567
     last one, that's Mr - the incident relating to Mr Langa, is
                                                                               MS PILLAY:
                                                                                                 Now I'd like to brief, deal
                                                                    1
2
     that correct, that you're referring to?
                                                                        briefly with this annexure, Mr Sinclair, and you'll see
3
            MR SINCLAIR:
                                  That's what I'm referring
                                                                        from 2.4 that the date of the offence is on the 13th at 3
4
    to, Mr Chair.
                                                                        o'clock in the morning, do you see that?
                                                                    5
5
            MS PILLAY:
                               Well, that's dealt with in
                                                                               MR SINCLAIR:
                                                                                                    I see that.
                                                                               MS PILLAY:
    the ICAM report as incident 4.
                                                                    6
                                                                                                 It's in between 3 o'clock and
6
7
            MR SINCLAIR:
                                                                    7
                                  That's correct.
                                                                        10 o'clock in the morning.
8
            MS PILLAY:
                                                                    8
                                                                               MR SINCLAIR:
                               And if we look at the summary
                                                                                                    I see that.
                                                                    9
9
     on the first page of the report it states that, "Mr Langa
                                                                               MS PILLAY:
                                                                                                 We see that the complainant
    was found dead in the vicinity of the EPR pump station and
                                                                   10
                                                                        is Obed Meko who is a Lonmin investigator, is that correct?
10
     the railway line directly north of Saffy shaft, with stab
                                                                   11
                                                                               MR SINCLAIR:
11
                                                                                                    That's, that's correct.
                                                                   12
12
     wounds after an anonymous tip-off was received at
                                                                               MS PILLAY:
                                                                                                 You see on the next page that
13
     approximately 8 o'clock in the morning. It is a suspected
                                                                        Mr Langa was occupied, his occupation was the production -
14
     case of intimidation as witnesses interviewed claimed that
                                                                   14
                                                                        I think he was the production team manager, is that
15
     he left his home at approximately 3 o'clock on his way to
                                                                   15
                                                                        correct?
    work."
                                                                   16
                                                                               MR SINCLAIR:
16
                                                                                                    Production team means he is
17
                                                                   17
                                                                        a production general worker, to my understanding of that
            MR SINCLAIR:
                                  I hear that.
18
            MS PILLAY:
                               So if we go to the portion of
                                                                   18
                                                                   19
19
     the report where incident 4 is dealt with and if I could
                                                                               MS PILLAY:
                                                                                                 Alright, I thought I saw
20
     ask that we go down to where incident 4 is dealt with in
                                                                   20
                                                                        somewhere that he was the manager but we'll accept for now
21
                                                                        that he was part of the production team and that he was
     the ICAM report and if, you'll see from page 4 of the
                                                                   21
                                                                   22
22
    report that where incident 4 is summarised it states there,
                                                                        employed at Saffy shaft.
23
                                                                   23
                                                                               MR SINCLAIR:
     "Lonmin investigation docket, file 2 tab 14, not enough
                                                                                                    I see that.
                                                                               MS PILLAY:
                                                                   24
24
    information available to complete the investigation." Do
                                                                                                 Do you confirm that he was
                                                                   25
25
    you see that?
                                                                        employed at Saffy shaft?
                                                       Page 36566
                                                                                                                          Page 36568
1
           MR SINCLAIR:
                                 I see that on this report,
                                                                    1
                                                                               MR SINCLAIR:
                                                                                                    Well, I've got - I see it's
2
    yes.
                                                                    2
                                                                        there, I can't confirm that but I see it's there, yes.
3
           MS PILLAY:
                              So you accept that there
                                                                    3
                                                                               MS PILLAY:
                                                                                                  Alright and if we can then go
4
    hasn't been a completed investigation of Mr Langa's -
                                                                    4
                                                                        to the investigation diary which is further down, two pages
                                                                        down, you'll see that this is the investigation diary
5
           MR SINCLAIR:
                                 I see this and this is
    tabulated, it must be correct, ma'am.
6
                                                                    6
                                                                        relating to Mr Langa's murder and that it's stated, "The
7
           MS PILLAY:
                                                                    7
                              Now let's look at the
                                                                        African male person was stabbed by unknown people,
8
    information that has been submitted in the ICAM report, Mr
                                                                        suspects, at around 3AM, following the information from
9
                                                                    9
                                                                        Simon Fundana." Do you see that? Do you see that, Mr
    Sinclair, and I've handed a bundle of documents to you
    which has just now been marked as exhibit FFFF10.
10
                                                                   10
                                                                        Sinclair?
11
           MR SINCLAIR:
                                 Yes, Mr Chair.
                                                                   11
                                                                               MR SINCLAIR:
                                                                                                    Looking for it, but I
12
           MS PILLAY:
                              Now just to state that our
                                                                   12
                                                                        believe it's there. You know, I can't see it but yes.
                                                                   13
13
    records done have a file 2 tab 15 to the ICAM report,
                                                                               MS PILLAY:
                                                                                                  "Who says that the deceased,
                                                                        at the deceased's house" - sorry, in the same house - "he
14
    although we were informed that we have the complete ICAM
15
    report and the complete annexures, we don't have a file 2
                                                                        alleged that the deceased weapons going to work at Saffy.
16
    tab 14 in our possession. What we do have, though is file
                                                                   16
                                                                        The deceased was identified as Julius Langa" and we've got
17
    2 tab 15 which is the bundle of documents here before you.
                                                                   17
                                                                        his number, his work number, "working as production team
18
           MR SINCLAIR:
                                                                   18
                                                                        leader."
19
           MS PILLAY:
                              And which appears to be the
                                                                   19
                                                                               MR SINCLAIR:
                                                                                                     I see that.
    Lonmin investigation docket into Mr Langa's murder. Do you
                                                                   20
                                                                               MS PILLAY:
                                                                                                  Do you see that he was
21
    see that?
                                                                   21
                                                                        actually the team leader -
22
            MR SINCLAIR:
                                 I'm listening.
                                                                   22
                                                                               MR SINCLAIR:
                                                                                                     Okay, so now I see it's
           MS PILLAY:
                              And that's the documents that
23
                                                                   23
                                                                        there -
    you have before you, yes.
                                                                   24
                                                                               MS PILLAY:
                                                                                                  "The deceased had multiple
           MR SINCLAIR:
                                 Yes, ma'am. Yes, sir.
                                                                        wounds around his body. As a result he could not help
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Page 36569
                                                                                                                              Page 36571
    himself. No suspect arrested and the Bethanie police as
                                                                          investigation team and ourselves, Mr Chair. Is that the
2
    well as LCRC members were at the scene and no suspects were
                                                                      2
                                                                          question you asked me?
3
    arrested."
                                                                      3
                                                                                 MS PILLAY:
                                                                                                     It's part of it, Mr Sinclair.
4
           MR SINCLAIR:
                                I see that.
                                                                      4
                                                                          What I'd like to focus on now is steps taken by Lonmin
5
           MS PILLAY:
                                                                      5
                                                                          security before Mr Langa's death.
                              Now if you go to the next
                                                                                 MR SINCLAIR:
    page of this bundle you'll see a statement from Mr Meko who
                                                                      6
                                                                                                        Sorry, the state -
6
    states in paragraph (c) that on Monday the 13th of August he
                                                                      7
7
                                                                                 MS PILLAY:
                                                                                                     The steps taken by Lonmin
    was informed by central operations at 9 o'clock that there
                                                                      8
                                                                          security before Mr Langa's death. Now you were that there
8
                                                                          had been information received by Lonmin security that
9
    is someone who is lying on the ground between EPC and the
                                                                      9
10
    railway, do you see that?
                                                                     10
                                                                          workers at Saffy shaft were particularly vulnerable to
           MR SINCLAIR:
11
                                I see that.
                                                                     11
                                                                          attack.
12
           MS PILLAY:
                              Now can you just confirm for
                                                                     12
                                                                                 MR SINCLAIR:
                                                                                                        There were reports that had
    me, Mr Sinclair, the positioning of Mr Langa's body, is
13
                                                                     13
                                                                          come through from just about all the shafts to that effect,
14
    that on a route that is quite popular for workers
                                                                     14
                                                                          not just Saffy or K4.
15
    travelling to Saffy shaft?
                                                                     15
                                                                                 MS PILLAY:
                                                                                                     But specifically in relation
           MR SINCLAIR:
                                                                          to Saffy shaft -
16
                                Mr Chair, I have not been
                                                                     16
    to the scene but what I understand from information that I
                                                                     17
17
                                                                                 MR SINCLAIR:
                                                                                                        Yes.
18
    received, it was between the Eastern Platinum hotel, there
                                                                     18
                                                                                 MS PILLAY:
                                                                                                     - that there were, there was
19
    is a road that goes from the hostel across to Sakwalane,
                                                                     19
                                                                          information available to Lonmin security that there was a
20
    over the railway line, that is frequently used by our
                                                                     20
                                                                          specific threat directed at workers of Saffy shaft.
21
    people and community.
                                                                     21
                                                                                 MR SINCLAIR:
                                                                                                        It could have quite
22
           MS PILLAY:
                              That's correct and it would
                                                                     22
                                                                          possibly been. I don't recall it right now that there was
23
    particularly be used by people walking to Saffy shaft
                                                                     23
                                                                          information to - but it could have been through to the
24
    because that is on the way to Saffy shaft.
                                                                     24
                                                                          security team, yes.
25
                                                                     25
           MR SINCLAIR:
                                                                                 MS PILLAY:
                                No, it would have not, that
                                                                                                     Well, let's look
                                                        Page 36570
                                                                                                                              Page 36572
    was not on the way to Saffy shaft, Mr Chairman, that would
                                                                      1
                                                                          specifically, Mr Sinclair, at the occurrence book.
1
                                                                      2
2
    be on the way to Eastern Platinum hostel. So those people
                                                                                 MR SINCLAIR:
                                                                                                      Yes.
3
     would walk across there to the hostel and then collect the
                                                                      3
                                                                                 MS PILLAY:
                                                                                                   And if we could look at
4
    bus service from the hostel to Saffy shaft, which was quite
                                                                          EEEE19 at the entries on the 12th of August and I'd like to
5
    a considerable way away. Does that make sense?
                                                                      5
                                                                          specifically look at the entry at 13:35 and what we see at
           MS PILLAY:
6
                              That does make sense, thank
                                                                      6
                                                                          13:35, Mr Sinclair, is an entry, "Information received from
7
    you for that.
                                                                      7
                                                                          Patricia that the crowd would be mobilising to Saffy shaft
           MR SINCLAIR:
8
                                 Thank you.
                                                                      8
                                                                          tomorrow." Now this entry is on the 12th, tomorrow would be
9
           MS PILLAY:
                                                                      9
                              Now we know that, if we go
                                                                          the 13th. "The crowd would be mobilising to Saffy shaft
    back to page 4 of the industrial relations we know that the
                                                                          tomorrow because the workers are still working." Do you
                                                                     10
10
                                                                     11
                                                                          see that?
11
     ICAM investigators indicated that there was not enough
                                                                     12
12
     information available to complete the investigation. From
                                                                                 MR SINCLAIR:
                                                                                                      I see that.
                                                                     13
13
     your side, from Lonmin security, was there any specific
                                                                                 MS PILLAY:
                                                                                                   So from this it's quite clear
14
    investigations done into Mr Langa's death?
                                                                     14
                                                                          that there was information coming through to Lonmin
15
           MR SINCLAIR:
                                Mr Chairman, the only
                                                                     15
                                                                          security that Saffy shaft in particular was a specific
    thing, when there was anything that was going to become a
                                                                          target because there were people working at Saffy shaft.
16
                                                                     16
17
     police case or a police case, we would be very careful as
                                                                     17
                                                                                 MR SINCLAIR:
                                                                                                      I concur. Because of that
    to our boundaries on dealing with those matters. So this
                                                                     18
18
                                                                          entry I concur that that had been received, yes.
19
     document that you have read through would have been a
                                                                     19
                                                                                 MS PILLAY:
                                                                                                   On the 12th.
    typical way that, for our own information, that we would
                                                                     20
                                                                                 MR SINCLAIR:
                                                                                                      Yes.
21
     have gone out and got the brief information, got the thing
                                                                     21
                                                                                 MS PILLAY:
                                                                                                   Let's look further down at
22 through, where the call would have come through, and done a
                                                                          14:22 and we see another report, Mr Sinclair, "Received
    brief but we would not be involved in it because it weapons
                                                                     23
                                                                          information from Michael Mokwena of Saffy that when the
```

you see that?

24 now a police matter. So we, the limits were - and that is

the instruction at all times to our CPU and our

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workers are going to work tonight they will be shot." Do

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Page 36573
                                                                                                                            Page 36575
            MR SINCLAIR:
                                  Yes, I see that.
                                                                         have described -
 1
2
            MS PILLAY:
                               So we see here. Mr Sinclair.
                                                                     2
                                                                                MR SINCLAIR:
                                                                                                      Yes, ma-am.
     a very specific report which raises very serious concerns
3
                                                                     3
                                                                                MS PILLAY:
                                                                                                   - where Lonmin was actively
4
     about workers who are to report to duty at Saffy shaft
                                                                     4
                                                                         encouraging its members to come to work, where there are
                                                                     5
5
     about their health and safety, do you see that?
                                                                         specific threats made in relation to employees at Saffy
            MR SINCLAIR:
                                                                     6
6
                                  I see that.
                                                                         shaft.
7
                                                                     7
            MS PILLAY:
                               Now before I ask specifically
                                                                         [12:57] And specific threats received by Lonmin security
    what weapons done by Lonmin security, Mr Sinclair, can I
                                                                         threatening the safety of those workers at Saffy shaft
8
     ask that we look at FFFF6.2 which is your security briefing
9
                                                                         specifically. And in the context where we have already had
     and if we can look at paragraph 5 of the briefing
                                                                    10
                                                                         a period of intense violence starting on the 10th
10
     specifically, we know that this was done on the 10th of
                                                                    11
                                                                         specifically on the morning of the 12th and then the evening
11
                                                                    12
     August, Mr Sinclair, isn't that correct? I think you're
                                                                         of the 12th with the fire at K4 shaft and the killing of Mr
13
     going to have to verbalise your answer for the purposes of
                                                                    13
                                                                         Mabebe. The question I want to pose to you, Mr Sinclair,
14
    the record.
                                                                    14
                                                                         is what steps was taken by Lonmin to ensure the safe
15
            MR SINCLAIR:
                                  Sorry. I presume it's at
                                                                    15
                                                                         passage of workers travelling specifically to Saffy shaft?
                                                                    16
16
    the top -
                                                                                MR SINCLAIR:
                                                                                                      Mr Chairman, just to put
17
            MS PILLAY:
                               That's right, at the top, it
                                                                    17
                                                                         this into context, the murder that took place was some four
18
    was done on the 10th.
                                                                         kilometres away from Saffy shaft. Saffy shaft is in the
19
            MR SINCLAIR:
                                  Yes, and it is correct.
