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TRANSCRIPTION OF THE

COMMISSION OF INQUIRY

MARIKANA

BEFORE TRIBUNAL

THE HONOURABLE MR JUSTICE FARLAM (RETIRED) - CHAIRPERSON MR TOKOTA SC MS HEMRAJ SC

HELD ON

DAY 123 26 AUGUST 2013 PAGES 12593 TO 12758



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CHAIRPERSON:

May I ask whether you

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Page 12593 [PROCEEDINGS ON 26 AUGUST 2013] [09:14] CHAIRPERSON: 2 The Commission resumes. I 3 asked the evidence leaders to arrange for the application 4 by them in relation to the rulings they seek to be put on 5 the screen, that's now been done. There were two 6 suggestions from Mr Ngalwana. The first has been 7 incorporated, as far as I can see in the second paragraph, the second unnumbered paragraph and if we could scroll it 8 9 down a bit, a bit further please. It's para 4, you will 10 see that a second sentence has been added which I think deals also with the point Mr Ngalwana made. Do you agree, 11 12 Mr Ngalwana? 13 MR NGALWANA: I'm still reading it, 14 Chair, I haven't seen the amended version. It seems 15 reasonably okay, Chair. 16 CHAIRPERSON: Yes, I think it is okay. 17 My colleagues and I have discussed the application and 18 we've come to the conclusion that we should grant it, so 19 the rulings sought are made, but I want to say one thing in 20 relation to para 1. It will be understood, of course, that 21 that paragraph will be applied fixedly with due regard to 22 the exigencies of the situation and the parties mustn't

feel unduly constrained by that. Obviously we're going to

enforce it but we're going to enforce in a flexible and we

point I think that should be made and that is that this is

hope in a sensible manner. And furthermore there's another

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discussed the statement with the evidence leaders 2 beforehand? 4 MS LE ROUX: I have not. 5 CHAIRPERSON: Well I would have thought 6 as a matter of courtesy it should have been shown to us 7 beforehand also, but anyway having expressed those views 8 would you please now proceed to read the statement. 9 MS LE ROUX: Thank you, Chair. The South 10 African Human Rights Commission welcomes the ruling this 11 morning via the Marikana Commission to move to a more 12 inquisitorial approach. A move to an inquisitorial rather 13 than adversarial approach will achieve two ends. First the 14 move would indicate an intention to address the serious 15 problem caused by the absence of the injured and arrested 16 miners from the commission process. For an adversarial 17 process to continue in the absence of key adversaries would 18 be unjust and unfair. While an inquisitorial process will 19 not eliminate the unfairness of the Commission proceeding 20 in the absence of the injured and arrested miners it will 21 go some way towards mitigating that unfairness. 22 Irrespective, it also will ensure the efficient progress of 23 the Commission in fulfilling its terms of reference within 24 a reasonable period of time and at a reasonable cost. The pace of proceedings to date in which only four police Page 12596 officers have given evidence in six months due to the adversarial nature of the proceedings cannot continue. The procedural changes necessary, regardless of the number of parties able to participate directly in the Commission, the South African Human Rights Commission again calls on the 6 parties who participate in the Commission to co-operate 7 with the evidence leaders in the new approach to the 8 presentation of evidence to the Commission. And finally, given the delays occasioned by the lack of funding for the 10 injured and arrested miners and given the hugely important 11 work ahead on both phase 1 and phase 2 by the Commission, 12 the South African Human Rights Commission calls on the 13 Presidency to extend the deadline for the completion of the 14 Commission's work beyond the current October 2013 deadline. 15 CHAIRPERSON: The comments of the 16 Commission have been noted. Major-General you're still 17 under oath. Ms Le Roux. 18 MS LE ROUX: Thank you, Chair. 19 MR NGALWANA: Chair, before Ms Le Roux 20 continues I've indicated to her and to the evidence 21 leaders, through Ms Pillay, that we are going to object to 22 a number of these documents being introduced. One of which 23 is the article in the Mail and Guardian. 24 CHAIRPERSON: Why don't you deal with the

really something I'm saying in relation to those who are 2 3 going to cross-examine, that the fact that a point hasn't 4 been highlighted or even the fact that a minor point has 5 not being dealt with in the written statement, I'm not 6 going to allow that to form the basis of lengthy and 7 tedious and unhelpful cross-examination because experience 8 of litigation indicates that you can't put every fact into 9 a statement and the fact that a detail is not on the 10 statement isn't necessarily something which can lead to an adverse inference. Obviously major points should be put 11 12 in, if they're not inferences can be drawn from the failure 13 to include them but that aspect is also going to be applied 14 sensibly and flexibly. But we make the ruling that the 15 evidence leaders asked us to make. Does that mean that you 16 are able and prepared and willing to cross-examine Ms Le 17 Roux on behalf of the Human Right Commission? 18 MS LE ROUX: Yes, Chair, we will be able 19 to proceed with cross-examination in light of the Commission's ruling. I've also been instructed to read out 21 a statement from my client regarding the ruling. If I could do that now before we commence. 22

CHAIRPERSON: Well do I know what's in

24 the ruling first, shouldn't I - yes you can carry on.

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MS LE ROUX: Thank you, Chair.

points as and when they arise?

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Page 12598

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22

23

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Well let's just be -

on Friday.

MS LE ROUX:

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Page 12599

On Wednesday and then a

revised index where we had culled our cross-examination in

light of Advocate Gumbi's cross-examination, was provided

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Page 12597
            MR NGALWANA:
                                   Well the Mail and Guardian.
 1
    I wish to deal with it upfront. Chair, the rest we can deal
2
3
    with as and when they arise, because of the prejudicial
4
    nature, potential prejudicial nature of reference to that
5
    article and I would now request, Chair, that perhaps when I
    address this issue because I may have to refer to the
6
7
    content of that article and that would be to play directly
8
    into the hands of the South African Human Rights
9
     Commission, with respect. I would request that the media
    not be part of the proceedings during my address in that
10
11
    regard.
12
            CHAIRPERSON:
                                  I'm not prepared to exclude
13
    the media in the process of censorship or gagging
14
    procedure, that would be totally inappropriate. I haven't
     been given a copy of the article. You're referring to the
15
    article "Miner's assaults, police officers identified." Is
16
17
     that what you're referring to?
18
            MR NGALWANA:
                                   Correct, Chair. The
19
    article wasn't provided to us so we tried to look for it in
20
     the archives ourselves.
21
            CHAIRPERSON:
                                  Anyway you've seen it.
22
            MR NGALWANA:
                                   Yes, I've seen it, Chair.
23
            CHAIRPERSON:
                                  I don't see how I can
24
     possibly consider an objection in vacuo. When Ms le Roux
25
    comes to that point and she wishes to introduce the article
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6 CHAIRPERSON: Alright. Major-General, 7 have you had an opportunity to study the documents to which 8 your attention has been drawn? 9 MAJOR-GENERAL MPEMBE: Correct. 10 Chairperson, but however, there are some of the documents 11 that the attorney showed me this morning that were not 12 included in the one that was in. It's a shortened version 13 of the index. 14 Well if it's a shortened CHAIRPERSON: 15 version, if they've cut things out because Mr Gumbi referred to them then you haven't got a problem. It's only 17 if they've added things in that you haven't had a chance to 18 see that you would have a problem, isn't that so? Perhaps 19 we can also deal with it on a document by document basis. 20 If Ms le Roux refers you to a document that you haven't had 21 a chance to see beforehand then let me know and we'll 22 consider what to do in the light of that. It may well be 23 she can leave that over for later to give you a chance to 24 read it over lunch time or this evening or something. But obviously I don't want you to ambushed, I want you to be Page 12600 dealt with fairly. I'm not suggesting Ms le Roux isn't 1 intending to deal with you fairly but I must be satisfied 3 that your rights are protected and you're being dealt with 4 fairly. 5 MAJOR-GENERAL MPEMBE: Correct. 6 Chairperson. 7 MR NGALWANA: Chair, may I say we only 8 received notice of the amended version of this list of 9 documents to which reference was going to be made yesterday 10 afternoon at about 5:00. That's when the email came in. 11 CHAIRPERSON: I understand, the point I'm 12 making is, if this a shortened list, in other words have 13 documents been omitted because Mr Gumbi referred to them or 14 is this to some extent an expanded list with extra 15 documents you didn't know about beforehand. If the latter 16 then as I've said to the witness the documents referred to 17 that he doesn't know about he can mention it and I'm sure 18 Ms le Roux will be prepared to leave that point aside for the moment until he's had an opportunity to read it? I 19 20 think Ms Pillay -

3 I've said, to bar the media from attending the Commission 4 when that's discussed. Anything that - the allegations 5 dealt with in the article received extensive publicity at 6 the time, they may well for all I know be totally 7 unfounded. The fact that they're aired here, if they have 8 any relevance, it's a matter we can discuss when we get 9 there, isn't going to affect the matter substantially in my view. But anyway let's deal with that when we get there. 10 Ms le Roux, please proceed. Possibly, Advocate Hemraj 11 12 suggested, possibly this aspect, which to some extent is a 13 housekeeping matter, can be dealt with in chambers at tea 14 time. Ms Le Roux. 15 MS LE ROUX: Thank you, Chair. If I could start my attorney to hand up the small number of 17 documents that were not provided to the Commissioners on 18 Friday, they were indicated in the index. We have now made 19 copies of those documents and if they could be handed up. 20 CHAIRPERSON: I take it that the witness 21 has seen these documents or been given notice of them beforehand and is able to deal with them. 22 MS LE ROUX: Yes, Chair, they were 23 24 included in the index provided on Friday.

Provided when? On Friday.

CHAIRPERSON:

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you can then object. I'm not prepared to hear an objection

in limine as it were and I'm certainly not prepared, as

that the HRC did provide us with the list on Friday

afternoon. We circulated it on Sunday, simply because it

seemed to be a culled down version of the earlier list and

to the extent that I think there are documents which the

Chair, I should just indicate

MS PILLAY:

Pretoria

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Page 12601
                                                                                                                            Page 12603
     General needs time to look at, further time to look a it,
                                                                          a file of documents to be used by the evidence leaders in
 2
     that can be arranged as we go on.
                                                                      2
                                                                          cross-examining you and in that file it's item number 11.
 3
                                                                     3
            MS LE ROUX:
                                And, Chair, just to clarify
                                                                                 MAJOR-GENERAL MPEMBE:
                                                                                                                    May I just get the
 4
    there were two revisions. The first was that documents
                                                                     4
                                                                          paragraph that you referred to?
                                                                     5
 5
    were removed and that's provided for with the track changes
                                                                                 MS LE ROUX:
                                                                                                      12 which is headed Reporting
    version that indicated those deletions. There were some
                                                                     6
                                                                          and Record Keeping. It's on page 9 of that standing order
 6
 7
                                                                     7
     additions which amount to three photographs, three power-
                                                                          and then in particular it's sub-paragraph 2 which states
                                                                     8
                                                                          the CJOC must ensure that a detailed record is kept of all
 8
     point slides and General Mpembe's own statement of three
                                                                     9
 9
     paragraphs in length. Those were the two revisions.
                                                                          activities during the operation. It then goes on "to
10
            CHAIRPERSON:
                                  When you get to those
                                                                     10
                                                                          require operational diaries, the occurrence book" and then
11
     documents if he needs time he will tell us and his request
                                                                     11
                                                                          in sub 3 "the records of the operational plans, all reports
12
     will be considered.
                                                                     12
                                                                          on executions, debriefing reports, etcetera."
13
           MS LE ROUX:
                                Thank you, Chair.
                                                                     13
                                                                                 MAJOR-GENERAL MPEMBE:
                                                                                                                    Correct.
            CHAIRPERSON:
                                  Shall we start?
14
                                                                     14
                                                                                 MS LE ROUX:
                                                                                                      Okay, and as the overall
15
           MR NGALWANA:
                                   Chair, I have to respond to
                                                                     15
                                                                          commander that was then your responsibility to ensure that
     that, that's not entirely correct. The last item of
16
                                                                     16
                                                                          this information was all kept.
     statements from members present on the 13th of August 2012
17
                                                                    17
                                                                                 MAJOR-GENERAL MPEMBE:
                                                                                                                    Correct and there
18
     which failed to mention any use of teargas or stun
                                                                     18
                                                                          were also officers that assisted me to do that.
19
     grenades, that's a totally new addition.
                                                                     19
                                                                                 MS LF ROUX:
                                                                                                      And who were the officers
20
            CHAIRPERSON:
                                  When we get to those
                                                                     20
                                                                          that assisted you on information management?
21
    statements the witness will be able to signal his inability
                                                                    21
                                                                                 MAJOR-GENERAL MPEMBE:
                                                                                                                    One of the senior
22
    if he still is unable to deal with the point then he will
                                                                     22
                                                                          officers, Major-General Annandale. I did also mention that
23
    be given an opportunity to consider the documents before
                                                                     23
                                                                          Brigadier Seboloki was there and also different commanders
                                                                     24
24
     the cross-examination proceeds.
                                                                          of different units and sections.
                                                                     25
25
           MR NGALWANA:
                                                                                 MS LE ROUX:
                                   But, Chair, with respect
                                                                                                      Was there a single person
                                                        Page 12602
                                                                                                                            Page 12604
    it's not just for the witness, we as the people who are
                                                                         whose responsibility it was to collect and collate all of
 1
 2
     leading him have to be keeping step with him so that we -
                                                                         that information over the week, well more than a week that
 3
            CHAIRPERSON:
                                  But, Mr Ngalwana, you're
                                                                         you were - that the JOC was established?
 4
    finished leading, he's under cross-examination. When he
                                                                     4
                                                                                MAJOR-GENERAL MPEMBE:
                                                                                                                 That's correct.
    has to deal with a document, he needs time, you will
 5
                                                                         As I have mentioned the commanders themselves and they have
                                                                     6
                                                                         to bring each document and everything to the JOC. And when
 6
    obviously be afforded the same time. So before the cross-
 7
     examination of that document commences you'll be in as good
                                                                     7
                                                                         they're brought to the JOC they must ensure that they are
                                                                     8
                                                                         given to the officers that I have mentioned.
8
     a position as he is to deal with the documents because you
                                                                     9
                                                                                MS LE ROUX:
 9
     will also have had an opportunity to read them. So let's
                                                                                                   Now, General Mpembe, I would
10
    not waste further time, carry on Ms -
                                                                     10
                                                                         like us to clarify what documents are before the Commission
11
            MS LE ROUX:
                                Thank you, Chair. Good
                                                                     11
                                                                         that are contemporaneous with the events at Marikana, so if
12
     morning, Major-General Mpembe.
                                                                     12
                                                                         I could ask my attorney to hand up a single page document
                                                                         that I have prepared which summarises categories of
13
                                                                     13
           MAJOR-GENERAL MPEMBE:
                                             Good morning,
14
                                                                     14
                                                                         documents that are before the Commission and I'd like us to
     Advocate.
15
                                                                     15
           MS LE ROUX:
                                                                         work through that.
                                In the standing order 262
    which is before this Commission as exhibit SS2 and at
                                                                     16
16
                                                                                CHAIRPERSON:
                                                                                                     May I say I'm not sure to
17
     paragraph or section 12, sub-section 2 of that standing
                                                                     17
                                                                         what extent this witness is au fait with precisely what
18
    order it states that the Commander of the JOC must ensure
                                                                     18
                                                                         documents are before the Commission. Obviously it's a
19
     that a detailed record is kept of all activities during the
                                                                     19
                                                                         permissible line of questioning but we may find that he
     operation and as the overall commander that was your
                                                                     20
                                                                         can't help us very much, through no fault of his own. But
20
21
     responsibility. correct?
                                                                     21
                                                                         I mention that before you proceed.
22
            MAJOR-GENERAL MPEMBE:
                                           May I just see the
                                                                     22
                                                                         [09:33] MS LE ROUX:
                                                                                                      General Mpembe, if you look
    standing order and then I'll respond?
                                                                     23
                                                                         at this list, there are seven categories of documents that
23
24
          MS LE ROUX:
                               Of course.
                                                                     24
                                                                         we assume are contemporaneous and are before the
            CHAIRPERSON:
                                  Exhibit SS2. We were given
                                                                         Commission. The first is minutes. The second is
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Page 12605 Page 12607 contemporaneous notes, then intelligence reports, the where they should be ensured to be taken by Brigadier plans, records of members, which are the SAPS15s, 2 2 Pretorius. She also explains -3 3 pocketbook entries, and then the case dockets. Other than CHAIRPERSON: Yes, I only asked you about the three dates here that are referred to, in fact two 4 this list that's before you now, the seven types of 5 5 dates, the meeting on the 15th and then the two meetings on documents, can you think of any other types of documents that would have been generated at the time that you were in 6 the 16th. I think the evidence was Brigadier Pretorius took 6 7 7 Marikana? the minutes, did she not, at the two meetings on the 16th. 8 MAJOR-GENERAL MPEMBE: 8 Nothing. Is that correct? 9 9 MS LE ROUX: MAJOR-GENERAL MPEMBE: Okay. If we can then start Correct. 10 10 with the minutes, there are three sets of minutes that are Chairperson. CHAIRPERSON: 11 before the Commission now, the first of the JOC meeting at 11 And can you remember who took the minutes of the meeting of the 15th? Was that also 12 6AM on the 15th of August 2012, that's exhibit TT3, a JOC 12 13 meeting at 6AM on the 16th of August 2012, which is exhibit 13 **Brigadier Pretorius?** 14 TT4, and then a JOCCOM meeting at 1:30PM on the 16th of 14 MAJOR-GENERAL MPEMBE: That's why I'm 15 August, which has been exhibited as exhibit EE. Now as I 15 saying there were statements that were submitted understand the process around minuting, and this comes from CHAIRPERSON: Yes, yes, I hear - you 16 16 earlier testimony before the Commission, the minutes were can't remember, but we can get the information from the 17 17 18 taken by hand by someone present in the JOC and then typed 18 statements. 19 19 up later. Is that correct? MAJOR-GENERAL MPEMBE: Yes. 20 MAJOR-GENERAL MPEMBE: Correct, as I was 20 CHAIRPERSON: But insofar as the minutes 21 informed by those that were taking the minutes. 21 were taken by Brigadier Pretorius, would you regard it as 22 part of your duties to tell the Brigadier how to keep MS LE ROUX: And do you know how much 22 23 later the document was typed up from when the meeting was 23 minutes? 24 24 held? MAJOR-GENERAL MPEMBE: No, Chairperson, 25 MAJOR-GENERAL MPEMBE: as I said, the Brigadier knows how to, how minutes are No, I don't know Page 12606 Page 12608 because I was also busy with another responsibilities. 1 1 supposed to be kept. 2 MS LE ROUX: 2 And you know what happened MS LE ROUX: Now General Mpembe, the 3 to the original notes that were taken at the time of the reason I'm asking about this is because we found some 4 meeting? errors in the minutes, compared to the other 5 MAJOR-GENERAL MPEMBE: I don't know. 5 contemporaneous documents, and I'd like to just explore one Chairperson. of those with you. If I could ask you to turn to exhibit 6 7 MS LE ROUX: 7 TT4, which are the minutes of the JOC meeting 6AM on the And as the overall commander, did you give any instruction to the minute 8 16th. 8 9 9 takers at these three meetings that they must type up the MAJOR-GENERAL MPEMBE: Yes, Chairperson, minutes in any particular way? They must be complete, they 10 I do have TT4. 10 must be summaries. Did you give them any instructions 11 11 MS LE ROUX: Thank you, and then on the 12 about how to take the minutes? 12 first page of that under -13 13 MAJOR-GENERAL MPEMBE: Each and every CHAIRPERSON: Is it possible for that 14 senior officer knows how the minutes they have to be typed 14 exhibit to be screen, TT4? Thank you. 15 and they have to be done, and that is incumbent with their 15 MS LE ROUX: General, if you could look at the first bullet entry under 2.1, it says there are, "So 16 work. 16 17 CHAIRPERSON: On the three dates, at the 17 Lieutenant-Colonel Isaacs informed the meeting on the 18 three meetings referred to in paragraph 1 of this document, following," first point, "That there are currently about that's to say the meeting on the 15th and the two meetings 19 3 000 mineworkers that were gathered at the koppie." on the 16th, who kept the minutes? 20 CHAIRPERSON: You'll remember, Ms Le 21 MAJOR-GENERAL MPEMBE: Chairperson, I did 21 Roux -22 explain to this Commission that there were days where 22 MR NGALWANA: Yes. Brigadier Seboloke was supposed to keep the minutes, and he 23 CHAIRPERSON: - that this point was dealt 24 did submit the statement in regard to that, and there were with when General Annandale gave his evidence. So you may 25 also the days where the minutes were taken by Brigadier have a reason for asking this witness the question so I ARCHIVE FOR JUSTICE

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Page 12612

Page 12609 won't stop you too soon, but it is a matter that we've had 2 evidence on already. Ms Le Roux, you will also remember 3 that General Annandale said there were errors in the 4 minutes of that meeting and he then went through it 5 overnight and came back with a version, with the amendments as he thought were appropriate, and he handed that in as 6 7 well. So we know about that, but so I don't want to stop you because you may have reasons for asking this witness, 8 9 but please try to avoid repetition. 10 MR NGALWANA: Chair, with respect, I must 11 ask for some consistency because the Chairperson has made a specific ruling on this issue at day 80 of these 12 proceedings, and you said that you will not allow the same 13 14 points to be repeated ad nauseam with numerous witnesses -15 CHAIRPERSON: That is correct. I don't say we've reached the nausea stage yet and I assume that 16 Adv Le Roux is asking this question by way of introduction 17 18 to ask something we haven't got. If she isn't doing that 19 then I will stop her. Thank you for reminding me of my

Page 12611 documents before this Commission, has a different number to even the corrected minutes of a meeting held two and a half hours earlier. Do you have any comment? 4 MR NGALWANA: Chair, I don't know what my learned friend is referring to here. There has been 6 numerous evidence here that the various entries in FFF25 7 are not necessarily contemporaneous. 8 CHAIRPERSON: Well, that's not quite 9 accurate. The evidence was that in the hurly-burly when 10 things were happening very fast about 4 o'clock in the 11 afternoon and reports were coming in, they were written on 12 pieces of paper and only written into the book later on. 13 There's been no evidence that I've heard that there was a 14 problem at 8:50 which caused that entry to be made out of time. But in fact the point is more complicated than that. The evidence was that the intelligence report was in fact 17 related to 300 and there was a later intelligence report, I 18 think at 12 o'clock, which spoke about 3 000, and it seems 19 as if that 12 o'clock intelligence entry had been wrongly 20 reflected in the minutes as having been given at 6, which 21 of course indicates, if that's so, that the minutes were 22 only written up after 12 o'clock. General Annandale said 23 it was a typographical error and he referred to certain

Page 12610

24

Thank you, Chair, and let me

occurrence book though, which is exhibit FFF25, and in 1 particular entry 972 in the occurrence book. 2 3 CHAIRPERSON: Can we be shown that 4 exhibit as well, please? 5 MAJOR-GENERAL MPEMBE: Yes, Chairperson, I do have FFF25. 6 7 And if entry 972 -MS LE ROUX: 8 CHAIRPERSON: No, we haven't got there, 9 entry 9?

progress my cross-examination by saying, General Mpembe,

General Annandale said that was one of the typographical

errors in the minutes and that it should have reflected 300

people on the koppie. Could I ask you to turn to the

7-2. 10 MS LE ROUX: 11 CHAIRPERSON: 972.

earlier ruling. Carry on, Ms Le Roux.

MS LE ROUX:

12 MAJOR-GENERAL MPEMBE: Chairperson, if I

13 may ask, on which day?

14 MS LE ROUX: This is on the 16th.

15 MAJOR-GENERAL MPEMBE: Yes, I do have it,

16 Chairperson.

23

17 MS LE ROUX: And if entry 972 could be 18 displayed, which appears at page 22 of the occurrence book. 19 CHAIRPERSON: It's a very short entry.

It reads as follows, "8:50, situation report. Chopper 2

21 reported," we can now see it, "plus-minus 100 people at

koppie and other on the way to koppie from the village." 22

MS LE ROUX: So the point, General

24 Mpembe, is very simple; it's just that the occurrence book,

which is also supposed to be one of the contemporaneous

1 you wish to make of it now by way of a new point. 2 MS LE ROUX: General Mpembe, let me

return to the first category of documents, the minutes of meetings. Do you have any idea how many other meetings of

other things that weren't correct. But anyway, that point

has been quite fully covered actually. I don't know what

5 the JOC were held of which we have no minutes? 6

MAJOR-GENERAL MPEMBE: I did already tell 7 the Commission.

8 MS LE ROUX: And could you remind me of

9 your answer?

10 MAJOR-GENERAL MPEMBE: I did say

Brigadier Seboloke, I think his statement is here in front 11 12 of the Commission, and Brigadier Pretorius were explaining

13 those days when the minutes were not taken.

14 MS LE ROUX: Yes, but they relate to just

15 one meeting. What we've done is having gone through the

16 various statements - and there's no need for the

17 Commissioners to note right now, I'll hand up a summary

18 document at the end of this line of cross-examination -

19 we've calculated that there are at least six meetings

referred to by either, in either the Scott or the Isaacs of

the National Commissioner's own witness statements, there

22 are at least six other JOC or JOCCOM meetings that we have

23 no minutes for. And let me identify those for you.