                                                                    19
                                                                         middle of our property, we've got a huge slimes area and
20
            MS PILLAY:
                               And in paragraph 5 we see you
                                                                    20
                                                                         then Eastern Plats hostel and the murder of this person was
                                                                    21
                                                                         very, very far from – this Saffy employee his murder took
21
    indicating that, "A full security plan activated to cover
                                                                    22
                                                                         place a long way away from where Saffy shaft was. Just to
22
     all affected areas across the Lonmin, taking into
23
                                                                    23
                                                                         clear that point up. What specifics were taking place, we,
    consideration employee safety, intimidation, transport
                                                                    24
                                                                         on our deployment sheets and on our information that we
24
     disruption, access to shaft facilities and the like, while
25
    staff maintaining criminal preventative measures across the
                                                                         had, with the resources we had and given the extent of what
                                                        Page 36574
                                                                                                                            Page 36576
    operation." So what we see here is that you're committing
                                                                         was going on and if I understand this was on the 12th you
    to a full security plan across Lonmin operations,
                                                                     2
                                                                         asked.
2
3
    specifically designed to ensure the protection of workers
                                                                     3
                                                                                MS PILLAY:
                                                                                                   Well we know that Mr Langa
    travelling to work. Do you see that?
4
                                                                     4
                                                                         was killed around 3 o'clock on the morning of the 13th.
5
           MR SINCLAIR:
                                                                     5
                               I see that.
                                                                                MR SINCLAIR:
                                                                                                      So we're talking about what
6
           MS PILLAY:
                             And again just for context,
                                                                     6
                                                                         specific was taking place on the 12th as the example is what
    Mr Sinclair, it's true isn't it that at this stage and
                                                                     7
                                                                         I'm asked, is that correct?
7
    particularly by the 12th, Lonmin was encouraging its workers
                                                                     8
                                                                                MS PILLAY:
8
                                                                                                   Leading up to the morning of
    to come to work.
9
                                                                     9
                                                                         the 13th.
10
                                                                    10
           MR SINCLAIR:
                               If I can recall correctly
                                                                                MR SINCLAIR:
                                                                                                      Okay so on the 12th, that
    from the human resources and management, that was what was
                                                                         was the Sunday, on the 12th with this that had taken place
11
                                                                    11
12
    happening, if I recall correctly, yes.
                                                                    12
                                                                         at Wonderkop, stuff the day before and across the whole
13
           MS PILLAY:
                                                                    13
                             In fact we see, Mr Sinclair,
                                                                         property we deployed - we had a deployment where our
14
    in EEEE19 an entry at 7:40 on the 12th of August. Towards
                                                                    14
                                                                         security teams, we brought in whatever resources we could
    the middle of that you'll see "Message broadcast." Do you
15
                                                                    15
                                                                         from the process security which I alluded to earlier when I
    see that, "Message broadcast through radio Masisa and
                                                                    16
16
                                                                         was on the stand, we mustered as many people as we could.
17
    North-West FM that people should go back to work."
                                                                    17
                                                                         We brought our own teams onto extended hours of work to
18
           MR SINCLAIR:
                               Yes, yes.
                                                                    18
                                                                         utilise what we had to then place them across the property
19
           MS PILLAY:
                             So we see a deliberate
                                                                    19
                                                                         to Eastern Plat's 3 shaft right the way through all the
20
    campaign at this stage, Mr Sinclair.
                                                                    20
                                                                         shafts to Karee 4 shaft. So we brought extended road
21
           MR SINCLAIR:
                               Yes.
                                                                    21
                                                                         patrols, extended surveillance and additional surveillance
22
           MS PILLAY:
                            From Lonmin, encouraging its
                                                                         that could assist us around all of our shafts including
    workers to go to work.
                                                                    23
                                                                         this at Saffy. We would have had the patrols going in and
          MR SINCLAIR:
                               Yes, I see that.
                                                                    24
                                                                         out because Saffy is a little bit remote, you go down the
25
                            Now in the context which we
                                                                         main road and then you have to turn, so it's remote. We
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CHAIRPERSON:

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Page 36577 would have ensured that there regular and visible patrols outside and the security inside. So we took whatever steps 2 3 we could, bearing in mind that I have already in one of my 4 briefs said I wasn't able to get hold of everything that we 5 required. If I could just add to that, we then also had the police deployments had been started and activated by 6 7 that time particularly by Sunday afternoon. We had already seen, if I recall, General Mpembe and his teams, his people 8 9 coming in, we were talking to him about where should they 10 be deployed to assist us because we were extended. So 11 Lonmin were extended to a very big degree across the 12 property. So we were doing that at the shafts and what we 13 could with our people and then those are the steps that we 14 took. Is that what I was asked? 15 COMMISSIONER HEMRAJ: Mr Sinclair, you 16 had information that there were threats to burn the buses 17 carrying the workers to the shafts. 18 MR SINCLAIR: Yes. 19 COMMISSIONER HEMRAJ: That would then put 20 it with the route from the hostel to the buses, now were

those routes covered by these contingencies?

people and the infrastructure and the vehicles we had the

instance a little place that we were escorting out of where

routes were definitely covered and monitored. We had, for

The routes - with the

MR SINCLAIR:

Page 36579 It is just not possible for us or us and the SAPS to actually cover all of those routes in the circumstances 3 like this. 4 CHAIRPERSON: If you can't protect the workers then they won't work. 6 MR SINCLAIR: Say again, Sir. 7 CHAIRPERSON: You say you couldn't protect the workers on their way to work, you could protect 9 them some parts of the routes, but not -10 MR SINCLAIR: And we took – we put into 11 what we've been asked about the scenario planning, we put 12 in our contingency planning where are the possibilities, 13 the buses, the Karee hostel loading through to 4 belt was a 14 particularly vulnerable area that we knew. The Eastern 15 Platinum loading at the hostel, from there we know that there had been intimidation at that bus thing. So we put 17 measures in place to have visible policing within our 18 capacity and within our capability. If it was just a 19 strike area at Eastern Plats for instance we would have 20 been able to cover it far more effectively. 21 CHAIRPERSON: But you're not really 22 answering my question. The point is there was a strike 23 over the whole of the property. 24 MR SINCLAIR: Yes, Sir.

Page 36578 1 the loading zones were and where the drop off zones, that was part of our security plan. We had drop off zones with 2 3 monitoring between points, we had tracking of the vehicles 4 to see that that - so all of those within our capabilities 5 and our capacity were put in place, Mr Chair. 6 MS PILLAY: Mr Sinclair, not only does 7 Lonmin fail to protect this particular route which you started off your testimony today under cross-examination by 8 9 me, you started off confirming that this was a popular 10 route because it led to the bus depot where workers would 11 catch a bus to Saffy shaft. Now not only did Lonmin, self 12 evidently, fail to secure this particular route, but we 13 also know that the first time Lonmin discovers this body is 14 at 8:45 the morning. Despite the fact that Mr Langa, we 15 know, was killed at around 3 o'clock in the morning. 16 MR SINCLAIR: Mr Chairman, that route 17 from the hostel to the railway line and across is going 18 beyond our property. Although it's exactly the same as the 19 routes coming from Bapong we have many routes coming through the remote areas from Bapong onto our property. We have many areas coming from the Marikana West and the other 22 settlements and the other informal settlements, so that route - there is not the capacity at Lonmin to cover every

Page 36580 intention on the part of the strikers and tried to ensure there wasn't work done at any of the shafts, is that right? MR SINCLAIR: Yes, Sir. CHAIRPERSON: You didn't have the resources to protect the whole of the property. You didn't have the resources to protect all the workers all the time when they were on their to work. MR SINCLAIR: That is absolutely correct, but we tried to plan our best to get to where we could potentially anticipate these things to protect. So we tried with our capacity to our best to get to those places to do that, Sir. CHAIRPERSON: Yes, yes I understand, so you were trying to protect perhaps the majority of the workers. Is that right? MR SINCLAIR: Very difficult, Sir. CHAIRPERSON: Ja I know. You see that's why it was put to you that there is a difficulty when you

There was obviously an

broadcast a message over three radio stations, sorry two radio stations to the effect that people must come back to work knowing full well that you're exposing them to risk, that you can't protect all of them all the time over all the routes. There's a distinct prospect with regard being

24 had to the fact that the information is that the strikers

are planning for war was is put in the report, but some of

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24 one of those remote routes. And if you take the plan and I

explained on the plan you would see the vastness of this.

Page 36581 Page 36583 your workers on the way to work, non-striking workers could We'll come back at ten to two. 2 2 be injured and possibly killed. Despite that you send a [COMMISSION ADJOURNS COMMISSION RESUMES] 3 message over the radio to these people they must please [13:55] CHAIRPERSON: The Commission resumes. Mr 4 come to work. Am I summarising the facts accurately? Sinclair, you're still under oath. 5 5 MR SINCLAIR: GRAEME MILLER SINCLAIR: You are summarising Yes, Sir. correctly, Sir. The message was sent over the radio, there CHAIRPERSON: Before Ms Pillay asks you 6 6 7 her next question, were you able to find the thing you were 7 were additional plans put in. For instance the K3 and if I could just talk about that because it will cover -8 looking for? 8 9 9 CHAIRPERSON: Would you forgive me if I MR SINCLAIR: Yes. suggest that we do that after lunch? It's already gone 10 CHAIRPERSON: We gave you more than the 10 11 over to lunch time. Okay shortly explain. Give it now and 11 time you asked for, so you can't claim you didn't have then we know about it. enough time. 12 12 13 MR SINCLAIR: 13 For instance at the K3 and MR SINCLAIR: I will rush through it, Mr 14 that would have happened across all the shafts, the 14 Chair, thank you. 15 managers would have tried where people are coming from the 15 CHAIRPERSON: You have found the remote areas, like Bapong and those sort of areas, we said 16 document? guys assemble there and move together or we would move off 17 Thank you. 17 MR SINCLAIR: 18 the property and we would get on together. So we did take 18 CHAIRPERSON: Tell us what the -19 19 other precautions, but yes I agree with your statement, I MR SINCLAIR: Mr Chair -20 agree with what you put to us that it was extremely 20 CHAIRPERSON: It's one of these briefs 21 difficult bearing in mind the radio messages and those sort 21 that you issued, isn't it? 22 22 Mr Chairman, it was about of things, Sir. MR SINCLAIR: 23 23 the resources and the lack of us being able to get CHAIRPERSON: Thank you. Advocate Hemraj is going to ask a question and then once we've got your 24 resources. I think that's what we were talking about, and 24 it's on 264.73. I don't know if that makes sense. It's 25 answer we'll take the lunch adjournment. Page 36582 not, it's my, one of my briefs, this brief number -MR SINCLAIR: Thank you, Sir. 1 2 CHAIRPERSON: What number brief? What's COMMISSIONER HEMRAJ: Mr Sinclair, your realisation that you didn't have the capacity to protect 3 the number of the brief?

1 2 3 4 the workers that had been asked to report to work, was that 5 conveyed up the line? MR SINCLAIR: 6 Ma'am, I believe so and I 7 believe, I can look it up, but I believe it was in one of 8 my briefs that it is there that -9 COMMISSIONER HEMRAJ: Sorry to interrupt you, but specifically that you were unable to protect all 10 the workers -11 12 MR SINCLAIR: I don't know if I was 13 absolutely specific, but definitely my direct report was a 14 very knowledgeable man and he would have taken what I - to 15 understand that as well I believe. 16 COMMISSIONER HEMRAJ: I'd be most obliged 17 if you could point that out after lunch. 18 MR SINCLAIR: I'll find it, Ma'am. 19 COMMISSIONER HEMRAJ: Thank you. 20 CHAIRPERSON: You've got the whole of the 21 lunch, three quarters of an hour to find that. It will take a few seconds, 22 MR SINCLAIR: Sir. Thank you, Sir. 23

time for lunch as well. We'll take the lunch adjournment.

CHAIRPERSON:

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Page 36584 4 MR SINCLAIR: The number of the brief is 5 number 1. 6 CHAIRPERSON: I see. Well, I think Ms 7 Pillay will be able to tell us what the exhibit number is. 8 MR SINCLAIR: Sir, that was just -9 CHAIRPERSON: [Microphone off, inaudible] 10 on the screen? MR SINCLAIR: Must I wait? Okay, thank 11 12 you. 13 CHAIRPERSON: Is that the one you mean? 14 MR SINCLAIR: Yes, Sir. 15 CHAIRPERSON: Good. 16 MR SINCLAIR: Sir, if you have a look at 17 point number 3, "Mining security joint operational centre 18 made a full operation at Middelkraal, and additional 19 security resources mustered from Protea Coin – from process security and Protea Coin Security. Protea resources are at present stretched to their limits via demands from other mines and clients who are experiencing similar labour 23 unrests, thus not all the Lonmin requirements can be met."

An armoured vehicle deployed to Marikana from Protea Coin

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had caught fire in transit, so we didn't get that, and then

All right, so you've got

24

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Page 36585
                                                                                                                         Page 36587
                                                                                                    - I what I was saying in
     with regard to the buses I just understood the concerns
                                                                               MR SINCLAIR:
                                                                    1
 2
     about our bus and our bus transport that I see under point
                                                                        that, I was trying to say to my executive we need to try,
                                                                    2
 3
     9 that the buses had been consolidated instead of from
                                                                    3
                                                                        and I know that they were saying please, you need to be
 4
     their normal routes to assist with security in that, Mr
                                                                        vigilant on your way. I know it was a big safety portion
 5
     Chairman. I don't know if that helps the Commission, but I
                                                                        of our security briefings whenever we had them, Mr Chair.
                                                                               COMMISSIONER HEMRAJ:
     think those points are relevant. So -
                                                                    6
                                                                                                                Thank you, Mr
 6
 7
                                                                    7
                                                                       Sinclair.
            CHAIRPERSON:
                                   Yes, thank you. There's
     also an entry further up that the armoured vehicle caught
                                                                    8
 8
                                                                               MR SINCLAIR:
                                                                                                    Thank you.
 9
                                                                    9
     fire on route to Marikana.
                                                                               CROSS-EXAMINATION BY MS PILLAY (CONTD.):
10
            MR SINCLAIR:
                                                                   10
                                                                        I think the further issue, Mr Sinclair, which you were
            CHAIRPERSON:
                                                                   11
                                                                        meant to address was whether the problems with resources
11
                                   So presumably that didn't
                                                                   12
12
     arrive.
                                                                        and the concerns that you had raised around employee
13
            MR SINCLAIR:
                                 Well, that is -
                                                                   13
                                                                        safety, whether that had been escalated to higher up within
14
            CHAIRPERSON:
                                   That's higher up, I forget
                                                                   14
                                                                        Lonmin management.
15
     which number.
                                                                   15
                                                                               MR SINCLAIR:
                                                                                                    I'm missing your question,
            MR SINCLAIR:
16
                                 Ja, that was one of the
                                                                   16
                                                                        I'm sorry.