24 There's a JOC briefing at 8:15 on the 13th of August, which

is referenced in the Scott statement at paragraph 6.8 -

ARCHIVE FOR JUSTICE

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Page 12613

CHAIRPERSON: Ms Le Roux, before you

continue, there's a fundamental question you should have

- 3 asked first. Let me ask it. When there's a meeting of the
- 4 JOC, are minutes always kept? Is every briefing minuted?
- 5 If the National Commissioner comes and she attends a
- 6 meeting of the members of the JOC and she receives a
- 7 briefing, is that always minuted?
- 8 MAJOR-GENERAL MPEMBE: No, Chairperson.
- 9 I wanted to explain that.
- 10 MS LE ROUX: Can you go ahead with your
- 11 explanation, General Mpembe?
- 12 CHAIRPERSON: explanation, he says that
- 13 not every meeting is minuted. Before you embark upon a
- 14 line of cross-examination like this it's always sensible to
- ask the foundational question first, otherwise a lot of
- 16 time can be wasted.
- 17 COMMISSIONER HEMRAJ: Ms Le Roux, I also
- 18 think it was General Annandale's evidence that there are no
- 19 minutes taken at certain of the meetings and he was asked,
- 20 in fact he was tasked to look for minutes for a particular
- 21 meeting and there weren't any.
- 22 CHAIRPERSON: You see, if minutes have to
- 23 be kept and they haven't been, or apparently haven't been,
- then that's the basis that one can investigate because it
- 25 raises suspicion, but the premise has to be that there
 - Page 12614
- 1 always are minutes. Alternatively you get a witness to say
- 2 that in respect of a particular meeting minutes were taken,
- 3 and then the minutes don't come, you can then ask questions
- 4 about that, but just a general question about a whole lot
- 5 of meetings, where are the minutes when it's not clear that
- 6 minutes have been or should have been kept, doesn't help us
- 7 very much.
- 8 MS LE ROUX: General Mpembe, in light of
- 9 the obligation under the Standing Orders to have notes
- 10 taken, to have a record kept of how things occur, what role
- 11 do minutes play in complying with that obligation?
- 12 MAJOR-GENERAL MPEMBE: Chairperson, the
- 13 minutes are important whereby the JOCCOM is taking place,
- 14 to record every issue that has been discussed, decision
- 15 making, so the minutes are only there to show the decisions
- 16 that were taken, but there were meetings where the National
- 17 Commissioner wanted a briefing, where sometimes we do the
- 18 briefing in another office, not in the JOC, there is no
- 19 JOCCOM, and those are the, some of the incidents which have
- 20 been referred there. So there we don't normally take the
- 21 minutes because it was just a briefing to the National
- 22 Commissioner. Or there were some communication between
- 23 officers, particularly I know Colonel Scott will

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- 24 communicate with General Annandale outside the JOCCOM, and
- 25 he will refer it as "I met with General Annandale." So the

- 1 minutes will not be taken. But Chairperson, I want to
- 2 emphasise that where crucial decisions were supposed to
- 2 cripriasise that where cracial accisions were supposed t
- have been taken, the minutes were taken and they were disclosed to the Commission, and any other meetings that
- usclosed to the commission, and any other meetings th
- minutes were supposed to have been taken, it was also
- 6 disclosed to the Commission and explanations were provided.
- 7 MS LE ROUX: General Mpembe, if I can
- 8 then move on to the second category, which is
- 9 contemporaneous notes; we have the occurrence book and
- 10 we've had the evidence as to how it's compiled and its
- 11 reliability. I won't traverse that again, but do you have
- 12 any other notes that you took in the week from the 9th to
- 13 the 16th of August, or thereafter when you were preparing
- 14 for other events, like the visit of Julius Malema? Do you
- 15 have any other contemporaneous notes that you took during
- 16 that period of time?
- 17 [09:53] MAJOR-GENERAL MPEMBE: No, Chairperson,
- 8 and on that day I was in the JOC on the visit of Julius
- 19 Malema.
- 20 CHAIRPERSON: I think she's moved away
- 21 from the -from just the JOC, she asked about
- 22 contemporaneous notes you made. You did give us an extract
- 23 from your diary, remember, relating to what happened at
- 24 Potchefstroom, I think. Now I assume that your diary
 - covering the period we are busy with, will also contain

Page 12616 1 notes that you made at the time, is that correct?

- 2 MAJOR-GENERAL MPEMBE: Correct,
- 3 Chairperson.

4

7

- MS LE ROUX: General Mpembe, do you still
- 5 have your diary from the middle of August 2012?
- 6 MAJOR-GENERAL MPEMBE: Correct,
 - Chairperson.
- 8 MS LE ROUX: And it has not been provided
- 9 to your legal team or to the Commission.
- 10 MAJOR-GENERAL MPEMBE: Chairperson, I
- 11 said for the third time that I did provide it, and it was
- 12 circulated to the Commission.
- 13 MS LE ROUX: Thank you, General, my
- 14 understanding is that only the excerpt around Potchefstroom
- 15 has been provided to the parties. I will follow up with my
- 16 learned friend for the SAPS and the evidence leaders, and
- To learned mend for the SAFS and the evidence leaders, a
- establish if more was provided, but not circulated.
 MR NGALWANA: Chair, I can tell my
- 19 learned friend now that's not factually correct, Chair, she
- 20 doesn't -
- 21 CHAIRPERSON: You dropped your voice in
- 22 the last sentence.
- 23 MR NGALWANA: I am saying, Chair, our
- 24 learned friend doesn't have to approach us during tea, I
 - 5 can give her the answer now, what her understanding isn't

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MS LE ROUX:

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That's correct.

Page 12617 Page 12619 correct. CHAIRPERSON: 1 1 Perhaps Mr Ngalwana can 2 CHAIRPERSON: What is the correct facts? take instructions on that, and inform you after the tea 3 MR NGALWANA: Those diaries didn't relate 3 adjournment what the correct position is. 4 to Potchefstroom, at all. They related to events in which 4 MS LE ROUX: Thank you, Chair. So, 5 the General was involved. General Mpembe, moving onto the - a new topic because that's relating to Intelligence, could you turn up exhibit CHAIRPERSON: 6 6 No, no, she gave us -7 7 sorry, he gave us extracts from his diary relating to what happened at Potch, or he said what other people said, this 8 MAJOR-GENERAL MPEMBE: 8 Yes, Chairperson, 9 9 is in relation to the question of whether he gave I do have TT5. 10 instructions. That was put before us as an exhibit. What 10 MS LE ROUX: And this is a three page 11 Ms Le Roux wants to know is whether the rest of his diary 11 document, the first two pages come from Brigadier 12 12 insofar as it relates to the period that concerns us, I Engelbrecht and then there's a single page from Colonel 13 take it, it would be from the 12th of August until after the 13 Isaacs, Crime Intelligence North West. General, other than 14 16th, whether that's been made available to the parties. If this document, are you aware of any other contemporaneous documents that capture the intelligence that was provided it hasn't been, and they ask for it, I take it you won't 15 have a problem making it available. If it has been, well 16 16 to the JOC during the events at Marikana? 17 17 then the point will fall away. It means that the extracts COMMISSIONER HEMRAJ: Before you answer 18 from the diary which have been handed in, starts from the 18 that question, you are not suggesting the TT5 is 19 13th, explaining he was on leave. My recollection is that 19 contemporaneous because it is dated the 28th of August. 20 things that happened at Potch were written on a page which 20 MS LE ROUX: That's correct, 21 was not the page of the date of the Potchefstroom meeting, 21 Commissioner, but we, as far as we know this is the only 22 22 written documentation relating to intelligence and as we but anyway, perhaps we can get out that exhibit and have a 23 look at it. In the light of what we see, we will then know 23 understand it, created after the fact, to capture it, but 24 24 whether there's something for Ms Le Roux to persist in or fed into the JOC -25 25 it's appropriate for her to move onto the next point. Ms COMMISSIONER HEMRAJ: Ves Page 12618 Page 12620 Pillay, can you tell us what that exhibit is? - and I am just trying to 1 1 MS LE ROUX: 2 MS PILLAY: Chair, HHH9. establish if that was then some other written form at the 3 MS LE ROUX: General Mpembe, I'd like to 3 time. 4 now move onto the topic of Intelligence, and before this 4 COMMISSIONER HEMRAJ: It's just not been 5 Commission is an exhibit TT5, which is suggested, I just to clarify that you are not suggesting to **COMMISSIONER HEMRAJ:** 6 the witness, that TT5 is contemporaneous, because it's not. 6 Before you move on, 7 7 MS LE ROUX: Ms Le Roux, the exhibit is before us, it's actually, I am Correct. 8 8 corrected, it starts on the 11th of August. COMMISSIONER HEMRAJ: Yes. 9 9 CHAIRPERSON: MS LE ROUX: What we've got are pages I am not. So General 10 relating to the 11th of August, the 12th of August and the 10 Mpembe, with respect to the first two pages, those are -13th, and then there's a jump to the 28th. Sorry, I wasn't 11 CHAIRPERSON: Mr Ngalwana wants to raise 11 12 being recorded. What we have in exhibit HHH9 are extracts 12 a point. 13 13 from the witness's diary, the pages relating to the 11th, MR NGALWANA: My learned friend also says 14 12th and 13th of August and then from the 28th - and then 14 this is the only intelligence report, it isn't. 15 also a page of the 28th of August, those are the only pages 15 CHAIRPERSON: I understood it's the only 16 we have. The 28th relates to what is known as the meeting one she had. She wants to know if there are others. So in 17 at Roots which is the place in Potchefstroom where the 17 the light of the objection, reformulate your question, 18 meeting was held, but I was, my recollection was in 18 let's carry on. 19 correct. We did have the pages for the 11th, 12th and 13th MS LE ROUX: Thank you, Chair. General but of course we haven't got the pages from the 14th to the 20 Mpembe, looking at the last page of that document, the 21 16th and thereafter. If those pages were made available to document signed by Colonel Isaacs, that is undated. Do you 22 the parties, then the question falls away. If they 22 recognise that document? Have you seen that document weren't, I take it you want them Ms Le Roux, is that 23 before? 24 correct? 24 CHAIRPERSON: I don't think it's correct

to say it's undated, it's headed with a date, 16th August,

Page 12621 Page 12623 then the time, noon, and then below, and then it uses the operations? 2 2 present tense, "situation is currently very tense." So I MAJOR-GENERAL MPEMBE: It is contained in 3 think it's a fair inference that it's - that the time at 3 the minutes of the 16th, six o'clock. 4 the top is the time when the report was made. 4 MS LE ROUX: But General Mpembe, my 5 MS LE ROUX: question is more general, from the period of time that you General Mpembe, that single page, have you seen - did you see that document on the 16th 6 were in Marikana, for those days, how was intelligence 6 7 7 of August at around noon? being gathered and provided to the JOC, to assist you in 8 MAJOR-GENERAL MPEMBE: 8 planning and executing your operations? Correct 9 9 MAJOR-GENERAL MPEMBE: MS LE ROUX: And when, what were the It was testified circumstances in which you saw that document? 10 to this Commission that it was compiled by the different 10 MAJOR-GENERAL MPEMBE: It was in the JOC 11 11 agencies that is SSA, Crime Intelligence and we were 12 committee which I testified here. 12 boosted by the number of the police officers being from 13 CHAIRPERSON: Are you talking about the Gauteng, and it was compiled and it was given to the JOCOM 14 document -14 meeting. 15 MAJOR-GENERAL MPEMBE: Are you talking -15 CHAIRPERSON: Did someone from Crime CHAIRPERSON: - that copyright, the Intelligence attend each JOCOM meeting, and provide the 16 17 documents relate - sorry, let's not talk over each other. 17 information that had been gathered in the interim? 18 That' can't be right that document is headed "2012/8/16" 18 MAJOR-GENERAL MPEMBE: 19 12:00 hours," in other words, it refers to the situation as 19 Chairperson. 20 it was 12 noon, and that's where you see that there were 20 CHAIRPERSON: And was that Colonel 3 000 people gathered at the koppie at 12 noon which is why 21 Isaacs? 21 22 MAJOR-GENERAL MPEMBE: It will be Colonel 22 those other minutes probably were written up afterwards, 23 and the material incorporated in this document was wrongly 23 Isaacs on the other days. On the other days it was 24 Brigadier Engelbrecht. 24 included in that one, but let's not worry about that, the 25 MR NGALWANA: 25 point is, you couldn't have seen this document at six Chair, I am appreciative of Page 12622 o'clock, because it only came - it appears only to have the fact that it is in the interests of everyone to save 1

2 into existence at noon or thereafter. So I think you are 3 mistaken on that. 4 MAJOR-GENERAL MPEMBE: Chairperson, what 5 I wanted to say is that the contents of this document was the one that was said in the JOCOM, but it is correct for 6 7 the Chairperson to say I saw it on the JOCOM meeting of 8 13:30 when the document was actually compiled at 12 9 o'clock. But the contents were the same as that one of six o'clock. 10 11 CHAIRPERSON: [Inaudible, microphone 12 off]. 13 MAJOR-GENERAL MPEMBE: Yes. 14 MS LE ROUX: General Mpembe, I understand 15 your evidence to be that the contents, the information that was being fed into the JOC regarding - from Intelligence, 17 when you received that at 6am on the 16th, it wasn't 18 recorded in a document, is that what you are saying? It 19 was reported to you, but it wasn't in a document. This was 20 only for the 1:30 meeting. Is that correct? 21 MAJOR-GENERAL MPEMBE: Correct. 22 MS LE ROUX: And how was intelligence

gathered from the 12th to the 16th of August? Could you

describe to the Commission how the SAPS went about

gathering intelligence that fed into your planning and

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Page 12624 time, and not to waste it, this line of questioning was put to Major-General Annandale, and he dealt with it 4 extensively, also pointing out the difficulties that the 5 Police were facing in regard to gathering intelligence. 6 CHAIRPERSON: You don't have to mention 7 that point. I assume that Advocate Le Roux is asking these questions by way of preliminary to the new points that she wants to make. I've already spoken to her about trying to 10 avoid repetition when doing that, but anyway. You will 11 take that point aboard - into account, Ms Le Roux, as you 12 proceed, I take it. 13 MS LE ROUX: General Mpembe, when you say that - but what I do want to understand is when you say 15 that the intelligence was provided to the JOC, that's not 16 in any documentary form, other than what's captured in the 17 minutes or in TT5, correct? 18 MAJOR-GENERAL MPEMBE: I said it was 19 provided in person in the JOCOM meeting. 20 CHAIRPERSON: I take what Ms Le Roux 21 wants to know is was the information provided orally or was a document containing the information handed in? I think 23 that's the point of her question. You said, generally it was either Brigadier Engelbrecht or Colonel Isaacs, they

attended the JOCOM meeting, they gave the information which

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had been gathered by Crime Intelligence, and was the

information given orally? 2

3 MAJOR-GENERAL MPEMBE: Chairperson, in 4 the meeting it was given orally, but I cannot say now it

5 was not contained in the documents. I think Brigadier

Engelbrecht and Colonel Isaacs can shed a light on that. 6

7 MS LE ROUX: Thank you, General, and we

8 will explore that with them. Major-General Annandale 9

conceded that the intelligence for the operation was

inadequate. What was your view of the intelligence that 10

11 was provided to the JOC?

> MAJOR-GENERAL MPEMBE: I was provided with reasons of maybe not, let's say deep intelligence, in terms of different, for example, the persons and the activities, because when we talk about intelligence, maybe we need to be specific because "intelligence" is a very wide word. Maybe if you might be specific in terms of the

18 intelligence that you are referring to, and I need also to

be specific in terms of my answer because "intelligence" is

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20 very wide.

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21 MS LE ROUX: Well, General Mpembe, let me ask the question another way. What intelligence did you 22

23 want in order to make decisions and planning when you were

in the JOC? What type of intelligence did you want in 24

25 those circumstances?

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MAJOR-GENERAL MPEMBE: I wanted to know

2 who were the people involved, in instigating or

3 destabilising the situations. I wanted to know where they

4 are staying. I wanted to know precisely what weapons are

5 they having. And I was informed that, and with the

6 occurrence at Marikana at that time, it was not easy

7 because people because people got killed, anyone who was

8 just having a cell phone, he was getting killed or maimed.

9 So I was informed that everybody was scared and intimidated

10 for their own life.

> MS LE ROUX: Let's start at the first question that you wanted answered, who was involved? Did you receive that information from your officers who were engaged in intelligence gathering? Did you understand who

15 was involved?

16 MAJOR-GENERAL MPEMBE: Chairperson, I 17 have already answered that one and I also said that we did 18 not know.

19 [10:13] MS LE ROUX: With respect to your second

enquiry, which was where were they staying, did you receive

21 adequate information on that enquiry?

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22 MAJOR-GENERAL MPEMBE: Chairperson, I did

testify that we did not receive either, whether they were

24 at the mountain or whether they were at the hostel, where

in the hostel and which room, if they were in the shack or

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informal settlement, we did not know, and the reasons were

2 given why we didn't get them.

3 MS LE ROUX: And then finally with

respect to precisely what weapons were involved, did you

receive adequate information?

MAJOR-GENERAL MPEMBE: Chairperson, I

7 already testified and I think it has been put to the

8 Commission the types of weapons that we did know that they

9 do have, but who was having it and where were their

10 weapons, and those that members of the SAPS were robbed and

11 killed, those of the securities, and some of those weapons

12 and hand radios of the police and the securities are still

13 missing.

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MS LE ROUX: So returning to my initial question, which was that Major-General Annandale had said that in his view the intelligence that was available was

17 inadequate, do you agree with that opinion of his?

18 MAJOR-GENERAL MPEMBE: Chairperson, I

19 said yes and the reasons why it was inadequate, it was also

20 given.

> CHAIRPERSON: Was that so right up to the

22 16th? Perhaps I must ask you the next question so that you

23 can deal with both together. From the 14th onwards,

probably even from before that - in fact I'm wrong. On the

13th you had a lot of photographs, video footage and so on,

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of people, the people you met at the railway line who had

pangas and assegais and spears and so on, and then from the

14th onwards the people congregated every day at the koppie,

according to the evidence particularly militant group in

5 the front, and I take it you had ample photographs and

6 video clips of these people. Is that so?

MAJOR-GENERAL MPEMBE: Correct.

8 Chairperson.

7

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9 CHAIRPERSON: Now you'll remember that 10 initially when the National Commissioner met Lonmin they 11 said we don't know who these people are, they're faceless 12 people, they don't work for us. You remember that?

> MAJOR-GENERAL MPEMBE: Correct,

14 Chairperson, it was said even in the briefing when the

15 Provincial Commissioner was there.

16 CHAIRPERSON: Ja, that's right, and then 17

they were shown photographs and they then conceded that they did recognise some of the people. Remember, initially

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19 there was a request for, I think it was the shaft foremen

20 or something, you know foremen and people of that kind to

21 come to look at the photographs. Eventually they conceded

22 that they were their employees. So this story they were

23 faceless people, they couldn't negotiate with them,

24 collapsed. Now that's correct, isn't it? You remember

25 that?

Page 12629 MAJOR-GENERAL MPEMBE: Correct. 1 2 Chairperson. 3 CHAIRPERSON: So what I don't understand 4 - this fits in with what you're being asked, but from 5 another angle - surely you had photographs of the main 6 people, certainly the militant people carrying weapons. 7 You had it from the photographs of the 13th, the 14th, the 8 15th. Wouldn't it have been possible for the intelligence 9 people to have sat down with the Lonmin people and said 10 look, who are - these are your workers, who are they, can you identify them, and if they could identify them - it's 11 12 difficult to think they couldn't have - so where do they 13 stay? I take it Lonmin would have had details of which hostels people stayed in, which rooms they had, even which shacks were occupied in the informal settlement. Isn't 15 that so? 16 17 MAJOR-GENERAL MPEMBE: It is correct, 18 Chairperson, but the question it was just generalised in 19 terms of over the period, was not specific in terms of 20 dates. 21 CHAIRPERSON: No. no. no. I understand 22 that. You see, I understand that there was an enormous 23 amount of intimidation. I understand that if there were 24 people who were sympathising with Lonmin or sympathising 25 with NUM on the koppie, they might have been afraid to have

responsible for what you call the destabilisation? 2 MAJOR-GENERAL MPEMBE: Chairperson, since 3 on my arrival nobody was ever being specific in terms of saying who organises the marchers, who organises the gatherings, and even when I meet the marchers at the 6 railway line I could not know whom do I, I was speaking to. 7 So those were the people that one could see but you don't 8 know who are they, where were they staying. That is what I 9 was referring to. 10 CHAIRPERSON: No, I understand that, but 11 if the video clips had been shown to Lonmin, surely Lonmin 12 would have been able to come back and say yes, those 13 spokesmen are X and – sorry, I mustn't use X – are A and B, and A lives in this hostel and B lives in that shack in the informal settlement. That information could have been 16 obtained, I take it? 17 MAJOR-GENERAL MPEMBE: Correct, 18 Chairperson. That's what I was answering Commissioner 19 Hemraj to say when I was referring to I did not know -20 CHAIRPERSON: No, I understand that. You 21 didn't have to confine your enquiries to those who were the 22 spokesmen. There were people in the front row who were 23 clanging spears and pangas together. Isn't that right? 24 MAJOR-GENERAL MPEMBE: Correct, Chairperson.

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been information. Even people in the hostels and the

- 2 informal settlements might have been afraid to give
- 3 information because there might have been fatal
- 4 consequences for them if they did that, but I'm not talking
- 5 about that kind of information; I'm talking about
- information from Lonmin based upon the photographs and 6
- 7 video clips of people marching around in public, waving
- 8 cutlasses and pangas and spears and assegais. That sort of
- 9 information would have been available. Was it ultimately
- 10 available by the 16th?

1

11 MAJOR-GENERAL MPEMBE: Chairperson, the 12 tasking was done to Brigadier Engelbrecht and Brigadier Van 13 Zyl that were leading the teams. Maybe I can hear specifically from them that before the 16th which 14 15 information they obtained.

CHAIRPERSON: 16 What you're really telling 17 us is you can't tell us whether that information had been 18 obtained and if so, to what extent. Am I understanding you 19 correctly?

20 MAJOR-GENERAL MPEMBE: It's correct,

21 Chairperson.

22

23

Alright, thank you. CHAIRPERSON:

COMMISSIONER HEMRAJ: General, was it

24 your view or the view of the police that there were persons

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other than those that were present at the koppie that were

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CHAIRPERSON: Other people I think passing the blades between their lips. I mean these were

people who I think it was important to know, was it not?

MAJOR-GENERAL MPEMBE: Correct.

Chairperson.

6 CHAIRPERSON: Was that information ever 7 provided to you? I think you already answered no. Am I 8 correct?

9 MAJOR-GENERAL MPEMBE: Correct,

Chairperson.

COMMISSIONER HEMRAJ: You haven't answered the question, was whether there was a thinking among the police that there were certain persons behind the scenes.

MAJOR-GENERAL MPEMBE: It is correct, Chairperson, because the Chairperson will recall when I said that when we confronted Lonmin they were also afraid to tell us. They even asked us not to tell the people. So then we were even concerned to say but why Lonmin even

20 themselves they were so afraid, and also not knowing the

names and the places, as I have mentioned before, then we

were thinking that there could have been maybe been some

23 other people that were also involved that were not at

24 Lonmin or any other place.

25 COMMISSIONER HEMRAJ: Now the weapons

Page 12633 that they were carrying was pretty obvious just by looking at them. What was the concern, what information were you 2 3 looking for? What further information were you looking to 4 as regards to weapons? Did it perhaps relate to firearms 5 or what was it? 6 MAJOR-GENERAL MPEMBE: Correct. 7 Chairperson. 8 COMMISSIONER HEMRAJ: Thank you. 9 MS LE ROUX: Major-General Mpembe -10 Sorry, we interrupted you. CHAIRPERSON: I think you were busy with the paper trail, were you not, 11 12 following up the paper trail, existent or non-existent? 13 MS LE ROUX: Yes, thank you. 14 CHAIRPERSON: Do you want to return to that line of questioning or are there other points you want 15 to deal with? 16 17 MS LE ROUX: No, I'm returning to that 18 line of questioning. General Mpembe, with respect to the 19 application for cordon and search of the hostels which was 20 made, you know, to take place 15/16 August, you obviously 21 testified that intelligence fed into that plan, which was 22 placed before the Provincial Commissioner. We'd like to 23 know where that intelligence exists. Is it in another 24 document, or is the only intelligence that relates to the 25 application for cordon and search, what's in the

2 said, those were the facts that were known to us. 3 MS LE ROUX: General Mpembe, let me take 4 a step back. Did you instruct Brigadier Engelbrecht to prepare that application for the search and cordon? 6 CHAIRPERSON: I think you'll find that 7 Captain Moolman was instructed to prepare it and the 8 founding affidavit was by Brigadier Calitz. So who 9 instructed them? That's the question. Sorry, I'm butting 10 in unnecessarily. I'm sorry. 11 MAJOR-GENERAL MPEMBE: Chairperson, I 12 think it's correct to say that, as I've testified, it's 13 Colonel Moolman. By then it was -14 CHAIRPERSON: She's a Captain, isn't she? 15 She's been promoted -16 MAJOR-GENERAL MPEMBE: Yes, by then she 17 was a Captain, now she's been promoted. 18 CHAIRPERSON: I see. The question is, 19 who gave the instruction, though, for the cordon and search authorisation to be sought and the relevant documents to be 20 drawn up. Did you give that instruction or did Brigadier 21 22 Calitz or somebody else? What's your answer to that? 23 MAJOR-GENERAL MPEMBE: Chairperson, I did 24 say that it's myself. 25 MS LE ROUX: And when you instructed that

document, but it was fitted in, in the JOCCOM, and as I've

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application for cordon and search? My question is, is

- there another document setting out an intelligence report 2
- 3 that fed into that application?

1

- 4 MAJOR-GENERAL MPEMBE: Chairperson,
- 5 firstly I had not been told that I'm going to be asked
- about a cordon and search, which of course is necessary for 6
- 7 me to answer, but without any Commission's time, I will say
- 8 I did also indicate to this Commission that the word
- 9 "intelligence" is very wide. So we need to have either a
- 10 limitation, interpretation, or whatever, and we need to be
- 11 specific in terms of the intelligence. But what I said
- 12 there and what has been contained in Brigadier Calitz's
- 13 statement, it's what has happened and which that is also
- contained as intelligence, the question that there were 14
- 15 firearms that were taken by, they were taken from the
- 16 securities, the issue that there were firearms taken from
- 17 the police, and as I have already indicated that we did not
- 18 know who and which places, so then that's why cordon and
- 19 search was applied.

23

- 20 COMMISSIONER HEMRAJ: Does that mean that
- 21 the information was not contained in any document? Is that
- what your answer means? 22
 - Chairperson, I MAJOR-GENERAL MPEMBE:
- 24 still remain to my answer to say that Brigadier Engelbrecht
- might also be saying that it was contained somewhere in a

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- that be prepared, did you what was your understanding of
- the intelligence that was available to prepare that
- 3 application?

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MAJOR-GENERAL MPEMBE: Chairperson, may

the question be repeated? Because if my mind serves me

6 well, I did answer this question.

CHAIRPERSON: Perhaps I could ask the

same question with a different angle and more detail. The

9 cordon and search application related to the Nkaneng

10 informal settlement. You remember? That was one of the

11 areas in respect of which the authorisation granted by the

12 Provincial Commissioner provided for that area to be

13 cordoned off and then to be search. That's correct, isn't

14 it?

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15 MAJOR-GENERAL MPEMBE: That's correct,

16 Chairperson, including the hostels.

> CHAIRPERSON: Yes, well let's leave the

18 hostels out of it for the moment. Let's just concentrate

on Nkaneng informal settlement. Now was there information

that if you go to a specific shack in the informal

settlement you will find weapons? So was the plan to put a

- cordon around that shack, for people to go in and search
- 23 it, or was there just going to be a general search through
- 24 the whole of the informal settlement with the area cordoned
- off outside, as it were, by members of the service? How

will be yes.