17
     things that, it was one that had been, we had managed to
                                                                   17
                                                                               MS PILLAY:
                                                                                                 No, that was the further
18
     secure. That's why we only had the two on the property at
                                                                   18
                                                                        question that you were asked to think about over the lunch
19
     the time. This would have been the third one and we had
                                                                   19
                                                                        adjournment -
20
     others that we were trying to secure but I think that is
                                                                   20
                                                                               MR SINCLAIR:
                                                                                                    Yes.
21
                                                                   21
     just to, how it, what precautions and what were we doing.
                                                                               MS PILLAY:
                                                                                                 - was whether you had
22
     We were trying to be as proactive as we could, Mr Chair.
                                                                   22
                                                                        escalated these concerns and your problems with resources
23
                                                                   23
            CHAIRPERSON:
                                   Yes, thank you.
                                                                        to higher up within Lonmin management.
24
                                                                   24
            COMMISSIONER HEMRAJ:
                                             But there is no
                                                                               MR SINCLAIR:
                                                                                                    Mr Chair, besides these
                                                                       briefs that were sent out, Mr Chair, definitely in my one-
25
     indication to the executive that you're unable to protect
                                                      Page 36586
                                                                                                                         Page 36588
     those very workers whom you are urging to return to work?
                                                                       on-one conversations, in my conversations with Henry and
 1
 2
            MR SINCLAIR:
                                 That I did not, that I
                                                                       there with my direct reports and with the Human Resources
 3
     didn't, I only put down what we were trying to do and kept
                                                                       and whoever we were talking to, we definitely would have
 4
     repeating that.
                                                                       said we have a concern that we cannot cover, and we made
 5
            COMMISSIONER HEMRAJ:
                                             Yes.
                                                                       them aware that we cannot cover every eventuality, but we
            MR SINCLAIR:
                                                                       are doing our level best with the resources we have to go.
                                 As opposed to maybe I could
 6
 7
     have or should have highlighted that because we are so
                                                                    7
                                                                       Is that what the question was, Mr Chair?
                                                                   8
                                                                              MS PILLAY:
                                                                                               I think the question was
 8
     stretched we could be vulnerable. But there is, I noted in
 9
                                                                       directed more towards Lonmin executives, whether in fact
     one of the other things that I did put a cautionary note
                                                                   10
                                                                       you as the head of emergency and disaster management and
10
     out on one of the minutes that please, we need to let our
                                                                   11
                                                                       security, whether you had alerted people higher up and not
11
     employees know, and it is on brief number 4, on brief
12
     number 4 on item number 4, "All transport, tanker
                                                                   12
                                                                       those below you, but those higher up about your challenges
                                                                   13
                                                                       in relation to both resources and employee safety.
13
     transport, bus services, commodity services, the likes, are
     being operated carefully and are being carefully monitored
                                                                   14
                                                                              MR SINCLAIR:
                                                                                                 Okay, Mr Chair, thank you.
14
                                                                   15
                                                                       We were visited on a regular basis by the executive, from
15
     and supported by security. Employee and contractors need
                                                                       Mr Russo-Bello through to the executive teams were visited.
16
     to remain vigilant while undertaking these activities and
                                                                   16
17
     must immediately report incidents to," to the thing. So
                                                                   17
                                                                       We would have taken Henry, myself or whoever when they were
                                                                   18
18
     there were fragmented or small indications that we were
                                                                       visiting, taken them through what we we're going and what
19
     saying that, but probably not sufficiently, Mr Chair.
                                                                       our challenges were. We certainly would have elevated
20
            COMMISSIONER HEMRAJ:
                                             That paragraph 4
                                                                       those, whether they're on record or whether - but we would
21
     does not cover the mineworkers.
                                                                   21
                                                                       have taken them through our plans and said this is what
            MR SINCLAIR:
                                 Well, employees and
                                                                   22
                                                                       we're doing, that's there, we would have listened to their
22
     contractors is what I was saying -
                                                                   23
                                                                       concerns and we would have addressed definitely upwards.
23
        COMMISSIONER HEMRAJ:
                                                                   24
                                                                              MS PILLAY:
                                                                                               Mr Sinclair, the question
                                             Employees, I see,
                                                                       isn't what would you have done -
    yes.
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you see that?

MR SINCLAIR:

Page 36591

Page 36589 We did. 1 MR SINCLAIR: 2 MS PILLAY: The question is what did you 3 do. Did you raise the concerns -4 MR SINCLAIR: We definitely raised issues 5 of concerns going up. To say today we said this and said that and said that two years down the road, I didn't record 6 7 all of that but certainly I know that we definitely raised concerns going forward. 8 9 MS PILLAY: I think, Mr Sinclair, we're running out of time, so let's deal very quickly with 10 incident 2 and 3 as it was dealt with in the ICAM report. 11 12 So if I could ask you to look specifically at the events 13 analysis in relation to incident 2, that's at page 16 of 14 the ICAM report. 15 MR SINCLAIR: Yes sorry, I've lost it. 16 Yes, okay. Please ask me your question again. 17 MS PILLAY: Now we know that - that's 18 correct, incident 2, that's page 16 of DDDD2, and what we 19 see here, Mr Sinclair, is an analysis of, in the first red 20 block the organisational factors, the second red block the 21 task or environmental conditions, the third red block 22 individual team actions, and then the fourth red block is 23 the key one which sets out absent or failed defences. Do

Yes.

intelligence reports. MR SINCLAIR: Mr Chairman, I know that that intelligence, that information sources were coming through and that's why the ICAM had it. I know that there were other information sources coming through. We had interaction with the SAPS for instance where we would exchange information coming through, and I know that Henry and his team were very diligent in dealing with that. Why it hasn't been captured in this ICAM, why it hasn't been recorded, I cannot say. But definitely there was a process, there was, there still is a process in place that that is dealt with and it is discussed at, when it is, needs to be it is discussed at the appropriate discussions and meetings. For instance at the morning meeting of the dayshift and nightshift, or at an appropriate meeting those

that there seems to have been an inadequate use of

undercover security officer on the 11th of August in

in the words of the undercover agent, that the strikers

were preparing for. We also saw reflected in EEEE19

K4 and attacks on Saffy Shaft, but what we don't see is

specific action taken by Lonmin Security based on the

intelligence that was coming through to Lonmin. Now we saw

for example that there was information received from the

relation to what was happening on the koppie and the war,

reference to intelligence coming through around attacks on

Page 36590 1 MS PILLAY: Now if we can begin with the first issue that was dealt with, and that's inadequate 2 3 intelligence network, and what we see here is that the ICAM 4 investigators reached the conclusion that Lonmin has 5 inadequate intelligence resources, and you see that in the last block under "Absent or failed defences." Now do you 6 7 agree with that conclusion? 8 MR SINCLAIR: I don't - Mr Chair, I don't 9 actually agree with what they've recorded there. That was 10 their, what their findings were. We have, under our 11 auditing department we have a, information that is fed 12 through to them. I don't know if we would call it 13 intelligence, but I think they shouldn't have used that word "intelligence," but we have information that is fed 14 15 through to that department, that is fed through to us. We 16 also, Henry Blou and his teams have information sources 17 that are coming through and obviously the investigators, 18 even although we had two of our C5s sitting on that team, 19 had not highlighted that to them that we have that capability of doing, within our legal ground and within our 21 structures doing a certain amount of that. So that is not 22 entirely correct. MS PILLAY: Now an issue that is not 23 dealt with in the ICAM report which this Commission has seen, particularly in the last few days, Mr Sinclair, is

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Page 36592 things are discussed. Why it is not captured in here I cannot say, Sir. 3 MS PILLAY: Mr Sinclair, the thrust of my question isn't around why that is not dealt with in the ICAM report. It's really around the fact that there has been evidence before this Commission that there seems to be 6 7 a lack of contingency planning and execution by Lonmin 8 Security based on the specific intelligence reports that 9 were coming through. 10 MR SINCLAIR: I'm at a short loss to say 11 that there seems to be a lack of contingency planning. 12 Whenever information is available, for instance the Saffy, 13 there is definitely contingency planning put around it. 14 Where it is recorded and how it is and why that impression 15 has been put to the Commission, I can't - I, sitting here I 16 can't explain that, but there is definitely processes in 17 place to deal with information coming in from the shafts, 18 from other sources, and then appropriately dealing with it 19 at our level. So that is in place. Why it is being 20 captured like this I cannot explain. 21 COMMISSIONER HEMRAJ: If there had been 22 action taken upon the intelligence received then you would 23 have been better prepared for the events of the 12th? 24 MR SINCLAIR: Ma'am, I believe that - Mr Chair, I believe that the information did come through and

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Page 36593
    we tried in our capacity as I explained, with this huge
    change in events, this huge overload, we tried to take that
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 3
    and deal with that and put contingencies in place to deal
 4
    with those. We did not succeed with all of them,
 5
    definitely. We were incapable of dealing with and getting
    them all, but definitely the information would not have
 6
 7
    been ignored when we could, Sir.
 8
            CHAIRPERSON:
                                  One of the problems appears
 9
    to be K4.
10
            MR SINCLAIR:
                                 Yes.
            CHAIRPERSON:
                                  And I understand your
11
    sources were stretched, you may well not have been able to
12
13
     adequately protect K4, but in that event you should have
14
    closed the shaft and you should have not allowed the
15
     workers to come to, like Mr Mabebe -
16
            MR SINCLAIR:
                                 Yes.
17
            CHAIRPERSON:
                                  - to come to work because
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    you were in fact exposing him to danger. In fact you did
19
     expose him to danger and he died. Isn't that so? I know
20
    it's hindsight.
21
            MR SINCLAIR:
                                 Yes, I say -
22
            CHAIRPERSON:
                                  And I know, I understand
23
    that, but still it is a fact, is it not?
24
            MR SINCLAIR:
                                 I hear it is a fact and
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our, my understanding in our security thing is that K4 was

2 the car park -3 MR SINCLAIR: Yes. 4 CHAIRPERSON: - when he was assaulted and Mr Janse van Vuuren was also assaulted, but he was able to 6 hide behind a wall and he wasn't fatally assaulted, but the 7 very serious consequence for Mr Mabebe that he wasn't told 8 in advance don't come to work, it's not safe. 9 MR SINCLAIR: I hear your concern about 10 should have been told in advance and I concur with you. 11 Whether he was in the car park and to us we had never had, 12 never experienced an attack like that within our perimeter 13 fences. We've had it outside on the roads to work, 14 etcetera, and we were focusing on that, although we were 15 monitoring CCTV, etcetera, to try and manage that as you have rightly said, with our resources that we had in place, 16 17 Sir. So yes, there was, there were, there is concern 18 there. 19 MS PILLAY: Can we go to the second issue 20 highlighted in the event analysis, Mr Sinclair? It's the 21 lack of consideration of risk associated with supplier and 22 contractor equipment and services, and just to summarise 23 what we see dealt with under this heading, is that Lonmin 24 did not have its own armoured vehicle and that it relied on

would regard as the boundaries of the shaft, but he was in

Page 36594 effectively closed with the exception of key people that 1 2 needed to go to work and that's why they would go meet, 3 they had evacuation plans in place, they had trading, they 4 had communication. I am concerned about the comments that 5 I heard somewhere along the line that they weren't able to 6 get their communication out, so they had those in place and 7 there was limited people going, that we were patrolling the 8 roads, anticipating that their attack would come on the 9 roads, not in the shaft. So for us to close the shaft down 10 with essential services pumping, there's certain things 11 that we have to try and maintain, not production and bulk 12 work, Mr Chairman, but limited - now I'm not sure those, 13 that group that were going to work, were they normal shift 14 or were they additional shift. I'm not sure of that, but -15 CHAIRPERSON: [Microphone off, inaudible] I can't tell you that, but what I do know is that Mr -16 17 MR SINCLAIR: Yes, Sir. 18 CHAIRPERSON: - we'll find out, reread the relevant sections of the evidence, but what we do know 20 is that Mr Mabebe was fatally assaulted -21 MR SINCLAIR: Yes, Sir. 22 CHAIRPERSON: - in the car park. Yes. MR SINCLAIR: 23 CHAIRPERSON: He hadn't actually gone

into the shaft itself. I don't know what technically you

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does is it identifies two challenges. The first is mechanical problems with one of the Protea armoured 3 vehicles, but also in relation to the other armoured 4 vehicle that there was limited communication with that armoured vehicle and I assume that's between Lonmin 6 Security and the personnel in that armoured vehicle. 7 MR SINCLAIR: That's what they have 8 identified. I can assure you that we have a very - firstly 9 just to put it into proper perspective, we have a very good 10 11 fail. Two are, firstly it's in some isolated areas, for 12 instance behind our dumps, which we are addressing, and 13 secondly sometimes there is a shortage of radios when we 14 are bringing in people, they haven't had the allocation 15 16 there were those circumstances that took place. However, 17 the people in those vehicles, we definitely made sure that 18 they were in cell phone communication, which the Protea 19 Coin guy with whoever the Lonmin reportee was, that they 20 had cell phone communication and that I know was in place 21 with the RUs and the RU supervisor team. It wasn't picked 22 up by the investigators on the ICAM, Ma'am. 23 MS PILLAY: The third issue which is not 24 reflected in this chart, Mr Sinclair, is that there seems to have been a difficulty with the armoured vehicles not

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communication radio system. However, there are areas that given to them. That has subsequently been addressed, but

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Protea Security's two armoured vehicle, and what the ICAM

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    being easily accessible to Lonmin Security, that there was
2
    a protocol that had to be followed before Lonmin Security
    could access the Protea armoured vehicles.
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            MR SINCLAIR:
                                 Mr Chair, the vehicles were
5
    under the direct control of whoever was the field officer
    out there. So he was in the field. He might have not been
6
7
    with the CPU unit or whatever, but he was in and he was
    directing. We couldn't have everybody giving that person
8
9
    instructions, or that vehicle instructions, so that's how
    it operated. There was a line of command in place. It's
10
    also been effective and it was in place over there.
11
12
    [14:15] So I understand they are saying that in the ICAM
13
    but there's definitely a procedure in place for them to
14
    communicate and get those vehicles. However, sometimes
15
    those vehicles are slow, they can't always get from A to B
    as fast as a bakkie or a Livina, et cetera and that could
16
17
    have induced this comment.
18
            MS PILLAY:
                              Alright, if we can move on to
19
    the third topic dealt with and that's "Ineffective
20
    contingency plans for this type of condition or situation"
21
    and you'll see that the ICAM investigators raise a few
22
    issues which they put under the topic of ineffective
23
    contingency planning. The one I'd like to draw your
24
    attention to is that there was no SAPS presence at the
25
    Wonderkop hostel and this specifically the team acting for
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that?
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 2
            MR SINCLAIR:
                                 I am disturbed about that
 3
     because there was definite leadership in the JOC at all
     times. If you go back to the documents that you asked me
     to find and I couldn't find them but I found an example of
6
     the sheet and I have it here, where we had a definite
7
     structure in place in the JOC that, who is in charge, who
8
     is to communicate, who is out on the field. So there is a
9
     structure so again because I haven't reviewed this ICAM
10
     thing and I'm not sure if Henry has subsequently reviewed
11
     this and sorted this out, but because I haven't reviewed
12
     this I cannot say why they put that in. I didn't get that
13
     briefing from them but I can assure you there was a
14
     definite structure in place at all times and that was
     already from the 9th, I believe, that we started to move to
     an orange mode at the JOC, at the operational centre, Mr
17
     Chairman. So from there, there was a structure in place.
18
            MS PILLAY:
                              Mr Sinclair, I don't think
19
     that the ICAM investigators are disputing that a Lonmin JOC
20
     existed as a structure. I think what they've raised is the
21
     lack of leadership from personnel in the JOC -
22
            MR SINCLAIR:
                                 Let me just -
23
            MS PILLAY:
                              - to people in the field.
24
            MR SINCLAIR:
                                 - change my answer. Not
    the structure in place, there was a leadership, there was a
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Page 36598 Mr Mabebe asked me to ask the question, that particularly in relation to the threat that was expressed in respect of 2 3 K4, why it is that there was an inadequate SAPS presence at 4 K4. 5 MR SINCLAIR: Mr Chair, already on - I think it's been clearly put to the Commission - already on 6 the, that was on the Sunday night that that took place, on 7 the Sunday afternoon General Mpembe and others had already 8 9 started arriving at Middelkraal, they had already started 10 deploying. I know that there were SAPS deployments, 11 exactly where they were to - I know that there were SAPS 12 deployments already been sent out to critical areas, 13 whether they had arrived at K4 or on the road to K4 I 14 cannot there - I know that they had been to the hostels 15 earlier, I'm talking about 6, 7 o'clock because I was on site, that they had been to that area. Whether they had 16 been out to, the SAPS people had been out to K4 with the 17 18 Lonmin security people, that I cannot comment on but 19 already General Mpembe and his team were busy deploying and drawing people in, so there was a presence out. Exactly 21 where, as I sit here I cannot say to you it was

The ICAM investigators also

22 specifically to K4 or to the hostel or wherever.

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24 raise the lack, what they see as a lack of leadership in

the JOC and in the field. Can I ask you to comment on

MS PILLAY:

23

Page 36600 hierarchy leadership structure in place to lead the JOC. There was, at all times that was in place. CHAIRPERSON: I think the criticism is not that there wasn't a leadership structure. The criticism was, as I understood it and Mr Blou, as far as I can recollect, didn't quarrel when the proposition was put to him, the problem was that the leadership structure didn't lead. I mean it's all very well having a leadership structure in place -MR SINCLAIR: Sir -CHAIRPERSON: - but if they don't lead, well then you've got poor leadership. MR SINCLAIR: That is, yes, because we

are dealing with people and we draw, remember we've drawn people in from process who haven't always been within our teams. So yes, maybe there was that element involved in it, Mr Chair, yes.

MS PILLAY: The next issue which I just need your comment on, Mr Sinclair, is the block just below

need your comment on, Mr Sinclair, is the block just below that one and it's dealing with lack of communication from the JOC to inform the protection services personnel on the field of what was happening. Now we know this is particularly crucial in the light of the evidence of Mr Van Vuuren and Martin Vorster that they relayed the message back to the JOC of what, of the attack on them and their

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assessment that the strikers were very dangerous and yet we

2 don't see that that message was conveyed back to protection

Mr Chair, I have obviously

3 services to give them forewarning that the strikers were

4 very aggressive and actually posed a huge threat to them.

6

heard that comment, I don't know about - and if that has

7 taken place, I've got to concede that that was a

MR SINCLAIR:

8 possibility that that took place and the message was not

9 correctly - but we do have cell phones, radios and other

means of communication in place. Why it wasn't done, that 10

11 I can't say, sir.

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MS PILLAY: 12 The next issue that was dealt 13

with by the ICAM investigators, Mr Sinclair -

14 MR SINCLAIR: Yes, ma'am.

> MS PILLAY: - is the absence of a system

16 that ensures training requirements are managed so that

17 employees and contractors are competent to meet the risks

18 applicable to the responsibilities. Are you able to

19 comment on that?

20 MR SINCLAIR: Yes, so it's the lack of

training? 21

MS PILLAY: 22 Well, it's a comment raised

23 by the ICAM investigators that the personnel weren't

adequately trained or experienced to deal with the risks 24

25 which were prevalent at the time.

Page 36603 receiving the calls on how to record and help them bring

these things in. Now because the K4 for instance was an

absolute violent, that there - often we have, not often, we

have experienced from time to time that people fail to

5 follow those procedures. So there are definite procedures

6 and that in place. Why they failed that night and have the

7 investigation from the ICAM that are doing it followed up

8 to see where the shortcomings were and why we had those

9 failures, we don't know. But it's the same as the panic

10 situation you would get when your home is attacked. You

11 might have an alarm thing and you might have a system to

12 sort out but when you get attacked you do very different

13 things and I believe that that is part of the reason why

14 this is there, that this was just such a horrific, in our

fences, inside event, that those people never went -

particularly the banksmen and those people on duty who had

17 systems to go by. I did go back and look after the time at

the calls coming in, the response calls, the times of

19 ambulances, how the calls were handled and I followed up to

20 that. Some of the things were done but it's systems that

21 we train and try and prepare our people for, there were

22 shortcomings in that, sir.

23 MS PILLAY: Mr Sinclair, this was not a

24 surprise event on the 12th. We see that the violence had

started on the 10th already and we see an escalation of that

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CHAIRPERSON: And then you see, sorry, 1

vou see on the screen -2

3 MR SINCLAIR: Yes.

4 CHAIRPERSON: - from this exhibit you see

5 there are three arrows.

MR SINCLAIR: 6 Yes.

> CHAIRPERSON: That point to three

8 different blocks to amplify that and then there are three

9 more arrows that amplify those as well. So it's not just -

what Adv Pillay has read you is the first block and then 10

from that we get these other points which are on the screen

12 in the exhibit. I don't know whether I have to read them,

13 perhaps I should -

> MR SINCLAIR: Mr Chairman, I think I can comment correctly on that. I would like to use K4 as the example. What we do on a regular basis and on a definite basis is, we go out and we put emergency contingency plans in place with the shafts. We go out and we train the

19 control rooms and their people on how to activate emergency

situations, be it security or underground because obviously

21 we're very, we have a lot of accidents underground as well,

22 how to activate that. We make sure that there are systems

in place and we've got trainers that go out and do that.

24 And then how do they make calls? We train them on how to

25 make calls, we train them on - we train our operators

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Page 36604 violence over a period of time. We see Lonmin security

receiving information beforehand of exactly the nature of

3 the crowd it was dealing with and the threat posed by the

4 crowd. So when the event happened on the 12th it's not

5 something which came out of the blue. It's not something

6 for which Lonmin security should not have been prepared.

7 It's something which Lonmin security knew was coming and

8 they had sufficient intelligence at that stage to assess

9 the extent of the threat that it faced.

MR VAN AS: Sorry, Mr Chairperson, before the witness answers the question, is my learned friend suggesting that Lonmin security should have foreseen that 1 000 heavily armed strikers would march to Lonmin, to NUM's office on the Sunday morning, because there's no such evidence before this Commission.

MS PILLAY: Chairperson, on the contrary, our submission is that the information from the undercover security agent on the 11th was exactly that, that there was a large number of strikers who were now turning violent and were dead set on a war in order to seek revenge. Now we say within those facts Lonmin security ought to have recognised the extent of the threat that it faced.

CHAIRPERSON: That's a permissible question. What do you say about that, Mr Sinclair? MR SINCLAIR: Mr Chair, I think Lonmin

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security and the emergency teams had taken cognizance of

- 2 this information coming through. We had put what we
- 3 perceived to be the correct things at the time place, for
- 4 instance the patrolling between K3 and K4, in those remote
- 5 areas, that was our anticipation of where - not inside the
- fence. When and although the people at the shaft were 6
- 7 aware that there could be something going on, when this
- absolutely violent attack was breaking through, came 8
- 9 through and it was so violent, that then disrupted the
- 10 training and the way they should have behaved and some of
- 11 those things that took place, but certainly I believe that
- we had trained. We were aware, we were trying to be 12
- prepared for that. Is that answering your question, Mr 13

14 Chair? I'm not sure I've answered it.

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CHAIRPERSON: I won't press you to alter your answer or to give more detail. The adequacy of the answer will be considered when we write our report but -

MR SINCLAIR: Yes, sir.

19 MS PILLAY: Mr Sinclair, the next issue I

20 just need to very briefly deal with is the lack of risk or

- 21 change management processes applied across the department
- 22 and just to draw your attention to a specific finding made
- 23 by the ICAM investigators that the dayshift protection
- 24 service commander disobeyed the warning of Dewald Louw not
- 25 to engage with the crowd in the Wonderkop hostel area and

Page 36606

- I've been specifically asked to raise with you, by the team
- 2 acting for Mr Mabelane, that there is no evidence before
- 3 this Commission that the warning of Mr Louw actually
- 4 reached Mr Mabelane or any of the other protection services
- 5 officers on the day. Do you accept that?
- MR SINCLAIR: I cannot say whether it did 6 7 or not but I understand from the evidence tabled that that
- 8 warning, there was a breakdown in that warning going
- 9 through. Whether that is correct or not, I cannot say.
- 10 MS PILLAY: But we definitely have no
- 11 evidence that the warning reached -
- 12 MR SINCLAIR: That the warning reached to

13 _

- 14 MS PILLAY: - the security officers.
- 15 MR SINCLAIR: I do not have any.
- 16 MS PILLAY: Now the second last issue
- 17 raised is the inadequate document management system and
- that the standards and procedures for E&DM are not signed 18
- 19 off. Can you comment on that?

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- 20 MR SINCLAIR: Yes. Mr Chairman, in brief
- 21 just to explain, in 2011 we were very - I explained to you
- about the split in security and the whole thing in 2011
- we were very aware that there was a huge amount that we
- 24 needed to put in place, to put processes and that in place.
- So we designated a person and from 2011 right up until this
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event we were busy preparing and doing documentation and

- getting it done. For instances the fences, the response -
- and that document that we talked about the last time I was
- here where you found some things that I said I would go
- 5 back and correct, we were putting this mass of documents -
- 6 and in security, if I recall correctly, between documents,
- 7 procedures, standards, there's something like about 2 or
- 8 300 documents that had been put together that we are
- 9 working through to say, does this fit our business and go
- 10 in. So we are putting those in and while we're putting
- 11 them in we're also saying to the guys, this is what we're
- 12 putting in the documents, this is how we want you working
- 13 till these are finalised and signed off and that process
- 14 takes a long time. What is - I think I need to just bring
- to your attention to help you on this matter, what I
- noticed and it was a concern when I went back and I was
- 17 asked to go and look for additional documents, I saw a
- meeting request that was done on the 8th of August. It was
- 19 from Kellerman to all of our senior security team to book
- 20 us for the 14th and the 15th of August, ironically, of 2012
- to do a complete review from A to Z of all our things which 21
- would have included documents. So we had actually planned 22
- 23 that following week when this broke out, to go and do and
- 24 we were in the process of doing that. So when this was
 - put, I think it was put by the advocate to me that this is
 - Page 36608
 - a Lonmin process, yes, it's a Lonmin procedure that we're
- in the process of trying to finalise. I think a lot of
- 3 them have been finalised subsequently, that's why we had a
- 4 better security and emergency arrangement now over this
- 5 massive strike period we've just had, but we were going to
- 6 and it is a long task, normally a two to three to four year
- 7 task to get that whole process. The shooting, the firearm
- 8 things that we've talked about, all of those into place,
- 9 ma'am. So yes, I think there were substantial documents in
- 10 place, not signed off but disseminated, we were working on
- 11 them, we were coaching people while we were getting to the
- 12 finals where we wanted to get to.
- 13 MS PILLAY: Mr Sinclair, a lot of the
- 14 criticisms that relate to incident 2 also relate obviously
- 15 to incident 3 and I've covered some of them with you.
 - MR SINCLAIR: Yes, ma'am.
- 17 MS PILLAY: I've been through the event 18 analysis conducted by the ICAM investigators in relation
- 19 specifically to incident 3 and there don't appear to be any
- systemic ones which I specifically want to put to you today
- but is there any comment you want to make about the event
- analysis in respect of incident 3? 22
- 23 MR SINCLAIR: Sorry, I've missed
- something in your question. I didn't just about the
 - event analysis?

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11 12 Page 36611

Page 36609 MS PILLAY: All I'm putting to you is 1 2 that the event analysis in relation to incident 3 don't 3 appear to raise, apart from what we've already discussed, 4 don't appear to raise any special systemic issues in 5 respect of Lonmin security and its arrangement in relation 6 to the incident at K4 shaft. So there are no specific 7 issues other than what we've discussed already, a lot of 8 which applies to the K4 shaft issue. And because I've run 9 out of time I just want to specifically go to the last page 10 of the, I'm sorry, the second last page of the ICAM report 11 where we see the ICAM investigators indicate under 12 "Recommendations and Action" that the corrective action is 13 to be made by the head of E&DM and security. Now as I 14 understand it, you are the head of E&DM and security. Can 15 we understand what corrective action or recommendations 16 you've made specifically in response to the recommendations 17 of the ICAM investigators? 18 MR SINCLAIR: Mr Chair, to my 19 understanding, the ICAM recommendation points 8, 9, 10 and 20 11 I think or 9, 10, 11 and 12 of our ICAM flow chart, 21 there were things that weren't completed in that. I know 22 Henry was addressing those in 2012 and the beginning of 23 2013. When we had, for instance like we've talked about

[14:35] We were then taking these issues up directly with Jacques Erasmus, who is their senior, and addressing any issues that came through with him without, while they were going through their process, so we took those issues whenever they came to us through those channels to address 6 them. We also, remember, had allocated a very senior C5 to 7 sit in that ICAM and our security safety and, safety 8 representative, who were continuously coming back to us and 9 saying this is an issue, what should we do to address it. 10 Does that answer your question, Ma'am? 11 COMMISSIONER HEMRAJ: Well, are you 12 saying that there is merit in the criticism -13 MR SINCLAIR: I can't -14 COMMISSIONER HEMRAJ: Are you now saying 15 that there is merit in some of the criticisms levied in the ICAM report? 16 17 MR SINCLAIR: No, Ma'am, I'm not 18 criticising. I'm saving because I -19 COMMISSIONER HEMRAJ: No, no, no, perhaps 20 we're at -21 MR SINCLAIR: Oh, alright. 22 COMMISSIONER HEMRAJ: Are you now saying 23 that there is merit that some of the findings, some of the criticisms in the ICAM report in the event analysis, that some of them do in fact have merit?