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Page 12637 Page 12639 was the cordon and search going to be executed? CHAIRPERSON: You've been asked about the 1 2 MAJOR-GENERAL MPEMBE: Chairperson, as I cordon and search application which was brought and in 3 already indicated that we did not know precisely the place, respect of which authorisation was obtained from the 4 otherwise we could have applied for a search warrant. For Provincial Commissioner for the, I think there was one, it 5 the fact that we did not know which specific shack or what, was for the period the 15th/16th of August. I think that's that's why we cordoned off the place. 6 what Adv Le Roux is asking you about, August, not 6 7 7 CHAIRPERSON: September, and the answer is either yes or no. You either Does that mean that you did 8 had some specific information, or you didn't. 8 not know in which particular shacks in Nkaneng weapons 9 9 could be found? In other words your information, the MAJOR-GENERAL MPEMBE: Chairperson, intelligence you'd received - which takes us back to Ms Le 10 that's why I was asking that the question should be 10 11 11 Roux's question - wasn't specific enough to enable you to specific which cordon and search. 12 target particular house, so you had to go through the whole 12 CHAIRPERSON: Now it's specific, so 13 settlement looking for arms. Is that what you're saying? 13 what's your answer? 14 14 MAJOR-GENERAL MPEMBE: That's what I MAJOR-GENERAL MPEMBE: Yes, so that my 15 testified about, Chairperson. 15 answer should be specific, or is that her question of the one -16 MS LE ROUX: General Mpembe, I may have 16 17 CHAIRPERSON: [Microphone off, inaudible] 17 misunderstood your earlier testimony then, because I 18 understood you to have testified that from the people that 18 if it's not her question, it's my question. In respect of 19 were arrested on the 13th, you obtained intelligence. You 19 the cordon and search application for which an 20 obtained some of that specific information that then fed 20 authorisation was sought in, I think it covers the period 21 into the application to search and cordon. Have I 21 the 15th to the 16th of August, was that information -22 22 misunderstood your earlier evidence? sorry, was that application based to some extent at least 23 COMMISSIONER HEMRAJ: 23 on specific information which had been obtained from I think the evidence, Ms Le Roux, was that there was information 24 24 persons who'd been arrested earlier, which identified, it 25 obtained from people who were also arrested before the 13th was hoped, with some degree of precision where arms and so Page 12638 Page 12640 1 as well. forth could be found? The answer is either yes or no, you 2 know. 2 [10:33] MS LE ROUX: Thank you, Commissioner. 3 General Mpembe, do you have the question? The question is, 3 MAJOR-GENERAL MPEMBE: No, Chairperson, 4 is it correct that intelligence received from people for that one, but for September, yes. arrested on the 13th and earlier fed into the application to 5 5 CHAIRPERSON: Alright. I think that this search and cordon? Did you get intelligence from arrested is perhaps a suitable stage - we started at 10 past 9 I 6 6 7 think, so it's a suitable stage for us to take the tea 7 persons that helped you do the application for search and cordon? 8 adjournment, which I hope will only be 15 minutes. 8 9 9 [COMMISSION ADJOURNS MAJOR-GENERAL MPEMBE: COMMISSION RESUMES1 Chairperson, I'm requesting that as it has been extensively deliberated in 10 [11:00] CHAIRPERSON: The Commission resumes. 10 this Commission, remember, the Commission will recall that 11 Major-General, you're still under oath. Ms Le Roux. 11 12 about how many times I've got -12 MS LE ROUX: Major-General, continuing in 13 CHAIRPERSON: No, I know. I know, but 13 the theme of the paper trail as the J has called it, I'm 14 Major-General, simple question which the answer is either correct that the only documents relating to the plan which 14 15 15 is exhibit SS3 that that is not a contemporaneous document yes or not. I'm not going to allow undue repetition. All you've been asked is in respect of the people who were 16 because it was "reverse engineered" in the words of its 17 arrested before the 13th and on the 13th, did you not say 17 author. You don't know of any other planning documents 18 that you'd received information which enabled you to 18 from that period of time that could be provided to the 19 19 identify where certain weapons and so on could be found, Commission? and if you went with a cordon and search operation you'd 20 MAJOR-GENERAL MPEMBE: Contemporaneous 21 find them there, or hoped to find them? And that's a 21 means those that were made on that day, Chairperson, if I simple question; either yes or no. 22 may get it correct. 22 MAJOR-GENERAL MPEMBE: The cordon and 23 23 MS LE ROUX: General Mpembe, let me ask 24 search, Chairperson, that was done in September, the answer the question by breaking it down into four specific types

of documents that we would have wanted to see. The first

is that there is no contemporaneous operational plan

2 document. There isn't an SS3 that was prepared at the

3 time, correct?

4 MAJOR-GENERAL MPEMBE: Chairperson, we 5 submitted a contingency plan of the 10th and we submitted

then a contingency plan of the 13th and thereafter the 6

7 planning was also done on the daily basis.

CHAIRPERSON: The question Ms Le Roux is asking you isn't when the planning was done, she wants to know if there are any documents what she calls contemporaneous. Now I understand you to say there are

documents for the 10th and up to the 13th, is that correct? MAJOR-GENERAL MPEMBE: Correct.

14 Chairperson.

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CHAIRPERSON: Are those documents that were prepared at the time? Whereas I understand that some of the other documents were what Lieutenant-Colonel Scott calls "reverse engineered" they were prepared in document form after the event in order to reflect what his evidence is, was the plan at the time. In other words there wasn't a plan that was documented from the 13th onwards if I understand him correctly but that he prepared documents after the 16th to reflect what the non-documented plans were up to that stage. I think that's an accurate summary of what he says. Is that also your understanding?

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document headed Operation Platinum Lonmin Mine Marikana 14

August and then 13 before that and then there's Lonmin Mine

Marikana 14 August, stage 1 and then there's a document of

the 15th and a document of the 16th. Now my understanding

5 is that Colonel Scott very fairly concedes that these

6 documents did not exist. In fact that is so, if you look

7 at the document Operation Platinum Lonmin Mine Marikana 16

8 August 2012 Stage 2, look at that document. It's got a

9 number of pages and at page 10 it says the following, "The

tasking table to follow were compiled for the Commission

11 briefing were not displayed visually at the JOC meeting on

12 the 16th of August 2012. This was because they could not be

13 fully completed due to the lack of immediate knowledge with

14 regards to force levels and resources later on in the day"

and he goes on about that. So what he is effectively

saying is that these documents were compiled afterwards but

they were reconstructed as it were by a process of what's

been described as reverse engineering. Now that's what Ms

19 le Roux is asking you about.

20 MR NGALWANA: No, Chair, with respect

21 that's not my understanding.

22 CHAIRPERSON: Sorry, just to make it

23 clear, I was talking to you about the plan for the 16th, I 24 think it's clear that the documents from the 10th to the

13th were what Ms Le Roux describes as contemporaneous

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MAJOR-GENERAL MPEMBE: Chairperson, we

2 testified that Colonel Scott, Lieutenant-Colonel Scott,

3 Colonel Scott now and by then Lieutenant-Colonel and

4 Colonel Merafe did prepare the plan and this re-engineering

5 and engineering yes it was the correction thereafter. But

6 the plan was there on the 13th and I did mention that it was

after the interception. So there were plans, not plans

8 done after.

> CHAIRPERSON: Major-General, I don't think you're understanding the question you're being asked. I understand that your evidence is that those plans were in existence beforehand but what you're being asked is whether they were in existence in documented form beforehand. If you look at SS2 - SS3 sorry, SS2 of course, is the standing order. I think it's SS3, there it says - is SS3 in front of you?

17 MAJOR-GENERAL MPEMBE: Correct, 18 Chairperson.

19 CHAIRPERSON: Now my recollection is that

somewhere in that document it is stated that the - some at

21 least of the pages were not in existence in documentary

form beforehand but they were produced by way of reconstruction afterwards. Is that correct? SS3 begins

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24 with the contingency plan to which you referred which is

25 dated the 10th of August but if one goes on then there's a

Page 12644 documents, I think they're duly signed and everything. The question really relates to the 16th. Tables for example

which we see were not in existence at the time for the

reasons mentioned, I assume by Lieutenant-Colonel Scott as

he then was. Do I understand it correctly?

MAJOR-GENERAL MPEMBE: Chairperson, as you put it and when you specify even the dates I agree that

certain pages, but not all the plan. CHAIRPERSON: I mean obviously the 10th for example, for example, is a contemporaneous, well it looks like a contemporaneous document and I think that applies to

12 the 13th as well. You see what I was reading to you was 13 from page 10 of this document headed Operation Platinum

14 Lonmin Mine Marikana, 16 August Stage 2 and at page 10 in

15 the third paragraph it says, "The tasking and setting out

16 of the teams for the day remained as depicted in the tables

17 was done during the operational briefing that morning once

18 force levels and resources were confirmed." But in the

19 first paragraph he says, "The tasking tables to follow were

20 compiled for the Commission briefing were not displayed

21 visually at the JOC briefing." So those documents didn't

exist in document form on the 16th, they were compiled for

23 the Commission but Lieutenant-Colonel Scott alleges that

24 they set out what the plan was at the time. Am I

understanding the situation correctly?

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Page 12645 MAJOR-GENERAL MPEMBE: 1 Yes, Chairperson, as the Chairperson correctly indicated but not all the plan 2 3 was not there. Only certain documents in the plan. 4 MS LE ROUX: And General Mpembe, just so 5 that we're absolutely clear on the detail, which documents 6 do you say in SS3 are the contemporaneous documents, we 7 know the ones for the 10th and the 13th that have a 8 signature, are you saying everything thereafter you accept 9 is not contemporaneous? It was prepared by General Scott 10 for the Commission after the events. 11 MAJOR-GENERAL MPEMBE: Chairperson, as it 12 has been indicated to all the other dates the one of the 13 16th in particular, those pages that have been pointed out 14 those are the documentations that were made for the Commission. They were not presented there at the JOCCOM 15 16 but the rest were there. 17 CHAIRPERSON: Ms Le Roux, I think if we 18 use the phrases before the event and after the event the 19 witness will understand. What Counsel wants to know from 20 you is apart from those documents that we're looking at now 21 were there any other documents which didn't exist before 22 the event but which were compiled or produced in 23 documentary form after the event. Is that your question? 24 MS LE ROUX: Yes, Chair.

presented in the JOCCOM my understanding is that it's documented. And then I did testify that we will deliberate on it and that in the JOCCOM and then rectification will thereafter be made to those documents. 5 MS LE ROUX: General Mpembe, in light of that answer what was presented in documentary form to the JOCCOM as the plan for the 14th? 8 MR NGALWANA: Chair, how many times must 9 the witness answer this question? He's been asked so many 10 times, I've lost count. CHAIRPERSON: 11 These matters were covered 12 with General Annandale. If the witness - do you say the documents for the 14th were there on the 14th in documentary 13 14 form? 15 MAJOR-GENERAL MPEMBE: Correct. Chairperson, in the sense that Colonel Scott, Colonel 17 Merafe and other officers which Colonel Scott do confirm in his statement. They will be tasked beforehand to compile a 19 plan and that plan will be deliberated by different commissioned officers in the JOCCOM and immediately when he 20 present it in a slide show in the JOCCOM my understanding 22 is that it's in a documentary form. And then I did also 23 testify that immediately after we deliberated it then the 24 changes will also be inserted in the plan in a documentary 25 form.

Page 12646 Chairperson, the one in SS3 that page 10 there is also the briefing that was done by Colonel Scott at 13:30. CHAIRPERSON: Thank you. MS LE ROUX: But General Mpembe, I'm still not absolutely clear, I just want to try again. In SS3 the first document relates to the 10th, the next document relates to the 13th. Then there's a document that starts, Operation Platinum Lonmin Mine Marikana 14 August 2012. Am I correct that from that page onwards the rest of SS3 is what Scott reverse engineered? That's correct, that's your understanding, correct? MAJOR-GENERAL MPEMBE: Correct.

It is correct.

MAJOR-GENERAL MPEMBE:

10 11 12 13 Chairperson. 14 CHAIRPERSON: Before he answers, I know 15 he used the words reverse engineering but that's a bit misleading. I think if you put it in the form of finally 17 produced in documentary form, I think the witness will 18 understand. Because reverse engineering may or may not involve other concepts which we're not busy with at the moment. Let me ask the question crisply, the documents 21 relating to the plan for the 14th, that was the Tuesday, did those documents as far as you are aware exist on the 14th or were they produced in documentary form after the 14th? MAJOR-GENERAL MPEMBE: Chairperson, 25 relating to the specific one that you were saying when it's

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Page 12648 CHAIRPERSON: So do I understand you to 1 say, tell me - the word document is I suppose ambiguous -3 if one looks for a piece of paper one may not be successful but I take it what you're saying, if I'm misunderstanding you, you must correct me, but on Colonel Scott's computer there was a "document" which he showed through a power 7 point I suppose to the people in the JOCCOM, is that right? 8 This was discussed, if there were changes he then effected 9 them on his computer so that they were now on the 10 electronic document on the screen of his computer. Is that 11 so? 12 MAJOR-GENERAL MPEMBE: Correct. 13 Chairperson. 14 CHAIRPERSON: If that's what Ms le Roux wants she's got the answer. If, on the other hand, she 15

CHAIRPERSON: If that's what Ms le Roux wants she's got the answer. If, on the other hand, she wants to know whether he would then print it on paper and there was a paper document, a hard copy in other words available on the 14th what's the answer to that? Do you understand the difference? You have an electronic document on the computer but occasionally you press the print button and you produce a hard copy. Now were there hard copies of these documents available on the 14th and the 15th or was it simply an electronic document on the Colonel's computer?

Do you understand the question?

MAJOR-GENERAL MPEMBE: I understand it,

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Page 12649 Chairperson. 1 2 MS LE ROUX: Major-General Mpembe, the 3 standing order 262 requires you as the overall commander to 4 submit an operational plan to the area commissioner for 5 approval, correct? 6 MAJOR-GENERAL MPEMBE: Chairperson, maybe 7 I'll request or maybe should say the that the cluster 8 commander in terms of the current structure and by that 9 time I was a deputy provincial commissioner and then I was 10 submitted to the provincial commissioner and as a 11 provincial commissioner I was not there and I did testify 12 that. Then it was taken upon myself to approve the plan 13 because I was conversant with what was happening. 14 [11:20] MS LE ROUX: And General Mpembe, the signatures that appear in SS3 with respect to the plan for 15 16 the 10th and the 13th, that's the process that they reflect, 17 correct? They've been submitted for approval and approved. 18 Is that correct? 19 MAJOR-GENERAL MPEMBE: With respect of 20 the 10th, it was approved by the acting cluster commander, 21 Brigadier Seboloke. With regard to the one of the 13th, and 22 the one of the 13th we should understand that the others 23 that were made by Colonel Scott also, they are the 24 annexures to this one; it was approved by myself. 25 MS LE ROUX: So with respect to the

Page 12651 to time. 2 MS LE ROUX: Major-General Mpembe, where will we find that approval? Where do we see that approval recorded for the 14, 15, 16 days of the operation? 5 MAJOR-GENERAL MPEMBE: Chairperson, I 6 said that there were the annexures to the one of the 13th. 7 I was always present and after the JOCCOM has then discussed the plan then it will approve, and as I've 9 indicated, electronically. 10 MS LE ROUX: Then General Mpembe, for the 11 record, the annexure you refer to, those are the Google 12 Earth images that had been used for the planning. Correct? 13 Chairperson, it is MAJOR-GENERAL MPEMBE: 14 routine operational plan from the 14th and then it will also indicate the date, sometimes it will indicate the stage, and then that is what I referred to then it becomes an 17 annexure to the one that I approved, because the situation 18 was changing from time to time. 19 MS LE ROUX: And when changes are made to 20 the plan because of that changing situation, would you approve each of those changes? 21 22 MAJOR-GENERAL MPEMBE: Chairperson, it

depends whether it was discussed in the JOCCOM where I was

present, but I did indicate to this Commission that Major-

General Annandale, if it becomes operationally at that time

through the radio, those change and when I'm there, he

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where they are?

1 operation for the 14, 15 and 16 period, was anything 2 written submitted for approval, that you're aware of? 3 CHAIRPERSON: Does the question relate to 4 hardcopies or does it include electronic documents on a 5 computer? MS LE ROUX: Chair, it would be either 6 7 one. It's the process that was followed for the 10th and 8 the 13th, did that process occur again with respect to the

plan for 14, 15 and 16 August?

MAJOR-GENERAL MPEMBE: Correct,

Chairperson, after the deliberation electronically, and
after it has been discussed thoroughly by different role
players of the JOCCOM, and when all of us we agree with
that, that could be approved by myself.

COMMISSIONER HEMRAJ: Is it a prerequisite that the plan be printed out and be signed as a token of approval, or does it suffice that it is approved in the meeting and the briefing?

in the meeting and the briefing?
MAJOR-GENERAL MPEMBE: Chairperson, the
answer in the case of a gathering that was, a notice was
served, the answer will be yes. In the case of a
spontaneous gathering or a fluidity of the case, the answer
will be yes, after the JOCCOM has discussed it thoroughly
and every role player understands what it's supposed to do

at that time, because it might, it was changing from time

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could approve them. CHAIRPERSON: I think this was covered when Major-General Annandale gave evidence, and this witness has also been asked about these matters earlier. So if there's something new you want to get on record, carry on, but I'm afraid at the moment it sounds to me as if we're simply getting repetitions of earlier answers. COMMISSIONER HEMRAJ: Ms Le Roux, it was particularly covered in the cross-examination relating to the minutes of the meetings where the plans were presented and there was any dissention. This line of crossexamination was covered with both General Annandale and this [microphone off, inaudible]. MS LE ROUX: Thank you, Chair, I'll move

on. Major-General Mpembe, with respect to any written

commanders, do you know whether those were prepared and

plans or electronic plans for the different section

MAJOR-GENERAL MPEMBE: Chairperson, what I know is that they need to submit to me and to confirm with me that the members have been debriefed, and they need also just to confirm with me, but if, with those plans or whatever that they do have if they arose, they will have to deal with that with their commanders. But I can say now

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that that can be answered by each commander. As I have

- 2 indicated that my role was to brief the commanders through,
- 3 during the JOCCOM, and precisely the presentation was done
- 4 to them and they will confirm to me in terms of that
- 5 members have been briefed in accordance with the briefing
- that will have taken place in the JOCCOM. 6

7 MS LE ROUX: Did you instruct all

8 commanders or section leaders to furnish detailed written

9 plans on their specific tasks, which is the obligation

10 placed on your in terms of the Standing Order?

11 COMMISSIONER HEMRAJ: I'm sorry, Ms Le

12 Roux, would you repeat that question? I'm sorry, I didn't

13 quite follow you.

14 MS LE ROUX: Let me try it again. Major-15

General Mpembe, if you turn to section 10 of SS2, the Standing Order, it's page 7, and specifically 10(2)(c) says

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17 that, "The operational commander," I know that that wasn't

18 you, "must instruct all commanders or section leaders to

19 furnish detailed written plans on their specific tasks."

20 Do you know if that was done?

21 MAJOR-GENERAL MPEMBE: As the instruction

22 was given, as I've said to me they will indicate, they will

23 confirm with me that is done, but as the Standing Order

24 specified, all commanders or section commanders can testify

25 to that effect.

Page 12655 the top has worked out what each section is going to do, I

- wouldn't have thought I know what 10(2)(c) says, but I 2
- 3 wouldn't have thought from a practical point of view the
- individual section commander whose, the task of whose
- section has been worked out at the top, is obliged then to
- 6 produce a written, a detailed written plan setting out the
- 7 tasks to be performed by his section. This is a section
- 8 where as far as we see, there was a general plan from the
- 9 top, or near the top, with a fair degree of detail. So I
- 10 would have thought that 10(2)(c) has to be adapted slightly

11 to cater for that situation, but let's see if the witness

12 understands what I said and agrees or disagrees. Did you

13 understand the point I'm making, Major-General?

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CHAIRPERSON:

MAJOR-GENERAL MPEMBE: Exactly correct,

15 Chairperson.

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Am I correct in thinking 17 that if Colonel Scott with his computer and a PowerPoint

18 presentation presented a plan detailing what each section

19 has to do, it's not necessary then for the individual

20 section commanders or leaders to in turn furnish detailed

written plans on what the task of their sections are? Is

22 that correct?

23 MAJOR-GENERAL MPEMBE: Chairperson, you

24 are correct in the sense that that section should

understand the role and responsibility that has been

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1 MS LE ROUX: Major-General Mpembe, you

- say that you know that the instruction was given. How do 2
- 3 you know that?

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4 MAJOR-GENERAL MPEMBE: Advocate, during -

- 5 Chairperson, I'm sorry, during the JOCCOM meeting
- commanders will always be reminded of all their roles and 6
- 7 responsibilities that they will have to do in respect of
- 8 the operations, starting from the briefing of the members,
- 9 the equipment, and so on.

10 MS LE ROUX: And have you seen any of

11 these detailed written plans by any of the commanders or

12 section leaders? Have you seen them?

13 MAJOR-GENERAL MPEMBE: Due to the

14 changing environment and the enormous responsibilities that

I had, because I had also to do a lot of responsibilities

16 on those days, I could not see them.

17 CHAIRPERSON: Ms Le Roux, there's

something I don't understand. I'm not sure whether I must

19 ask you or the witness; I suppose ultimately the witness,

but I can understand where there's a crowd management

21 situation and there are various sections and each section

leader is told he must say what he's going to do and

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produce a written plan of what he proposes to do, which he

24 - but where you have a general operation which is planned

25 at the top, as it were, or near the top, and the planner at

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allocated to them, and they should drill it and understand

it in, when, how they are going to execute it, and

thereafter the commander will have to confirm with the

4 JOCCOM commander, in most cases they will confirm with

Major-General Annandale because I might not be there, but

during the debriefing during the night in the JOCCOM it, I 6

will also come to understand that.

COMMISSIONER HEMRAJ: General, there was

evidence that some of the plans, the diagrams in

10 particular, there were copies handed down to be used at the

11 briefing of the members. Now what is expected of a

12 commander, what is he supposed to report back? What plan?

13 MAJOR-GENERAL MPEMBE: Chairperson, it's 14 to say the role and that responsibility which he has been

15 allocated, the members who are going to execute it, they do

16 understand it perfectly well, how they are going to execute

17 it, and they do have the resources to execute that, those

18 role and responsibilities. That is what the commander has

19 to report back, that that has been done.

20 COMMISSIONER HEMRAJ: And how would he do 21 this in the middle of an operation? Would there be

22 documents filed? Would he just report back orally? What

23 is the procedure?

24 MAJOR-GENERAL MPEMBE: After the JOCCOM

meeting the commanders will go out and hold parades with,

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- each section with their members, brief them and drill them
- 2 with them what has been discussed in the JOCCOM,
- 3 specifically the roles and responsibilities, check whether
- 4 members are fit and they complete SAPS15 that is called
- 5 commander's report, inspect the commanders, whether
- mentally and physically fit to perform the job, indicate 6
- 7 with each member with corresponding figures the name of the
- member on the first part of the SAPS15, and then at the 8
- 9 back in terms of all the equipment that the member is
- 10 suppose to have and the member should sign. The signature
- of the member indicates the acceptance of the equipment, 11
- the understanding of the briefing, and the signature of the 12
- commander means that everything has been complied with, and 13
- the commander will go back to the JOC, then the entry will 14
- 15 be effected in the FF25 to that effect.
- MS LE ROUX: 16 Major-General Mpembe, taking
- you back to your answer that you heard the instruction to 17
- 18 comply with 10(2)(c) of the Standing Order, that you heard
- 19 the instruction that commanders and section leaders must
- 20 furnish detailed written plans, I just want to understand
- 21 your evidence now.
- 22 [11:40] Are you saying that the section commanders used
- 23 bits of the Scott plan and that by doing that, they
- 24 complied with 10(2)(c)? Is that your evidence?
- 25 MR NGALWANA: Chairperson, there's no

- where experienced members of different sections of the POP
- will be present. Their role and responsibilities will be
- specified and debated. Then there will be a discussion
- with regard to the role and responsibilities of the STF and
- in that respect that will also be done the same as POP in
- 6 terms of the roles and responsibilities and the allocation
- 7 of resources. It will be like that, Chairperson, with
- 8 regard to all the other units, and then it will be expected
- 9 of commanders in terms of those roles and responsibilities,
- 10 brief the members in terms of 10(c), making the members
- 11 understand - almost a similar process that took place in
- 12 the JOCCOM will happen, specifically with those platoons
- and sections, and that will be also in compliance with 13
- 14 10(c).
- 15 CHAIRPERSON: Sorry to interrupt this
- discussion. I just want to go back a little bit. You
- 17 talked earlier about filling in SAPS15 forms. Now we've
- got an example before us of an SAPS15 form as exhibit
- 19 HHH22, which was referred to on Friday. Now that form
- 20 doesn't tell us very much, does it? Have you got it in
- 21 front of you? HHH22. It's headed "Report by commander"
- 22 and it's got particulars of the members who were involved
- 23 with their persal numbers and ranks and so forth, and then
- 24 the nature of the duty is given. In this particular one
 - all that was recorded was "Marikana crowd management," and

Page 12658

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- such thing as a Scott's plan. I think that has been
- 2 established.

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- 3 CHAIRPERSON: There's no need to debate
- 4 about that, Ms Le Roux. I think for the sake of avoiding
- 5 unnecessary argument at this stage, find another
- terminology, because the police say that it wasn't Colonel 6
- Scott's plan and you've heard the story about the input of 7
- other people and so on. So let's not go there now, that's 8
- just a diversion for the moment. You're busy actually with 9
- 10 something else.
- 11 MS LE ROUX: Thank you, Chair, I'll
- 12 abandon the shorthand. General Mpembe, do I understand
- 13 your evidence correctly that you heard the instruction
- being given that 10(2)(c) must happen, that commanders must 14
- 15 prepare their written detailed plans, but that by using the
- plan that was presented to the JOCCOM, that had been 16
- 17 complied with? Is that your evidence?
- 18 MAJOR-GENERAL MPEMBE: What I'm saying is
- 19 that to comply with briefing of members, that's 10(c), it's
- a briefing of members. There are two situations whereby 20
- 21 there is a gathering where a notice has been served, then
- 22 the compliance of 10(c) will differ from the one that is a
- spontaneous gathering, as it was in Marikana. Practically
- 24 speaking we will say POP will disperse the crowd, taking
- 25 into account the intelligence that was given in the JOCCOM

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- then on the following page we have particulars of vehicles
- insofar as that would be relevant, and then equipment of
- members, and that sets out the equipment that the people
- had, whether the address was in order, they had their caps,
- 5 whether everything was tidy, and then it says firearms, you
- 6 have the number of the firearms and the number of rounds,
 - the type of firearm, the number of the firearm, number of
 - rounds, and then the signature.

9 So that's basically all SAPS15 will tell you. In

- 10 fact the heading of the section dealing with the task to be
- 11 performed is "Nature of duty, changes and casualties."
- 12 That's the wording on the SAPS15, and there isn't space
- 13 very much, that will then be spelt out in detail, I take
- 14 it, at the parade when the members are told specifically
- 15 what's expected of them, but the SAPS15, in certainly the
- 16 case of this example, simply says, "Marikana crowd
- 17 management," which I suppose is all there was space for on
- 18 the form to record. Is that correct?
- 19 MAJOR-GENERAL MPEMBE: Correct,
- 20 Chairperson. Chairperson, with regard to my - I was
- 21 explaining the process of how briefing in terms of 10(c) is
- 22 taking place, and also going back to report to the JOC is
- 23 when the commander was now saying I did what was expected
- of me after the JOCCOM, and entry in the OB, it would, the 24
 - keyword will be "duty on" and he will explain, and then he

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in your cross-examination because I've now - you're still

taking us through, and I've already gone on to para 5 to

busy with para 4, I think, of this document that you're

24 some extent. So I'm sorry if I've taken you out of the

25 order that you've prepared, but please proceed.