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concluding this ICAM report. So we have gone into the 1 2 scenario planning. There's one, that we've reviewed that 3 outside of those and trying to do, with the documents I'm 4 saying and put things in place and that would have been why 5 we were leading up to that event on the 14th and 15th of 6 August to review, have we actually covered all of these, 7 have we covered these things and we would have had follow-8 up reviews after that. Normally we tried to have three or 9 four a year specifically to address things like this that 10 were brought to our attention. We would immediately have

taken immediate action, a middle term and then a long term

the radios where we saw that concern, we have gone out and

addressed those specifics while we wait, while they were

action to address them, Mr Chair. 13 COMMISSIONER HEMRAJ: Mr Sinclair, you 14 have today taken issue with a number of the findings in the 15 ICAM report.

16 MR SINCLAIR: Yes, ma'am. COMMISSIONER HEMRAJ:

17 You say a number of them are incorrect. Now how do you implement the 18 19 corrective action if you're not prepared to accept that there were these shortcomings?

21 MR SINCLAIR: Ma'am, I had taken, I didn't want to go - I had been in to the ICAM team with 22 Henry, he was in charge of it. We had assisted them on

24 restructuring and getting focused on going because at the

25 start they were going off on a long way. ARCHIVE FOR JUSTICE

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Page 36612 MR SINCLAIR: Some of those we would have

found that there might have been a radio communication

3 between the RUs that we would arrest, yes. There could

4 have easily been some of those in the ICAM report and they would have then been addressed through the ICAM. That's

6 why we have the ICAM report, Ma'am - Mr Chairman, I'm

7

sorry. Does that answer -

8 MS PILLAY: And Mr Sinclair, lastly -

9 MR SINCLAIR: Sorry?

10 MS PILLAY: As I understand your answer 11

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just now it is true then that you made input into the ICAM investigation process? 13 MR SINCLAIR: I - no, what I said is

Henry and I on a regular basis, because they were sitting at our offices, we've allocated offices to them, we've allocated people to them. We would on our walkabouts in the morning out of courtesy stop in to say how are you going, what's going on, tell us your progress, is there anything we can help you with, do you need any assistance

19 20 from us. So that was the input, and if they had asked for

21 something obviously we would have allocated - yes, they

need reports, for instance, or they need a procedure, we

23 would allocate somebody, like Gean Kellerman who's

24 responsible for that, to go and get it for them and get -

so that was what I say, so they get on with the process but

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Page 36613 we were there to assist them if they needed it. 2 CHAIRPERSON: May I ask you this 3 question -4 MR SINCLAIR: Sir? 5 CHAIRPERSON: I take it the ICAM report came not only before the EXCO but must have come before the 6 7 board of Lonmin. It's sufficiently important surely -8 MR SINCLAIR: Mr Chair, I can't comment 9 on that. The ICAM report came through to Henry. I don't 10 know where the final report was, because remember at that 11 stage I was busy handing over security because I was 12 supposed to go on pension 2012, so I was busy handing over 13 and, so Henry was doing that. Frank Russo-Bello had 14 resigned from the mine at that time. There was an interim 15 vice chairman and then Mike Da Costa was appointed, so I'm not a hundred percent au fait with exactly where the finals 16 went to and did it go to the board. 17 18 CHAIRPERSON: So I take it we can assume 19 that it went to some level above you. 20 MR SINCLAIR: It definitely went to -21 CHAIRPERSON: Ja, alright. 22 MR SINCLAIR: It definitely went to, I 23 should imagine in the end would have gone to Mike Da Costa 24 after Frank Russo-Bello's resignation and the other 25 processes, Sir. Page 36614

this kind of thing happening in the future, I would have expected some kind of document to be produced either by you or by those under you, or possibly your successor after you 5 retired, answering the specific recommendations and saying 6 this recommendation is correct, this criticism is correct, 7 this recommendation won't work, this criticism is invalid, 8 and so forth, for the guidance of those above you who had 9 to commission this investigation and obviously wanted to 10 make sure what the position was, what criticisms were 11 valid, what could be done to prevent this happening again. 12 Was any such document to your knowledge prepared? 13 MR SINCLAIR: Mr Chairman, I don't recall 14 seeing a document as you have now described it. What I do know that has taken place is between Henry Blou, Jacques and the team and our teams, was that they addressed these 17 issues. Now whether they had a document where they listed 18 them and ticked them off, that I cannot say, and we have 19 seen subsequently because those issues have been addressed 20 that's why we had a very, very different process in place 21 from January until April this year with that horrific 22 strike, and remember we still had four murders, even though 23 we had this huge change in our system we still had another 24 four murders. So there was a huge amount of work done against the ICAM and a whole lot of other things that were

read you, the idea of making recommendations to prevent

Yes, alright. Well what I 1 CHAIRPERSON: want to ask you is this; there were some serious criticisms 2 3 in the report, were there not? 4 MR SINCLAIR: There's a lot of criticism 5 in the report, yes, Sir. 6 CHAIRPERSON: Now at page 387 what we see is this; "The purpose of this ICAM is to identify the 7 8 causes and contributing factors which led to a 9 situation/situations that was/were conducive to the deaths of 44 people" -10 11 MR SINCLAIR: Yes, Sir. 12 CHAIRPERSON: - "during an unfortunate 13 sequence of events from 10 August 2012 up to 11 September 14 2012 at the Lonmin Platinum Mine located in the Marikana 15 district. The specific focus would be to determine lessons 16 learned and to make recommendations with regard to possible 17 areas of improvement that could lead to improved management 18 of security and emergency response in order to prevent 19 reoccurrence of similar situation." I take it you read 20 that at some stage when you saw the report. 21 MR SINCLAIR: I read that, Sir, yes. Now what I'm interested in 22 CHAIRPERSON: is this; regard being had to the fact that you concede that 23 24 the document must have landed up at some point above you in

the organisation, and regard being had to the passage I've

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Page 36616 picked up, our incident reports, our internal incident 2 reports on dealing with things. So those were definitely 3 done. Is there a final document, has it been submitted, I 4 can't comment on that, Sir. 5 CHAIRPERSON: Well, perhaps we can invite the representatives of Lonmin to ask someone of sufficient 6 7 seniority, a position above the position you held, to make 8 an affidavit setting out in detail what was done about the 9 criticisms and recommendations, which were accepted and 10 which were not, to guide us in assessing -11 MR SINCLAIR: Yes, Sir. 12 CHAIRPERSON: - the ICAM. But anyway, I 13 don't have to say to you, I'm speaking obviously to 14 Lonmin's representatives -15 MR SINCLAIR: Well, that's is through our 16 legal department. 17 CHAIRPERSON: Yes, yes, they must see -18 Mr Van As is here. 19 MR SINCLAIR: Yes, Sir. 20 He's nodding his head; I'm CHAIRPERSON: 21 sure what I've asked for will be done. Is that right, Mr 22 Van As?

MR SINCLAIR:

CHAIRPERSON:

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25

form.

Thank you, Sir.

I'd like it in affidavit

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1 MR VAN AS: I'll take instructions. MR RAMPHELE: If you'll allow me. 1 2 Thank you, Mr Chair. 2 CHAIRPERSON: I told you, you could have 3 COMMISSIONER HEMRAJ: Mr Sinclair, for 3 five minutes. the recommendations to be implemented with regard to any 4 4 CROSS-EXAMINATION BY MR RAMPHELE: Mr 5 improved management of security and emergency procedures Sinclair, don't you find it strange that on the 12th there and responses, that would have to be sanctioned at the 6 6 was, according to your logbook there was a chopper, there 7 highest level, wouldn't it? 7 was a Nyala, police Nyala, there was a police Combi, and in 8 MR SINCLAIR: That would have to be 8 the run up, or at the time when there was an attack on the 9 9 sanctioned, Henry, myself, Peter Drysdale. We would be two securities we don't have any information on exactly responsible for doing that and then informing our direct 10 what happened to what I call a resource, these resources 10 11 reports, and then they would take process forward if they 11 that were available for that day? 12 were so, if they felt it was required. For instance the 12 MR SINCLAIR: Mr Chair, I can't define hard-skin vehicles that we've already discussed those 13 13 what duties the chopper had been allocated that day. That 14 documents, that would go then and then it would go forward, 14 obviously was controlled from the JOC. The Nyala, I don't 15 Ma'am. know whose Nyala, is that an SAPS Nyala, where the SAPS 16 COMMISSIONER HEMRAJ: Who would the ICAM 16 Nyala was when that takes place. I'm not sure was it at report have been commissioned - who would have commissioned 17 17 Eastern Plats, because there had been, my understanding 18 the ICAM report? there had been a, the security teams had moved to Eastern 19 MR SINCLAIR: What I understand on this 19 Platinum for a callout there, which turned out to be what 20 process, not what I don't - I know, it came from Mark 20 we believe is a decoy incident. So I don't know exactly Munroe, gave directions, he had asked has it been started. 21 21 where the Nyala was placed. The police Combi I, and I 22 22 don't know where the police Combi was with the, and who -I think Jacques Erasmus at that stage who was the security 23 23 head was away. It then went to, if I remember seeing the was it a POPS, was it, who - I am not, I don't know where 24 24 brief I'll tell you the gentleman's name in a sec who was those were with our people, with our teams. I don't 25 in Jacques Erasmus's place. He then tried, he then put the believe that they were with our teams at the time, is the Page 36618 Page 36620 1 teams together and I know there is a document that he 1 information that I recall. 2 CHAIRPERSON: Yes, thank you. 2 battled to get some senior people into that team and then 3 the process went on from there. Henry and I then, it came 3 MR RAMPHELE: It will be helpful if you 4 to Henry Blou because he had to then manage it. I then 4 just answer the question. The question is, is it not 5 when he needed my assistance would assist him and we tried strange that you have all of these resources and that at a to help the team work forward. That's the process that it 6 6 critical moment there is no communication with these 7 followed. 7 resources. We don't hear anything about resources. They 8 8 CHAIRPERSON: Perhaps I should also say are nowhere to be found at a point where they are needed. 9 9 That is the question that I'm asking you. for the benefit of Mr Van As that I would expect the 10 document that I've asked for to contain as annexures any 10 MR SINCLAIR: Mr Chairman, if those 11 resolutions of the board or the EXCO - I know the EXCO 11 resources were on the property it is extremely strange that there's no communication with those resources. 12 doesn't always have minutes recording its resolutions, but 12 13 CHAIRPERSON: 13 I assume this would have been of sufficient importance to I think that's the answer -14 justify that. So any decisions taken at executive level 14 Extremely strange, and I MR SINCLAIR: 15 dealing with the points I've made which are recorded in 15 don't have an answer why. 16 16 writing, I would also appreciate if we could see that. CHAIRPERSON: Yes, I think that's your 17 Those are your questions, Ms Pillay? 17 question, Mr Ramphele. 18 MS PILLAY: Those are my questions, thank 18 MR RAMPHELE: The second question is you 19 you, Chair. 19 say at K4, by the time this happened there was a large 20 CHAIRPERSON: Mr Ramphele, I think you 20 contingency of police. Now we know from the record that 21 said you wanted to ask questions for five minutes, 21 there was communication about an impending attack on K4. clarifying a point. Ms Pillay has dealt with the point you 22 Don't you find it strange that raised, but -23 CHAIRPERSON: Mr Ramphele, we've had this MR RAMPHELE: [Microphone off, inaudible] 24 before. What the witness finds strange isn't going to help CHAIRPERSON: Alright. us. Surely we must make findings ourselves. I can

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understand - you're really arguing your case to the

2 witness.

3 MR RAMPHELE: Okay. It's okay. Well,

4 what I would like to ask is whether, because the witness

5 indicated that General Mpembe was there, everybody was

6 there, whether there was any communication about this to

7 the police, to the large contingency of police that was

there, but if you disallow the question it's okay. Now I'm 8

9 going to ask you, because there is -

10 CHAIRPERSON: You set out the question.

Is it in a form for him to be able to answer? 11

Well Sir, Chair, if you 12 MR RAMPHELE:

allow him to answer it's okay. If you don't it's still

14 okay.

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No, I want to know are you CHAIRPERSON: satisfied that you formulated the question in a way which is sufficient for you to get the answer that you wish to obtain from the witness. If you are then we'll get him to answer, otherwise put the question crisply so he can give a crisp answer.

21 MR RAMPHELE: Alright. Okay, in your 22 evidence you said that the police were deployed at the

23 hotspots in the evening. Can you give a comment on why

24 after notification of the impending attack on K4, K4 was

25 not regarded as a hotspot that evening?

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MR SINCLAIR: Mr Chairman, I cannot say 1

that K4 was not decided as a hotspot. K4 and all the other 2

3 shafts were decided as hotspots. What I did say was the

4 police were mobilising. General Mpembe and his teams, and

5 I can't remember who came first and who came second, but

6 they came in. We definitely would have highlighted

7 whatever information we had where we were deployed, what

8 our concerns were, what we would have requested, what

9 assistance we would have felt was necessary from the SAPS

10 and then they would have then assessed that and done their

11 deployments in accordance with that, and for instance I

12 know that at another, although K4 became a really tragic

13 event with one of our employee's life lost and somebody, a

14 few people injured, the hostel and K3 area was an even

15 bigger concern to us that particular day because there were

16 far more people, we knew that and everything and we were

17 patrolling the roads, as I've already said. Now where the

18 police deployed and how they deployed, they would have tied

up with our security guys on the road and with that, and

remember they were busy mobilising. So they had people

21 coming in. It was an incredibly busy time to try and

facilitate all of this going on with the resources we had,

and we had already been going for three day.

MR RAMPHELE: The witness has not

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answered the question, Mr Chair. I don't want to know what

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was happening. There is an impending attack on K4 –

CHAIRPERSON: Well, this is what -

3 MR RAMPHELE: There is a large

contingency of police. Why is Lonmin not informing the

police that we have an impending attack at K4? That is

6 what I want to know.

7 CHAIRPERSON: That's the question. What

he puts to you is that you never informed the police that

9 there was an impending attack on K4. Were you aware of the

10 fact - I know one of your workers got some information

11 which he passed on to, that he'd sent to security. We

12 don't know who in security, but were those who were dealing

13 with the police, giving them information, were they aware

14 of the fact that there was what was being described as an

impending attack or a threatened attack on K4, and if they

did know that, why didn't they tell the police that?

17 That's the question.

18

19

MR RAMPHELE: Yes.