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Page 12663 Page 12661 will say, "Members were inspected and briefed by me," and MS LE ROUX: 1 No, no, it's fine, Chair, then he will sign. 2 and I'm indebted to you in progressing the cross-3 COMMISSIONER HEMRAJ: Does that mean that 3 examination. Major-General Mpembe, I must just put to you 4 the actual content of the briefing is not recorded why the absence of written detailed plans, whether 5 anywhere, the detail of what is expected of the members in electronic or on paper, by the section commanders is executing the crowd management? 6 important to the South African Human Rights Commission, and 6 7 7 MR NGALWANA: Chair, I think perhaps in that's because of what we've been told by our expert, Mr 8 White, who will come and testify in due course, and I need 8 fairness to the witness, it doesn't appear to me that he 9 9 has the SAP15 to which is reference is being made to put it to you and get your reaction to that, in 10 CHAIRPERSON: I'd be very surprised if 10 fairness. So my instructions in that regard are that in he's never seen an SAPS15 in his life, but I'd be very 11 complex operations with multiple units playing a specialist 11 12 role, it's necessary for each section commander to prepare 12 surprised therefore if he's disadvantaged, but in order to 13 13 accommodate your concern, would you please look at an their own individual plan, as is required by the Standing 14 14 SAPS15 and tell us whether you have difficulties in Order, on the basis of the overall plan. This is so as to answering the question? Would you like to see my copy of 15 ensure that there's complete understanding of their 15 SAPS15? specialist task by each of the sections and units, and 16 17 17 therefore he considers the failure to produce detailed MAJOR-GENERAL MPEMBE: Correct, 18 Chairperson. plans prepared by these section commanders to be a failure 19 MR MAHLANGU: I've got one. 19 and a possible explanation for the confusion that arises 20 CHAIRPERSON: You haven't got one of your 20 that we see from the statements of members, where people 21 own? No. 21 didn't seem to all be clear on what the overall plan was. 22 MR NGALWANA: 22 Chair, for the record, I Do you have a comment on that? 23 wasn't suggesting that the General has never seen an SAP15. 23 MR NGALWANA: Chair, before the witness 24 CHAIRPERSON: 24 What were you suggesting? answers the question, may I just understand whether this 25 goes to the merits or demerits of the planning? Because my MR NGALWANA: I was simply saying to Page 12662 Page 12664 answer the question that is being put to him, he must have objection is going to arise or not arise depending what the 1 the document to which reference is being made. 2 2 answer to that is, 3 CHAIRPERSON: That's a good point, and 3 MS LE ROUX: Chair, the question relates 4 he's now got the document in front of him. You already to the process of planning and compliance with the Standing 5 answered the question, but would you like to revise your 5 Order, which anticipates that there will be a second stage answer in the light of the document you've now been shown? 6 6 of planning by each section commander, and for good reason, 7 MAJOR-GENERAL MPEMBE: Chairperson, in 7 particularly in a complex fluid situation such as this one, 8 8 the column of the nature of duty, that's where the and so it goes to whether that process was followed, and 9 9 commander deals in detail with those members in terms of since it appears that it was not followed, it seems to 10 that nature of duty. 10 explain why we see the disparity of understanding arising 11 CHAIRPERSON: 11 from the statements as to what the plan was. You haven't got much space 12 12 for detail, have you? CHAIRPERSON: I think you've jumped ahead 13 MAJOR-GENERAL MPEMBE: Correct, 13 of yourself, if I may say that, Ms Le Roux, because you 14 Chairperson, and the members will also filter that in their 14 haven't yet put to the witness the statements from which it 15 pocketbooks, and then the commander, it is his duty that 15 appears that there was a divergence of understanding at the 16 members are filtering it in the pocketbooks and any other part of the various members who took part. I mean the 17 thing, the understanding of what he's supposed to do is in 17 question presupposes that, but you haven't established it 18 the pocketbook. 18 yet and so the witness is at a disadvantage in dealing with 19 CHAIRPERSON: Ms Le Roux, I'm afraid I've 19 it. But that's my objection to your question. Let's see anticipated some of the topics you were going to deal with 20 if Mr Ngalwana has got a better one. Yes, Mr Ngalwana?

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MS LE ROUX:

CHAIRPERSON:

yet testified and -

Chair, if I could just

Yes, yes, no I understand

respond to that. The decision was that given that General

Mpembe is not one of the section commanders, they haven't

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that -1 2

MS LE ROUX: - that there were other

3 witnesses that that needed to be put to.

4 CHAIRPERSON: I'm sorry to interrupt you.

5 I understand that, but you put a proposition which

presupposed the establishment, as it were, of certain 6

7 facts, and the facts were stated very generally, namely

that people didn't know, various members didn't understand

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9 what the plan was and there were divergent understanding

and so on. Now I don't think it was fair to put the 10

question in that form to this witness at this stage. 11

12 Obviously if the divergence was clear and he was asked to

13 comment on it, that would be a different matter, but

14 anyway, that's the answer to that point. But let's hear

what Mr Ngalwana's point is, if he's got one. 15

16 MR NGALWANA: Well, that was precisely 17

the one point. That was the one objection, Chair, and

18 secondly -

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19 CHAIRPERSON: Well, that one I have

20 upheld, yes -

21 I have a second -MR NGALWANA:

22 CHAIRPERSON: - and the other one?

23 MR NGALWANA: Chair, I wanted to

24 establish whether it's a criticism of the plan, the

planning process. I now understand categorically that it

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is. This issue -

2 CHAIRPERSON: What is the relevance of

your understanding? Counsel doesn't normally have to explain for the benefit of counsel for the witness what the 4

5 purpose of the question is. Sometimes a good cross-

6 examiner conceals the purpose until it's too late for the

7 witness to change his or her version. So I'm not sure that

8 the relevance of the question is a factor upon which, which

9 is in any event a matter of concern for us at the moment.

10 Either it's a fair question or an unfair question. What's

your objection? 11

> MR NGALWANA: Yes, that's where we should have started, Chair, with respect. Mr Madlanga has dealt

with the briefing issue as regards the merits or demerits 14

of the planning process. He dealt with it by referring the

16 witness to FFF1, and he went to paragraphs 5.3.4. That

17 dealt with the merits or demerits of the plan and the

18 planning process, which our learned friend is now busy

pursuing. The Chairperson has at day 80 at page 8524 made

this ruling, and I quote, "I certainly have no intention of

21 allowing the same points to be repeated ad nauseam with

22 numerous witnesses. If the evidence on a particular point

is given, take for example the plan, the alleged merits or

24 demerits of the plan, and answers are given to the

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25 criticism, well, the criticism won't get stronger by being

repeated over and over again." Our learned friend is busy

pursuing the merits or demerits of the planning process,

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3 and I submit that that is an objectionable line to follow 4 inasmuch as it has already been exhaustively dealt with,

5 and I'd ask the Chairperson to emphasise the ruling that

6 the Chairperson has made in that regard previously.

7 MS LE ROUX: Chair, to the best of my

8 knowledge and instructions, the point that the section 9

commanders didn't furnish detailed written plans to their

10 members of their specific role in the overall operational

11 plan, has not been put. So it is not a repetition, but

12 perhaps I can reformulate to accommodate both that

13 objection and, Chair, your intervention regarding the

14 foundation. So let me withdraw the question and

15 reformulate it. Major-General Mpembe -

16 MR CHASKALSON SC: Mr Chairperson, I

17 wonder if the evidence leaders could intervene at this

18 point, because Mr Ngalwana's objection raises a matter of

19 considerable importance for us, not just with this witness

20 but also with witnesses going forward. Mr White is a very

21 crucial witness in this Commission. He's the witness of

22 the Human Rights Commission. The evidence leaders have

23 steered clear of cross-examining exhaustively on the basis

24 of Mr White's statement because they've always anticipated

that the Human Rights Commission will do that, he's their

witness. Now if that practice and policy is going to have

to change, we need to know now because we can't afford a

situation where either with this witness or possibly even

future witnesses we deliberately leave certain questions

5 unanswered in the expectation that the Human Rights

Commission will pursue that line on the basis of Mr White's 6

7 statement, and then when the Human Rights Commission tries

8 to do so the SAPS object and their objection is sustained.

9 [12:00] CHAIRPERSON: Yes, the passage from my

10 ruling earlier relates to the same point being put over and

11 over - that was the phrase I used - over and over again to

12 different witnesses, so you'll have cross-examination put

13 to one General based on criticism of the plan, the witness

14 gives the answer, an attempt is made to ask the next

15 General or two Generals thereafter the same point, and the

16 same ground is covered over and over again. That's what my

17 ruling related to. Ms Jele asked General Annandale certain

18 specific questions on the plan, based upon Mr White's

report and criticism. Mr Madlanga when he cross-examined

this witness, dealt in general terms with the plan, not

21 with the specifics of Mr White's objections. So in the

22 circumstances I will allow Ms Le Roux to continue, but she

23 must please try to keep away from points that have already 24 been made and established, because then the points raised

by Mr Ngalwana are valid and obviously we've got limited

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time and we must use it profitably and not just repeating

points over and over again. I hope that addresses the 2

concerns that you raised, Mr Chaskalson.

4 MR CHASKALSON SC: Thank you,

Chairperson, it does. For the record, the evidence leaders

6 are going to continue to adopt this policy of not cross-

7 examining exhaustively on the basis of Mr White's statement

because he's the Human Rights Commission's witness; they 8

9 are able to consult with him in detail, we are not, and we

10 don't want a situation to arise in the future where what we

11 have omitted to address cannot be addressed by the Human

12 Rights Commission in relation to Mr White's statement. I

13 emphasise it's in relation to Mr White's statement alone.

14 CHAIRPERSON: Please proceed, Ms Le Roux, 15 but bear in mind the caution that repetition is not to be

encouraged. 16

> MS LE ROUX: Major-General Mpembe, let me

18 restate the question, and I have reformulated it slightly, 19

so let me put the question now. The evidence of Mr White

20 will be that in complex operations involving numerous

21 specialist units it's necessary for section commanders to

22 prepare their own individual details plans on the basis of

23 the overall operational plan relating to the particular

24 role that they need to play, and this is to ensure that

25 there's complete understanding of the task by all sections point slowly to him and let's see if he feels able to deal with it, despite the fact that -MS LE ROUX: Major-General Mpembe, do you

hasn't seen before and that are just flung at him, as it

were, in cross-examination. He's read the earlier report

and he can deal with that, but on the other hand put the

think that the failure of section commanders to prepare their own detailed plan with respect to their own specific task, which fits into a broader operational plan, do you

10 think that the failure by section commanders to prepare 11 their own plan would increase the risk of confusion as to

12 what their role should be in the overall plan?

13 MR NGALWANA: I object to that question, 14 Chair. What the witness thinks is of absolutely no

relevance and will not assist this Commission in reaching

its decision in terms of the reference in their terms of 16

17 reference. I can refer you, Chair, with respect, to day 15

18 where you made a ruling in this regard. Page 1647, it in

19 fact starts at 1646 at line 2, and I'll read the entire

20 thing for - Mr Bizos said, "Do you think that it is in

21 accordance with the law that you have yourself told us

22 about, proportionality, is that an appeal to the statement

23 to police," I'm reading it as it appears, Chair, that's the

24 language. "Do you think that it is in accordance with the

law that you have yourself told us about, proportionality,

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and units, and Mr White considers that the failure to

prepare such plans gives rise to a significant risk of 2

3 confusion arising during an operation when individual

4 commanders are then forced to act in terms of some unclear

5 understanding. What's your comment on that?

6 MAJOR-GENERAL MPEMBE: I will -

MR NGALWANA: Chair, in fairness to the witness, the paragraph that our learned friend is citing,

perhaps she should refer the witness to. I think she's

10 referring to paragraph 4.5.3, but perhaps she's referring

11 to something else, but the witness needs to have the

12 excerpt to which reference is being made.

13 CHAIRPERSON: That's correct.

14 MS LE ROUX: Chair, this is not in Mr

White's provisional statement; this is an instruction that

16 I've received.

17 CHAIRPERSON: In putting your instruction

18 now of course are we going to get a revised final version?

19 MS LE ROUX: Yes, Chair, as indicated in

Mr White's provisional statement, he only had limited 21

evidence provided to him. He's busy preparing a final

22 statement.

CHAIRPERSON: I understand that. Part of 23

24 the problem of course is it's very difficult for a witness

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25 such as the present to deal with points of Mr White that he

is that an appeal to the statement to police to use

proportional force, the pointing of a firearm, the pointing

3 of a firearm must lead to the death of the person pointed

4 at?" and then a whole host of things follow, and this is

the ruling you make in that regard at page 1647, line 13,

6 Chairperson, "May I please ask what the witness thinks

7 about what's right or wrong when a gun is pointed is a

8 matter for him. I am not sure whether his views on the

point will assist us to answer the questions we're called

upon to answer." So what a witness thinks is irrelevant. 10

> CHAIRPERSON: Ms Le Roux?

12 MS LE ROUX: Thank you, Chair. The

13 question being posed is distinguishable from the examples

14 given by my learned friend, Mr Ngalwana. I'm not asking

15 Major-General Mpembe as to his opinion on a matter of law, 16 nor am I asking as to his opinion on some other matter that

17 is irrelevant to this Commission's inquiry. I'm asking him

in light of the Standing Order which requires that a plan

18 19 be broken down in detail for each section commander,

20 whether the failure to do that here could give rise to a

21 risk of confusion with respect to the briefing of members,

and as the overall commander his opinion on whether that

23 raises a risk is entirely relevant and appropriate and is

24 not a question of law.