MR SINCLAIR: Mr Chairman, I'm very

confident that when the police were coming in, when they 20

21 were in the JOC, where we had our concerns those would have

22 been, that information would have been passed on to the

23 police for them to go. To say it was given to that person

24 in that form, I cannot here say, but definitely whatever

information, because we had our planning sheets up and the

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police would have come in and said to us give us an update,

give us a sitrep, so that they could start their thing. So

3 definitely K4 would have been told to them that we have a,

4 we have information about this, we are doing this, we are

doing that, and there would have been - on what, how should

6 we address it from there on. But what those outcomes are I 7

can't say. 8

9

13

CHAIRPERSON: Were any minutes kept of

what happened in the JOC?

10 MR SINCLAIR: Sir, that -

11 CHAIRPERSON: Of what was conveyed to the

12 police for example?

> MR SINCLAIR: Sir, that afternoon was

14 absolutely chaotic. I can't say if the minutes, and that

15 was why that document has become very important to the

Commission, that, you know the one-line mind jogger that 16

17 Henry and I keep referring to. That's why we're now

18 realising how important that was. Now whether it is on

19 there I can't recall, and because it was so busy

20 eventually, I think at about 3 o'clock that morning we

21 moved the police out of that JOC into what we call Larry's

22 Lapa next door, because we just couldn't cope with this,

23 everybody wanting everything and the next. So I believe

24 the information was passed on. Who then reacted on it and

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how it was reacted, that I can't comment on, Sir.

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1	CHAIRPERSON: I take it you can't tell us	1	metres.
2	under oath that it definitely was passed on. The most you	2	CHAIRPERSON: I must admit that was my
3	can say, if I assess your answer correctly, is you think it		impression also from my memory when we were there but there
4	would have been done, it should have been done, and you -	4	was some evidence –
5	can I say you would like to believe it was passed on -	5	MR SINCLAIR: It was about 8 – I know the
6	MR SINCLAIR: I've said that, Sir. I	6	area extremely well.
7	believe that it would have been - I don't believe -	7	CHAIRPERSON: Thank you, I think you're
8	MR RAMPHELE: Thank you.	8	probably –
9	MR SINCLAIR: - that we would have missed	9	MR SINCLAIR: They had communicated with,
10	that.	10	we hear, from the radios and that. The security guys that
11	MR RAMPHELE: Thank you.	11	had come in from the bottom under whoever was in command
12	MR SINCLAIR: However, it is a	12	had made the thing that they were competent, capable and
13	possibility.	13	okay to go and stop those marches coming through to them.
14	MR RAMPHELE: Thank you. Let me ask you	14	I understand from I have read and from what I have reviewed
15	the last question, because I'm -	15	that they were very competent, that they were able, with
16	CHAIRPERSON: This is your last –	16	their shotguns and rubber be able to stop those guys
17	MR RAMPHELE: - going to make a	17	advancing. That turned out and we know what happened and
18	submission to the Commission that the Commission must find	18	why it happened because these guys just kept coming. They
19	that Lonmin did not do what it was supposed to do when	19	had been exposed to that on many occasions, that's why they
20	Mabebe and Mabelane –	20	made that decision. They had stopped people before in
21	CHAIRPERSON: What's the question you	21	those circumstances and had that repelled. Now that -
22	want to put to the witness?	22	CHAIRPERSON: Sorry to interrupt you.
23	MR RAMPHELE: Yes, I'm coming to the	23	You were actually busy on another point. I understand the
24	question, but this witness sometimes doesn't understand the	24	point you're making that they were effectively over
25	question and he goes on and on. So just to be very	25	confident and their over confidence was based upon what had
	Page 36626		Page 36628
1	precise, you see, and in order to assist him I'm going to	1	happened successfully in the past. I understand that, but
2	make an example. If as an employer you know that at a	2	Mr Ramphele is asking a slightly different question. He is
3	particular place there's a rock fall and two of your	3	concerned about what he's described there was a lack of
4	employees actually had to run to flee because of the rock	4	communication, the fact that what they would have done if
5	fall, and you know that there are other employees who may	5	they had got the warning is really what you're dealing
6	not be knowing, going to the same shaft, you have an	6	with, but he's dealing with another matter. He's
7	obligation to go and rescue them from probable danger. Now	7	suggesting to you that there was a duty of the Lonmin who
8	Mabebe – I mean Fundi and Mabelane were not aware that the	8	knew about the attack on Mr Louw and his colleague to
9	strikers were attacking security officials.	9	inform Mr Mabelane and Mr Fundi that that had happened so
10	[14:55] Where Louw and Vorster were attacked is three	10	that they could then make a judgment based upon that
11	kilometres from where Fundi and Mabelane were attacked and	11	information amongst others. What's your answer to that?
12	I want to put it to you that the employer, in that three	12	MR RAMPHELE: Especially, Chair, if you

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different picture.

13 kilometres, had an opportunity to actually go to Mabelane you are facing danger, don't face this crowd. That did not 14 15 happen and that obligation rested with Lonmin. CHAIRPERSON: What's your response to 16 17 that, Mr Sinclair? 18 MR SINCLAIR: Mr Chairman, the distance between Louw I think was probably about 800 metres. It was 20 at a very confined space, it was at the hostel, I mean at -21 CHAIRPERSON: This was between Louw and -22 MR SINCLAIR: And our deceased 23 colleagues. CHAIRPERSON: Yes it was 800 metres -

MR SINCLAIR:

CHAIRPERSON: Yes but your concern is that Louw and his colleague didn't tell Mabelane either directly or via the centre that this had happened and therefore they should be especially on their guard. I think that's basically your point isn't it? What's your response to that?

MR SINCLAIR: I don't know whether they

look at the first briefing it was not only the Lonmin

miners that were involved. The first briefing clearly

miners from outside. So obviously it is a complete

indicates that you had Lonmin miners, but you had other

got the message or didn't, but I understand that they

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It plus or minus 800

didn't and they should have got the message, yes, from

- directly via the radios or via the telephone or via the 2
- 3 call centre. And that didn't happen.
- 4 CHAIRPERSON: You're effectively agreeing
- 5 with the point being put to you that they should have got
- the message, you don't know whether they didn't, but if 6
- 7 they didn't they should have.
- 8 MR SINCLAIR: Under that intense
- 9 circumstances that day with this thing happening why they
- 10 didn't get the message that I can't comment on.
- CHAIRPERSON: 11 That's something we'll have
- 12 to look in due course.
- 13 MR SINCLAIR: Yes, Sir.
- 14 CHAIRPERSON: Thank you. I think those
- 15 are your questions, Mr Ramphele. Is it now time for re-
- examination? But it's 3 o'clock. Mr Van As how long are 16
- you going to be in your re-examination? 17
- 18 MR VAN AS: Ten minutes, Mr Chair.
- 19 CHAIRPERSON: All right. Shall we have a
- 20 cup of tea first. And the witness too I suppose would
- 21 appreciate it. You'll obviously enjoy your re-examination
- 22 better if we do that. Adjourn for 15 minutes for tea.
- 23 [COMMISSION ADJOURNS **COMMISSION RESUMES**]
- 24 [15:24] CHAIRPERSON: The Commission resumes. Mr
- 25 Sinclair, you're still under oath. Mr Van As?

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- the fence for copper theft and those, so to sit here and
- 2 say we would ideally need that many, it would be very
- 3 difficult for me to do a risk assessment as I'm sitting
- 4 here on that question but the six we had was two, two and
- then there was also at the back, right at the top just out 5
- 6 of the top of the picture, there were contract people there
- 7 and they had informal security on their gates at the back.
- 8 I don't know if that answers your question, sir.
- 9 MR VAN AS: And if a group of heavily
- 10 armed strikers decided to flatten the fence and enter the
- 11 car park whilst the security guard was patrolling around
- 12 the other side of the perimeter, would it have made any
- difference? 13
- 14 MR SINCLAIR: It wouldn't. That's why we had this, it wouldn't have made any difference. That's why 15
- we had the CCTV to assist us and the lighting and those 16
- 17
- type of issues to try and assist but it is a huge area to
- 18 effectively police.
- 19 MR VAN AS: Alright. And then the
- 20 Chairperson also put it to you that perhaps you should
- 21 have, Lonmin should have closing the shaft down. Can you
- 22 just give the Commission an indication, what does closing
- 23 of the shaft entail? Are there still people who have to
- 24 remain at work and what do they have to do?
 - MR SINCLAIR: Sir, we would not have

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25

- 1 GRAEME SINCLAIR: (s.u.o.)
- 2 RE-EXAMINATION BY MR VAN AS: Thank you,
- 3 Mr Chairperson. Mr Chairperson, can we please have exhibit
- 4 GGGG6.3 on the screen? Mr Sinclair, this is a bird's eye
- sort of idea of how much security you would have needed to 6
- 7
- 8 the middle of the night?
- 9
- 10
- 11

- 14
- 15
- 16 sorry, 12 people daily, six in the morning, six at night
- 17
- 18
- 19 Ideally to cover that fence, at the drop of a hat we would
- probably require at least another four double persons, two-
- 21
- patrol around there. Not only that one but every other
- had there was sufficient. It stopped the criminal

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- 5 view of K4 shaft. Can you just give the Commission some
- - patrol and protect the perimeter fence around K4 shaft in

 - MR SINCLAIR: I just want to orientate
 - myself, the incoming, the fence Mr Chairman, it is a huge
- area. I can't remember the exact perimeter fence distance.
- 12 In conjunction with that, there is other activities with
- 13 the belt that goes up to the slime dam, you can't see it,
 - down to where Google Earth is at the bottom, there's a belt
 - going out there. You would need we have 12 people,
 - covering this area. The gate, the access gates coming in,
- the access gates at the back and then the two roaming.
- two, to make it six patrolling around to have an effective
- shaft around Lonmin. Now up until this incident what we
- activities and the people breaking, trying to break through

- Page 36632 closed the shop but we could close production down, but
- certainly the way I've already alluded to, the essential
- services, the pumping of water to stop flooding, the
- ventilation, the lamp houses. There are some critical areas that you can't - that's why from the banksman's cabin
- 6 which is the central point, I know this shaft in particular
- 7 because I was involved in that - the banksman's cabin to
- 8 their secure area which is in that block. That U block
- 9 where you can see the building at the back there where the
- 10 tree is, that is their safe area where they would
- 11 demobilise to. So the essential people, the underground
- 12 people wouldn't be able to mobilise but the hoist drivers,
- 13 the banksman, the people in the compressor house, the
- people in the refrigeration plants that require 24/7
- 15 personnel to be there, would not be able to just leave that
- area, sir. 17 MR VAN AS: You also testified that you did not anticipate a second attack on the NUM office. Why 18
- 20 MR SINCLAIR: I, the NUM, for an attack
- 21 on the NUM office, the NUM offices to me was a small
- 23 police station. An attack, and that's why I did not
- 24 specify in my one brief "the NUM office," I specific NUM

building with a couple of offices next to the satellite

which could have been anywhere around. The NUM members,

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19

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not?

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                                                                                                                         Page 36635
    some of them stayed in some of the hostel blocks, some of
                                                                       Thank you, Mr Sinclair, you'll be excused on the basis that
2
    them stayed close by and - but I, in my estimate with the
                                                                   2
                                                                       if we have to get you back, I don't know that we will but
                                                                   3
3
    information coming to me, I didn't expect anybody to come
                                                                       if we ask you to come back you'll come without our having
4
    down and go out and go to the NUM office and do anything to
                                                                   4
                                                                       to serve a subpoena on you.
                                                                   5
5
    that actual office. Most of the time that office would
                                                                               MR SINCLAIR:
                                                                                                    No problem.
                                                                   6
                                                                               CHAIRPERSON:
                                                                                                      And if you give me that
    have been empty anyway.
6
7
                                                                   7
                                                                       undertaking -
           MR VAN AS:
                             And even if you had
                                                                   8
                                                                               MR SINCLAIR:
                                                                                                     I give you that undertaking
8
    anticipated this attack, what sort of security force would
                                                                   9
9
    you have needed to avert an attack by 1 000 heavily armed
    strikers who believed that bullets wouldn't affect them?
                                                                   10
                                                                               CHAIRPERSON:
                                                                                                      Thank you very much.
10
           MR SINCLAIR:
                                Sir, we saw 120 people with
                                                                   11
                                                                               MR SINCLAIR:
                                                                                                     - sir, and God bless to all
11
12
    16 or 20 police vehicles with properly, well, with heavily
                                                                   12
                                                                       of you.
13
    trained policemen, so I cannot say what sort of size force
                                                                   13
                                                                               CHAIRPERSON:
                                                                                                      Thank you.
14
    we would have needed to stop that, that operation. I think
                                                                   14
                                                                               [NO FURTHER QUESTIONS - WITNESS EXCUSED]
15
    we would have to really go into a full team effort to come
                                                                   15
                                                                                                      Mr White? Sorry, I
                                                                               CHAIRPERSON:
    up with that number, of what size we would need but it
16
                                                                   16
                                                                       actually - I should ask Ms Le Roux. You recall Mr White,
    would - where they, where that attack was just completely
17
                                                                   17
                                                                       do you?
18
    blatant, they're coming through to attack and they're not
                                                                   18
                                                                               MS LE ROUX:
                                                                                                   Yes, Chair, and perhaps if
19
    going to stop, with that amount of people you cannot stop
                                                                   19
                                                                       we could just have a minute for Mr White to arrange his
                                                                       files where he's going to be seated.
20
    them.
                                                                   20
21
           MR VAN AS:
                                                                  21
                             And you were also accused of,
                                                                               CHAIRPERSON:
                                                                                                      Sorry, we've decided to sit
22
    or Lonmin security were also accused of displaying a lack
                                                                   22
                                                                       until quarter past four to gain, it gives us a little bit
23
    of leadership. Had you ever experienced something like
                                                                   23
                                                                       more time. If the witness can arrange his files without
    that before?
24
                                                                   24
                                                                       our adjourning I'd appreciate it.
25
                                                                   25
           MR SINCLAIR:
                                                                              MS LE ROUX:
                                Mr Chairman, the team that
                                                                                                    I'm sure he can.
                                                      Page 36634
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I've worked with including those from [indistinct], they are extremely committed. In fact, if you have a look at 2 3 one of my briefs you will see how I've said that through to 4 our executive about how committed, how driven they are and 5 how focused they are on their job. I have in my life worked with many teams underground and surface and all 6 7 over. That emergency and security team at Lonmin are 8 extremely committed to their work and doing their work 9 absolutely to the best of their ability. 10 MR VAN AS: Let me perhaps ask the question again. Had Lonmin security ever experienced 11 12 anything similar to what happened on the Sunday morning? 13 MR SINCLAIR: We have never. We've had 14 the Bapo that I - that was violent but we were able to 15 contain it. We've never experienced anything like Sunday morning, the attack on Sunday night here, breaking through 17 and that violence we had never been exposed to, to that. 18 And within the circle of the other mine security around us 19 that we interact. I had not understood that, with the exception of an event that had taken place at Aquarius that 21 we had analysed but that was very different to what we experienced here. 22 MR VAN AS: Thank you, Mr Chairperson, 23

Thank you, Mr Van As.

24 those are my questions.

CHAIRPERSON:

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Page 36636 We won't expect him or you CHAIRPERSON: to do anything until he's ready. Mr White, I suppose you 3 were here such a long time ago, I'd better swear you in 4 again. Would you please stand? Do you swear the evidence, the continued evidence you will give before this Commission 6 will be the truth, the whole truth and nothing but the 7 truth. Please raise your right hand, say "I swear, so help 8 me God." 9 MR WHITE: I swear, so help me God. CHAIRPERSON: 10 Thank you, you may - please 11 be seated. Mr Semenya? 12 CROSS-EXAMINATION BY MR SEMENYA SC (CONTD.): 13 Thank you, Chair. Good afternoon again, Mr White. 14 MR WHITE: Good afternoon. 15 MR SEMENYA SC: Now having seen the visuals of the 16th just moments before the shooting at 17 scene 1, as this group comes around the kraal and we spent 18 some time defining what group it is, that if some of the 19 evidence is accepted they were meaning to go and kill the 20 police. With that as a background, what help measures were 21 available to contain that threat? 22 MS LE ROUX: Chair, if I could just ask 23 my learned friend to clarify when he says the visuals of

scene 1, if he could identify either which footage he's

referring to or which aspect of the crowd moving he's

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MR SEMENYA SC:

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Page 36637 referring to, just with a little bit more clarity. 2 MR SEMENYA SC: I just said, Mr White, 3 just moments before the shooting by the TRT as they come 4 around the bend. We have seen many of those, are you 5 familiar with them? MR WHITE: 6 I've seen both still 7 photographs and also some video images of the group that I think that you're referring to. 8 9 MR SEMENYA SC: Ja. Having defined what 10 type of group it was and what intent it had, the last time - last time we described what type of group it is and what 11 12 utterances of killings they uttered before that, right? 13 MR WHITE: Well, I do specifically 14 recall, Chair, that we talked about, at least a number of them were in tight formation. I think actually I'd 15 misinterpreted something that you'd asked me. I think I 16 17 thought you said attack and you hadn't said that, but the 18 issue then basically became an issue around intent and 19 you've just talked about intent. I don't know what the 20 intent of the group was but specifically on the evidence 21 that I've been asked to look at, I think I had conceded 22 that there were at least some of them that were close 23 together.

MR SEMENYA SC: We know, we know that there was teargas fired at that time, there were stun grenades fired at that time, there were rubber bullets fired at that time. All of that given, I'm just asking were there other measures, POP measures that could have 6 contained that attack without fatalities and the 7 consequences we know? 8 MR WHITE: I'm not sure that I actually 9 understand the question in the sense that you're saying to 10 me with all of those mechanisms, are there other mechanisms 11 that could have contained a threat. 12 MR SEMENYA SC: Which are POP techniques. 13 MR WHITE: Well, I think I've listed all 14 of the POP techniques or tactics that were actually available to the officers on that day, so therefore I've exhausted that list so there are no more. 17 MR SEMENYA SC: But we know those did not contain the threat, don't we? We see POP members running 19 to the Nyalas. 20 MR WHITE: But I don't know if, and again 21 I'm trying to follow your line of questioning, Mr Semenya, 22 if you'll indulge me for one second, I don't know that 23 those weapons, those tactical options were used at the 24 front of the crowd to prevent them from coming towards where ultimately the TRT line was. Now if you are asking

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Commission ultimately accepts the description of the crowd was as we say it was, it accepts that the evidence of their threats were made, it accepts that the evidence that some of the witnesses say their intention was to kill the police, assuming that to be a factual predicate for my question, the question I'm asking, moments before the shooting as they come around the kraal what POP measures could contain such a threat?

MR WHITE:

Just for clarity, assuming all of those things that Mr Semenya talks about are accepted

different one though, I'm saying assuming the evidence, the

Ja, my question is a

8 could contain such a threat? 9 10 of those things that Mr Semenya talks about are accepted and I understand that there is some evidence to the 11 12 contrary but assuming, if it's helpful, then what we're 13 dealing with is a crowd who are armed as I think we've said, with sharp traditional and dangerous weapons, 14 15 depending on the gap that exists between police officers 16 and the people who are approaching them, I mean my 17 understanding is that the POP officers, which I think is 18 specifically what you asked me to refer, to look at, are 19 equipped with a range of what are referred to as less lethal methods, rubber rounds, stun grenades and teargas 21 and I appreciate that there are water cannons in the area

22 although I don't think the water cannons are available to

24 talking about at this particular moment in time, but they

engage with the crowd, what Mr Semenya is specifically

1-20-4

9 and the second of the second

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me to engage with the set of facts that you're suggesting

that for the purposes of this question we need to accept

3 that they were, if having gone through almost to a

4 graduated use of force, in other words verbal warnings

5 don't work and things like teargas doesn't work, impact

6 rounds, well then once they've exhausted all of those

7 mechanisms and if the threat is still there, well then

8 they've exhausted those mechanisms so nothing has worked

9 and therefore there are no other less lethal options that

) one meteodicile mains to work

are potentially going to work.

MR SEMENYA SC: If those facts are established, are you willing to change your opinion in relation to the conclusions you arrived at in relation to scene 1?

MR WHITE: My conclusions at scene 1 were based on the fact that, a number of things. First of all – MR SEMENYA SC: Sorry to interrupt you, Mr White, that's why I don't want us to go there. I'm asking you assuming the Commission finds those facts as I presented them to you to be correct, would that change your opinion? That's my question.

MS LE ROUX: Chair, could I just ask for clarity on the assumption that Mr Semenya would like Mr White to make? Is it that each of the POP measures available, water cannon, teargas, stun grenades, rubber

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25 have a range of tactics –

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were available, there were no others available or is it

- also, is he talking about how they were actually used on 2
- 3 the day, whether they were used against the lead group with
- 4 sufficient time and whether they had any effect, I just
- 5 want to be clear on what the assumption is that he would
- like Mr White to assume. 6

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7 MR SEMENYA SC: Mr White, do you have

8 difficulties with my question?

MR WHITE: I'm grateful for Ms Le Roux's interjection with regards to just getting absolute clarity

so that I can give absolute clarity to you by way of 11

12 answer. I would have also said could you please just 13

clarify for me which assumption you're asking me or which

14 criticism you're asking me to change?

15 MR SEMENYA SC: No, I'm operating with

16 limited time. You remember we took the -

Mr Semenya, sorry, can I 17 CHAIRPERSON: 18 interrupt you? Perhaps in the hope of shortening things

can I ask the question slightly differently? Mr Semenya 19

20 has asked you to assume that they had water canon

21 available, teargas, stun grenades and those are the three

22 things you've got, is that right?

23 MS LE ROUX: And rubber, Chair.

24 MR SEMENYA SC: And rubber.

25 CHAIRPERSON: Sorry, and rubber bullets, [15:44] MR WHITE: Well, if those mechanisms

- hadn't have worked and those mechanisms had have been used
- against a group running at you in the way that Mr Semenya
- described, and I understand that there's conflicting
- factual evidence as to whether or not that happened. But
- 6 if, well then naturally I think that you look at three
- 7 things; 1, given that the question is, now you've got to be
- 8 in the mind of the police officer, is do we resort to
- 9 lethal force and bearing in mind all the international
- 10 standards in relation to the use of lethal force, absolute
- necessity, last resort. So the question then becomes can 11
- 12 we retreat. This is the first thing. But if not, then
- there may be a situation where potentially you may need to
- 14 engage that crowd, or people who are representing a clear

15 imminent threat to life with lethal force.

But the last part of Mr Semenya's question was would I change, given those circumstances, would I change

my criticism or opinion -

19 CHAIRPERSON: It was to avoid that point

that I reformulated the question the way I did, but 20

21 obviously you must now engage the question as Mr Semenya

22 put it to you, do you still stand by your criticisms in

23 regard to that particular issue?

24 MR WHITE: Well, I'm therefore asking

just which specific, particular criticism that I have made

Page 36642

yes. Assuming all those had been used, what is your 1

- 2 opinion, essentially on two matters - firstly if, would a
- 3 combination of those four things in your view, based on
- 4 your experience, have been enough to stop the advance of
- 5 these people who, on the assumption you've been asked to
- make, were intending to attack the police? Would they have 6
- 7 successfully in your opinion, on the probabilities I take
- it, have been able to ward off this attack by a combination 8
- 9 of those four things?

MR WHITE: I think that given the images

that I've seen, the nature of that crowd is that in my 11

12 opinion it'll be likely that the range of less lethal

13 options that we've talked about could potentially have

stopped that crowd. If it's helpful to Mr Semenya and, 14

15 more importantly, to yourself, Mr Chairman, I think he was

asking me to assume that those mechanisms hadn't stopped 16

17 the crowd.

10

18 CHAIRPERSON: That's why I was coming

19 with the second part. Your opinion is those four things

might have done the trick, if I can put it colloquially.

21 If they hadn't, what then? Would there still have been an

22 imminent - there still, I take it, would have been an

imminent threat. Would there have been any other way which

24 could have been employed by the policemen concerned, POP,

to prevent the threat being implemented?

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1 is he asking me to reconsider.

MR SEMENYA SC: Let's go it piecemeal.

We do see POP members run away. Is that what should be

encouraged to happen in those circumstances?

5 MR WHITE: If you say we see POP members

6 running away, I'm not sure that I've seen that -

> MR SEMENYA SC: We have -

8 MR WHITE: I'm not disputing. I'll

accept then if you tell so that POP members run away. Are 9

you asking me, if you're asking me should that be 10

encouraged, that's a decision for the officers to make 11

12 themselves as to in terms of what capability do I now have

13 to engage this threat, because we certainly wouldn't ask, I

14 don't think we'd ask a police officer basically to stand

15 there with there is imminent threat to his life and he

16 couldn't do anything else about it. So in one of the

issues that you do give consideration to in relation to use

18 of lethal force is the potential for retreating. 19 MR SEMENYA SC: No, I accept if they are able to retreat in that way they can avert any harm. That

is what the law requires them to do. That's not what I'm

22 asking. I'm asking you as an expert that when confronted

23 as a police POP member by a threat as we describe you would encourage all of them to run away, because they can use no

other measure beyond the rubber bullet, as you concluded.

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Page 36645
           MR WHITE:
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                              No. I -
           CHAIRPERSON:
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                                  Forgive my interrupting
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    you, but you know, I'm not sure that question is helpful
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    because the law of self-defence is if you can run away you
5
    must run away. If there are some people approaching you to
    attack you and you can run away, then the law as I
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7
    understand it says you must, generally speaking. And of
    course this is a little bit removed from the facts of our
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9
    matter -
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           MR SEMENYA SC:
                                    No -
           CHAIRPERSON:
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                                 - because the POP people
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    did run away. They jumped into their Nyalas. What then
13
    happened, in fact only one POP man stayed in position and
14
    that was Kuhn, as we can see it in the videos. What
15
    happened was they came on, the strikers came on in the
16
    direction of the TRT people, who were the ones who fired.
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    I don't want to interrupt your cross-examination unduly,
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    but we've got to bear in mind what the facts are.
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           MR SEMENYA SC:
                                    No, I accept, Chair.
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    That's why I'm qualifying the question by saying, Mr White,
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    I want to examine whether there is a police tactic,
22
    technique, that we should be recommending before self-
23
    defence is really there now, i.e. when there's imminent
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    threat and the facts are there for self-defence and private
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defence, we know what the law says. I'm excluding that. I

being asked then I'll say yes, there are occasions when you may have to revert to lethal weapons. But there are a number of factors that you need to put in there. One is, as you've said, Chair, if you can retreat, withdraw to the safety in your Nyalas or run away, then you must do that. 6 MR SEMENYA SC: Y٩ 7 MR WHITE: Beyond that there's the issue 8 of being confronted with this line of 60 people armed with 9 R5 rifles. So I've been asked a question is there some 10 intermediary. I think that in my statement I made 11 reference to being engaged in circumstances where you're 12 facing a crowd where there is, and they're a threat, 13 sometimes actually the threat manifests with firearms and 14 in preparing for that I give details in my statement as to 15 how we would have actually planned for that and actioned 16 that. 17 MR SEMENYA SC: Yes, that's why I'm 18 trying to take advantage of your expertise and I know these 19 theories exist, the legal framework exists, circumstances 20 under which those can or cannot be justified. I understand 21 all that. I'm inviting your opinion as an expert now that 22 moments before the shooting, if the circumstances are what 23 we see, are you suggesting there are other POP measures 24 which could have contained that without the consequences we know? That's my question.

Page 36646 want to see if there's an intermediate police procedure you 1 know about where we should encourage them to run away. 2 3 MR WHITE: No. I think the reason I was 4 trying to establish which particular of my criticisms did 5 you ask me to revise, is that - but my, you know one of the 6 key criticisms I had was in relation to how this issue, 7 this event was planned, and I'm not trying to widen this 8 out, so specifically I made criticisms in relation to how 9 the POP officers were backed up by a line of 60 TRT officers. Now I would say to you that if the POP officers 10 in accordance with the plan, as the Chair has pointed out, 11 12 had to withdraw to their Nyalas, the next tactic was that 13 they would be confronted with a line of TRT officers. I was critical of that at the time and even with the question 14 that you put to me I remain critical of that. So if your 15 16 question was which of my criticism, would I revise those; 17 no, I wouldn't. 18 MR SEMENYA SC: Let's forget what I asked in the past and let's focus on what I'm asking now. Even if the TRT people were POP officers in that line, what POP 21 measure would they employ to thwart the imminent threat on 22 their lives? MR WHITE: If less lethal options haven't 23 24 worked then the question has to come, depending on the

25 threat, do I use lethal weapons, and if that's the question

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Page 36648 CHAIRPERSON: Mr Semenya, I take it by 1 POP measures you mean measures which involve the employment of non-lethal force. MR SEMENYA SC: Correct. 5 MR WHITE: Two points in that; one I've already said earlier on that the police officers there, the 6 7 POP police officers there had exhausted, I think had used 8 all of their less lethal mechanisms, so they had nowhere else to go. If the question you're asking me, are there 10 other less lethal mechanisms that perhaps South African 11 police don't have or didn't have deployed on the day, again 12 I've given evidence in my statement with regards to impact 13 rounds and the fact that they might be over something like 14 rubber. 15 I said to you, Chair, also as part of this answer do I think that less lethal mechanisms would have been 17 sufficient to contain that crowd against the images that 18 I've seen approaching the police officers. I think 19 potentially it could have been had they been properly

25 MR SEMENYA SC: Could on the facts had

properly organised and properly planned. I'm trying to

invite you that we work with the facts as they obtained.

Well, if -

Again you're bringing

Email: realtime@mweb.co.za

organised and had been properly planned.

MR SEMENYA SC:

MR WHITE:

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    they - as you use the expression "properly planned" - they
    could have properly planned that the crowd was going to go
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    around the kraal during the 16:30 planning meeting?
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           MR WHITE:
                             No, I don't think you can
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    absolutely plan where a crowd is going to go. You can put
    measures in place in order to encourage crowds to go
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7
    certain ways, but in one of the issues that I've been
    strong on throughout my engagement with this evidence is
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9
    about contingency planning and about thinking about the
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    what-ifs. So I don't know that you could have planned with
11
    absolute certainty that the crowd would have come around
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    the kraal, no.
13
           MR SEMENYA SC:
                                    Even at that level, Mr
14
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White, are you saying they should have provided for a contingency that Nyala 4 would close to the kraal and therefore the group would go around the kraal? Is that a type of contingency plan you say there should have been at 14:30? MR WHITE: I think, Chair, in fairness, do something that I think that the policing expert for SAPS

19 20 what Mr Semenya is now asking me to do is basically almost 21 22 has criticised me for, which is using the benefit of 23 hindsight. I've been asked to look at every eventuality 24 that's happened and say should there have been a

Page 36651 or wrongly handled, was an attempt to contain a very fluid situation for which the police were caught off-guard, if I use that expression?

MR WHITE: I certainly think that, ja, this was a fluid situation and I think the police were caught off-guard. That would be correct.

7 MR SEMENYA SC: Sorry, Sir, I couldn't 8 hear

MR WHITE:

10 MR SEMENYA SC: Academic writers who 11 write on crowd behaviour, I see that they always emphasise 12 that this type of research is context and social specific. 13 You need to know what community you're dealing with before

I am agreeing with you.

you can describe whether or not a particular crowd is acting consistent with predictable group behaviour. Am I right?

MR WHITE: I'm not being awkward, but I haven't read all of the literature in relation to people who study crowds. Whether it would be fair to say that you have to know the crowd, I think it always helps, I mean certainly from a professional policing point of view it helps significantly if you understand the type of community you're dealing with.

24 MR SEMENYA SC: And at times it has their own social nuances, there may be a particular way

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planning for this particular event was that there were
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2 certain things that I think were predictable that weren't

contingency plan for it. My criticisms in relation to

- 3 necessarily planned for. You cannot plan for every single
- 4 eventuality. My criticism in relation to the timing for
- 5 this exercise was that it was deficient, and considerably
- 6 deficient in that I don't think that there was anywhere
- 7 near enough attention given to the things that could have
- 8 been planned for and reasonably foreseen.

MR SEMENYA SC: Well, we'll later go and look at your individual criticisms, but I thought we could deal with the big picture issues first. Can I rest on your expert opinion to say on all the available evidence that you have seen you did not come across anything that suggested there was a plan by the SAPS to execute people on that day?

MR WHITE: 16 If I understand you correctly 17 you're asking me in looking at all the evidence do I think that the police had a predetermined intent to kill people 18 from the very outset. No, I don't.

19 20 MR SEMENYA SC: There is no such evidence 21 that points to that conclusion. Am I right? MR WHITE: I certainly don't say that is 22 the evidence. That's not how I engaged with it.

MR SEMENYA SC: And you do accept that what seems to have transpired at scene 1, whether correctly

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mineworkers operate as a cohesive entity or behave in a cohesive entity far different from how others in the

3 Northern Ireland could behave. That's a fair statement to 4 make?

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MR WHITE: I don't know that I'm qualified to answer that, Chair, to be honest with you. I 6 7 say that I don't actually know that I'm qualified to answer 8 that question, if I'm being honest.

MR SEMENYA SC: Okay. Your opinion about whether the challenges that the SAPS had faced were of a particular kind, you ought reasonably to have known a number of things. Let me cite them in sequence. The level of police training there was to deal with that issue, would that be a relevant factor?

15 MR WHITE: I think that would be 16 relevant.

17 MR SEMENYA SC: Did you as a matter of 18 fact know what training was had by those who were to 19 contain this operation?

MR WHITE: In the evidence that was provided to me on the original hard drive there were documents that related both to policy training, the type of training and also my recollection is that there were training records in relation to, my specific focus was on

the people who were involved in planning, commanding and

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running the organisation as – the operation, I beg your

2 pardon, as opposed to the individual officers who were

3 physically within the units. But yes, I engaged with

4 evidence of that nature.

5 MR SEMENYA SC: Were you satisfied that 6

the police who were to manage the operation in Marikana had

7 adequate experience and training to do so?

8 MR WHITF: I think one of the criticisms

9 that I made was that specifically in relation to the

planning for the operation actually, well the chief planner 10

did not seem to have a significant background in Public 11

12 Order Policing and there was also then very little evidence

to suggest that he had been substantially assisted in the 13

14 planning by people who did.

15 MR SEMENYA SC: Apart from - that's where I'm going. Apart from the fact that the plan compiler, who 16

was Colonel Scott, did you form a view or an opinion that 17 18 there was inadequate experience and expertise on POP issues

19 to be doing that planning of that operation?

20 MR WHITE: Yes, effectively I did form

21 that opinion, Mr Chairman.

22 MR SEMENYA SC: You formed the opinion

23 that?

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24 MR WHITE: I stated this in my, at some

considerable length in what's referred to as my final

didn't just hear the end of your sentence.

2 MR SEMENYA SC: Ja, I say I'm quite alive

3 to the fact that you were critical about stage 3 in the

whole permutation of its manifestations. I'm aware of

5 that, but I want us to break it in part so that I am clear

6 what your professional opinion is on the matter. Are there

7 POP elements missing in the plan that was adopted 14:30 on

the 16th of August 2012?

MR WHITE: When you say are there POP elements, what do you mean by elements, so that I can be -

11 MR SEMENYA SC: So that if there were POP 12 members who were vigorously interrogating this and they

13 spent a whole five hours talking about it, they would have

14 included things missing in it.

MR WHITE: Well, I think in the first instance there is the issue of engaging a crowd of in and

17 around 3 000 people, of whom in and around 300 we

18 anticipate are heavily armed, and the intelligence, limited

19 as it is, would say that at least that group are

20 potentially likely to resist with force and engagement.

21 [16:04] I think that the first issue is, is it a good

22 idea then to actually go and engage that crowd and at the

23 time it happened, and actually one of the people who has

24 some involvement, a limited involvement I would stress, Mr

Chairman, in the planning of the operation who has

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statement, except that it wasn't my final statement in the

end, but that actually I did feel that Lieutenant-Colonel 2

3 Scott was placed in a difficult position insomuch as I

4 questioned whether or not he was the right person to have

5 been the chief planner insomuch as given his background. I

6 point to a number of issues that I think that his judgment

7 may well have been questionable, not in terms of his

8 professional ability - I'm sure Lieutenant-Colonel Scott is

9 a very capable officer - but perhaps in a slightly

different field, and then I also made comments with regard

to the limited input of other officers who would have had

12 much more public order command and planning experience.

Ja, we're going to deal MR SEMENYA SC:

with your report in relation to those elements. I'm just

trying to ascertain is it your opinion that the total sum

16 of expertise and experience on POP matters was during the

17 planning of the operation there or not?

18 MR WHITE: I think I've made that clear

19 that yes, I do think that in terms of the planning there

20 was inadequate engagement with experienced public order

21 commander/planners.

22 MR SEMENYA SC: Okay, now I know you're

23 very critical of the plan for the operation, particularly

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24 stage 3.

MR WHITE:

Apologies, Mr Semenya, I

Page 36656 significant public order experience it would seem is -

2 forgive me, keep me right on the rank here - Mr Merafe.

3 I'm not guite sure of the rank, I think it's Colonel?

> CHAIRPERSON: Yes.

MR WHITE: And Mr Merafe in his oral

evidence as I understand actually said when protesters are 6

7 looking for confrontation it's not necessarily the best

8 time to intervene. So given what the limited intelligence

9 actually says and given the number of people on the hill at

10 that time, I would question whether or not the whole basis

11 of the plan in terms of do we go and do this and do we go 12

and do it now was well conceived. As I say, that seems to

13 be backed up by the view of one of the people who actually 14

does have public order experience.

15 MR SEMENYA SC: Mr White, let us not 16 conflate two things. There are two things; you can either

17 say the proper avenue, given all of those facts, lack of

18 intelligence and 3 000 people, etcetera, the decision to go

19 on stage 3 is wrong. That's one thing. But you can say

20 having decided to go through with that operation given

21 those facts, there is something inadequate in your

22 planning, and I'm trying to explore the second.

23 MR WHITE: Okay, then forgive me. Then 24 let's move to the second. The way that it was planned was

that stage 3 was to some extent a bit of a continuation of

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stage 1 and stage 2. Stage 1 was the negotiation, stage 2
then was sort of a more show of force and then stage 3 was
to be this proactive, I think I wouldn't use the term but
the term that seems to be used within the evidence is going

Now at stage 1 when the police first arrived close to the koppie to do the negotiation we see a reaction from the crowd and there's evidence to suggest that people come forward, including I think it's Mr Noki comes forward

and is aggressive, but I think I've congratulated the tactical use of negotiators, Mr McIntosh and so forth, and they managed to get that situation calmed down.

In the interest of brevity, rolling on through to sort of stage 2 when the police up the profile somewhat, i.e. that the Nyalas that have the wire appear - not that the wire has been deployed at this stage, this is much earlier, but again you know the police make an action and we see a reaction from the crowd. People come forward, again expressing, you know their frustration, concern and threats are actually issued.

Then what happens is at the beginning of stage 3 the idea is that this wire will be rolled out, which Lieutenant-Colonel Scott says is a defensive measure to protect the police and the media, a safe holding area effectively, and what he said is that – as I understand it

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So I'm giving that to you as an example whereby Colonel Scott, who's the chief planner in this, I think makes certain assumptions which actually, if you look at people who are much more used to dealing with public order, they took a different view on this.

MR SEMENYA SC: Mr White, with respect, and I can understand why you're going and explaining yourself. My question is a very straightforward one. If there was a plan in terms of Standing Order 262 that there must be a written plan for a POP operation, okay, my question is, and the decision has been made to go and disarm, as you put them, 3 000 people, what would you expect to see written in that written plan that you find missing in this operation that was verbally given?

MR WHITE: Apology, Chairman, that puts me in a difficult position. It puts me in a difficult position because if I was a senior police officer engaged in this operation then I wouldn't have been doing, I would not have been following through in this act. So Mr Semenya is asking me a question, saying but the fact remains the decision has come from on high that this is what we're going to do, what would you expect to put in the plan.

Well, as I say, it puts me in a very difficult positionfrom the point of view that I think that the act of doing

this was incredibly dangerous, so -

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1 - that the wire will be rolled out and then at the time
2 choosing after that of Mr Calitz, who's the operational
3 commander, then he would give a warning.

So Mr Scott talks about that the wire should be rolled out simultaneously, very quick, with a warning, and in some respects he goes on to talk about it's merely sort of a case of, to use the phrase, is you shock them off, and there will be a sort of psychological intimidating factor around this.

I would say that, (a), based on the evidence that I've already given, you know in a public order situation it tends to be that when the police make a, take an action there will be a reaction to that and this is why I say, you know I'm sure Mr Scott is a very professional officer and his background is in the Special Task Force. His background is in, I'm sure therefore planning for firearms type exercise or operations. So I think he misjudged what potential reaction might have been when the wire went out. Not only that; by the evidence of some of his colleagues it was totally inappropriate for the wire to have been rolled out that way, and I think both Mr Calitz and also Mr [inaudible] I think police officers, they then instigated the rollout of the wire consecutively and give the

24 rationale and the reason for doing that, and I don't argue

Page 36660 CHAIRPERSON: Can I rephrase the

1 CHAIRPERSON: Can I rephrase the 2 question? We know the decision was not made by anybody on 3 the spot. We know it was in fact made the night before.

4 The real question is this; assuming that the people in the

5 JOCCOM are faced with a decision from on high, which is an

6 assumption which is justified on the facts, and they're

7 told they must execute it, is it possible for an

8 appropriate responsible and relatively risk-free plan to

9 have been worked out? You see, as I understand it Mr

10 Semenya's question has to two parts. I understand the

11 first part is you say that the decision was a bad decision.

On the other hand if one assumed that the decision is a given, there's nothing you can do about it, is it possible to implement the decision in an appropriate relatively risk-free way? If the answer to that is no, well then there are no elements I take it which one can suggest to improve the plan because in any event the plan is going to be fundamentally flawed.

What Mr Semenya, as I understand it, is asking you, are there elements, are there things that can be suggested which would have improved the plan, which in fact was the plan which was on the table and accepted by the JOCCOM, were there things that could have been suggested which would have improved the plan and made it possible to implement the decision which had been taken by other

with their rationale and reason for doing it.

1 people?

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2 MR WHITE: And I think, Chair, it comes

3 back to the hub of the matter. You've very helpfully

broken this down into two. Come back to the first part,

5 and so if the decision is made on high that we're going to

do this, is there anything that can be done basically to 6

7 make this risk-free. Well, the reason that I said that I

8 think it's a bad decision to go and do that at that time is

9 because that obviously leads to the, you know, the second

issue which is, you know, this is, if you do this it is

inherently high-risk, so don't. 11

> Now if it's helpful then if we move on and say well magically I'm now forced in a position that I need to do this, and maybe there might have been circumstances in which the police may have had to go in. If say for example a fight had broken out on that koppie between rival groups and there was a right-for-life issued, you know, the requirement to protect life, well then the police would have to go in.

It's very difficult for me to sit here and simply say well, if you did this it would all be fine. What I've done in relation to this evidence is engaged very carefully with that plan as it was set out, and I've said in relation to various aspects of it I think that this tactic, this approach, this particular part of the plan was questionable

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and here is why. 1

2 So like I said, and I'm more than happy to talk 3 about that, but given my, the first part of my answer it's 4 extremely difficult for me to put myself in a hypothetical

situation and say well, if we now have to do this could you

make it a lot less risky. I think it would have been a lot 6

7 less risky, but this comes back to the point that I make in

8 and around being critical of particular tactics, it would

9 have been a lot less risky in relation to the potential

requirements for the use of lethal force if you didn't have 10 11

a tactic of lining up 60 people with rifles.

12 CHAIRPERSON: Mr Semenya, can we take 13 this debate on, we can continue with the debate tomorrow 14 morning.

15 MR SEMENYA SC: Indeed, Chair.

16 CHAIRPERSON: So we will adjourn now

17 until 9 o'clock tomorrow morning.

18 [COMMISSION ADJOURNED]

19

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