25 CHAIRPERSON: We've already got the

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Page 12673 answer from the witness; he doesn't think it's necessary. 2 You remember I put to him 10(2)(c) in the context such as 3 the present, and said that where you have a detailed plan 4 setting out what each section should do, it's not necessary 5 then for the section commander or leader to come with his own detailed written plan. That was the point that was put 6 7 to the witness, and he agreed with that. Now is this a variation of that point? I mean Mr Ngalwana is right in 8 9 saying that what a witness thinks in itself is normally irrelevant. It may be relevant in certain circumstances. 10 11 The example he quoted from of an earlier ruling of mine 12 relates to what the witness thought about the law, which if 13 I may be permitted to say so myself, was clearly a correct 14 ruling, but in this context what exactly is the basis of 15 the question? Are you asking just what he thinks in abstract, or are you asking him as a man with some 16 expertise in the field? Are you asking him to express an 17 18 opinion as an expert on what happened on that particular 19 day? I don't guite understand what the thrust of the 20 auestion is. 21 MS LE ROUX: Chair, I'm asking the

6 7 8 9 10 11 12 13 14 15 17 19 20 21 22 question of Major-General Mpembe as the overall commander, 23 24 compliance with the Standing Order. I'm also probing the 25 rationale for that Standing Order and how in the specific

1 MAJOR-GENERAL MPEMBE: No, Chairperson -2 MR NGALWANA: Chair, I'm not sure if it's permissible to object to the Chairperson's question -CHAIRPERSON: Well, I don't [microphone off, inaudible] objection it is, but it is permissible but if it's a bad objection, it isn't. So let's just carry on and get the answer to the question. MR BUDLENDER SC: Chair, I just want to clarify what's happening here. The Human Rights Commission has produced an expert who's expressed certain opinions. The South African Police Service have not produced thus far any witnesses who contradict certain of those opinions. In particular, Mr De Rover has thus far declined to comment on those matters at all. If the South African Police Service is going to object to any member of the South African Police Service expressing his opinion on the matters on which Mr White has expressed opinions, then those will stand uncontradicted. If that's what the South African Police Service wants to achieve, they should understand that's what they are achieving, that it will be impossible for them to cross-examine Mr White and Mr White's evidence will stand uncontradicted on all of these matters on which they apparently object to senior police officers expressing a professional opinion. CHAIRPERSON: Mr Budlender, it's not as

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circumstances of what he's described as a fluid changing set of circumstances, whether he thinks complying with the

3 Standing Order and requiring further detailed plans by

and therefore the person responsible for ensuring

4 section commanders would have reduced the risk of any

5 confusion with respect to briefing. I'm happy to move on,

however, if the Chair and the Commissioners are of the view 6

that this is not a question that should be put to Major-

General Mpembe -8

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9 CHAIRPERSON: You won't have an option if 10 I so rule, will you? You not proceeding with the question. Well, let me ask the witness; I take it you've thought a 11 12 lot since the events of that week about what went wrong and 13 what could have been done which would have either prevented 14 what happened or certainly reduced the extent of the 15 calamity. Is that right? 16 MAJOR-GENERAL MPEMBE: That's correct,

17 Chairperson. 18 CHAIRPERSON: Now you've told us that the section leaders didn't have detailed written plans 19 20

themselves on what the members of their sections were to 21 do. Do you think - now this is as the overall commander, a

policeman with many years of experience - do you think that

the failure to have those detailed plans on the part of the

section leaders contributed in any way to the catastrophe

that occurred?

simple as that, for two reasons. Firstly, the final report

of Mr White has not yet been produced. So my understanding

is part of the police's objection to answering White in the

meanwhile is they're waiting for the final report. As far

5 as this particular point is concerned, that's not in the

6 original report, the provisional reports that we got, and

7 that's also one of the bases of objection. But I thought I

8 put the question in a way which would elicit an answer

9 which would help us. Either the witness thinks that part

10 of what happened was caused by this particular omission, or

he doesn't, and as a senior experienced policeman his views 11

12 on the matter would be relevant, they would be admissible,

13 because in terms of the definition adopted by the Appellate

14 Division of expert witness, he would qualify.

MR BUDLENDER SC: One would have thought, with respect, that the police service would wish those opinions to be before the Commission.

18 MR NGALWANA: Chair -

CHAIRPERSON: Ms Le Roux, can you perhaps leave this point for a moment - I think you indicated you would - and we can revisit it later? I understood you to say you were prepared to move on, so it isn't going to destroy the structure of your cross-examination, is it, if

24 you leave it for the moment, because I want to discuss it

with my colleagues, because as Mr Budlender said and Mr

Page 12677 Chaskalson said, the future conduct of cross-examination and so forth may well be determined by this point, so it's 2 3 not something we should rush into making a ruling on. So 4 if you can move on to something else for the moment, then 5 we can always return to it later. You're not going to [microphone off, inaudible]. 6 7 MS LE ROUX: No, Chair. Chair, I can -8 CHAIRPERSON: Sorry, I should have - my 9 colleagues and I would like to discuss the point before the ruling is given, because it may be important for what 10 11 happens in respect of other questions, points of cross-12 examination. So if it's convenient for you, if you can move on to deal with something else, then we can revert to 13 14 it at a later stage. 15 MS LE ROUX: Thank you, Chair. Just for clarity, when I said I could move on, it would be in the 16 face of a ruling that the question be disallowed. So 17 18 certainly if the ruling is that the question is permitted, 19 we'll return to it. But I can now move on, continuing the 20 theme of the paper trail, Major-General Mpembe. [12:19] On Friday during your cross-examination by my 21 22 learned friend Mr Gumbi, you offered to produce an SMS that 23 you still had on your phone. Has that production taken 24 place? Have you produced the SMS you mentioned on Friday? 25 MAJOR-GENERAL MPEMBE: I've never been Page 12678 asked to produce, Chairperson, but I do have it; I can 1

Page 12679 my knowledge all those documentations were disclosed to the 2 Commission. 3 MS LE ROUX: Major-General Mpembe, when 4 you say that letters for Marikana were sent by email, what letters are you referring to? 6 MAJOR-GENERAL MPEMBE: Chairperson, I 7 will speak about the call-ups that were made for the 8 Potchefstroom and those are the letters that I'm referring 9 10 MS LE ROUX: During the period 12 to 16 11 August was email used to communicate by yourself or any of 12 the other members of the JOC? 13 MAJOR-GENERAL MPEMBE: No, Chairperson. 14 MS LE ROUX: Major-General Mpembe, there 15 were landlines at the JOC as well, correct? 16 MAJOR-GENERAL MPEMBE: Chairperson, I need to establish whether there were landlines because I 17 remember first the JOC was on another place and I shifted 19 it to another one, whether landlines were there, but what I 20 do know is that the cell phones were there, the radios were 21 there. We do also have a television that was there, 22 because if there could have been a landline so arrangement 23 should have been made with the management of Lonmin. 24 That's what I'm saying, then I need just to confirm later. 25 CHAIRPERSON: Ms Le Roux, if anything Page 12680 turns on the point I suggest you address your query to Lonmin, who'll be able to tell you whether a landline was available on the two places where the JOCCOM was situated. I don't think, the witness obviously doesn't know, so let's 5 not spend further time with him on it. 6 MS LE ROUX: Yes, thank you, Chair, we'll 7 take it up with our learned friends for Lonmin. Major-

2 produce it tomorrow. 3 MS LE ROUX: Major-General Mpembe, are 4 there other SMSs for the period of time that you were 5 involved with - let me rephrase that. Are there other SMSs 6 on your phone that relates to the same period of time in 7 the middle of August that you could produce to the Commission, and if there are, could I ask you to produce 8 9 all SMSs that you still have on your phone that could be 10 relevant to the Commission? 11 MAJOR-GENERAL MPEMBE: I do not have, 12 Chairperson. 13 MS LE ROUX: Major-General Mpembe, did 14 you use email at all when you were overall commander of the 15 operation at Marikana? MAJOR-GENERAL MPEMBE: 16 No, Chairperson, 17 as there were people who were instructed to do other work 18 where emails could be used. 19 MS LE ROUX: And who were the people instructed to perform other tasks that used email? 21 MAJOR-GENERAL MPEMBE: Chairperson, as I

22 have said that when there was any letter that was written

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for Marikana, it would be sent by email to, either to theoperational commander or to some, to the cluster commander,

or it would be obtained from the province, and according to

General Mpembe, could I now ask you to turn to the topic of 9 video evidence, and specifically if you could turn to 10 exhibit L. 11 CHAIRPERSON: Before we take up the topic 12 of video evidence, I think it's appropriate that we take a 13 short comfort break for those in need of such a break. So we'll adjourn for five minutes. 14 15 **COMMISSION RESUMES**1 [COMMISSION ADJOURNS [12:31] CHAIRPERSON: The Commission resumes. 17 I'm sorry we didn't tell the General that we were going to 18 start. I assumed he was in the room but he's shown how 19 fleet of foot he is. You're still under oath, Major-20 General. Ms le Roux. 21 MS LE ROUX: Major-General Mpembe, if you could turn to slide 170 in exhibit L and for the record

it's a slide recording the events of the 16th headed The

Detailed Sequence Of Events, time stamp 13:25 and two

bullet points. The first says Captain Dennis Adriao, the

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incident.

Chairperson.

off].

MAJOR-GENERAL MPEMBE:

MAJOR-GENERAL MPEMBE:

CHAIRPERSON:

MS LE ROUX:

Tel: 011 021 6457 Fax: 011 440 9119

that they'd been withdrawn?

Page 12681 Page 12683 police's media liaison officer informed both the video Major-General Annandale who was always at the JOC. 2 operators of the SAPS that a journalist had reported to him MS LE ROUX: 2 Major-General Mpembe, just 3 that the video operators of POP who were in civilian so we can get it clear did General Annandale tell you 4 clothing were identified as "police spies" by the they'd been withdrawn when you came back from the JOC, 5 protestors and might be killed if they remained in the 5 having gone up in the helicopter, is that when he told you? MAJOR-GENERAL MPEMBE: 6 general media group. Second bullet point Captain Adriao 6 No, Chairperson, 7 7 not at that time. It was long after that time. informed the video operators of POP about the information. Based on this information they withdrew from the scene and 8 MS LE ROUX: Major-General Mpembe, moving 8 9 returned to the JOC. Major-General Mpembe, does Captain on to your supplementary statement which I'm told is not 10 Adriao have the authority to withdraw the video operators 10 yet an exhibit, it's SAPS5B, that's apparently our internal reference. It won't make any sense to anyone else. This 11 11 in this way? Is that how we must understand the slide? 12 is the one page, the short supplementary statement. 12 That it was Captain Adriao who decided to withdraw them or 13 CHAIRPERSON: 13 was it the video operators themselves that decided to Is this the little 14 withdraw? 14 statement that you handed us, three paragraphs, dealing 15 MR CHASKALSON SC: with the lack of a computer? Sorry, Mr Chairperson, 16 MS LE ROUX: 16 in fairness to the witness I think that the slide itself That's correct, Chair. 17 17 which is not evidence is slightly misleading and that the CHAIRPERSON: That is not an exhibit, yes 18 version that we have been informed of as evidence leaders you're correct. So that'll be HHH34 unless Mr Ngalwana is that the message was ultimately conveyed, I think, to 19 19 wants to object. 20 Colonel Mere and in due course to Brigadier Calitz and that 20 MR NGALWANA: No, Chair. 21 the ultimate instruction to withdraw came from Colonel 21 CHAIRPERSON: Thank you, it will be so 22 22 marked, HHH34. You've seen the statement, I mean you made Mere 23 CHAIRPERSON: In the light of that 23 it but you've seen it recently? 24 24 information I take it you don't persist in the question. MAJOR-GENERAL MPEMBE: Correct, 25 MS LE ROUX: That's correct, Chair. Chairperson. Page 12682 Page 12684 Major-General Mpembe, when did you become aware that there CHAIRPERSON: I don't know when you made 1 were no SAPS video operators recording the events on the 2 it, it's not dated but I don't think anyone will take that 3 16th? When did you become aware that they had been 3 point. What do you want to ask him about the statement? 4 withdrawn? 4 MS LE ROUX: Major-General Mpembe, the 5 5 CHAIRPERSON: last sentence of the statement says, "From the 13th to the I think that question is a bit too broadly stated. What you've put related to two 6 6 16th there was no computer available for the use of the JOC 7 7 video operators who were on the koppie. There were, of personnel." When you wrote this statement what did you 8 mean by "for the use of the JOC personnel?" What did you 8 course, other video operators, SAPS video operators 9 9 want the computer to be used for or not be used for as the operating water canons and some of them in aeroplanes and 10 some in Nyalas or in an Nyala, so the question is too 10 case may be? 11 broadly based for the facts as we have them. Perhaps you'd 11 CHAIRPERSON: He doesn't say he wanted a 12 like to reformulate it. 12 computer to be used for anything. I think that question 13 MS LE ROUX: 13 can be reformulated, with more accuracy than you've Thank you, Chair. Major-General Mpembe, when did you become aware that these two 14 displayed up to now with it. 14 15 video operators had been withdrawn and returned to the JOC, 15 MS LE ROUX: Yes thank you, Chair, that when did you become aware of that? 16 was mangled. Major-General Mpembe, why did you note that

MAJOR-GENERAL MPEMBE: to be made? It purports to be an affidavit but it's not

I was informed by

After the

Correct,

And how did you become aware

[Inaudible, microphone

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personnel?

Chairperson.

there was no computer available for the use of the JOC

from the 13th to the 16th there was no computer available.

On the 13th?

Correct,

How did this statement come

Email: realtime@mweb.co.za

Well your statement says

MAJOR-GENERAL MPEMBE:

MAJOR-GENERAL MPEMBE:

MS LE ROUX:

CHAIRPERSON:

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Page 12685 because there's no attestations by a Commissioner of Oaths 2 but we won't take that point. Did somebody ask you whether

3 there was a computer and you then considered it necessary

4 to make a statement? In other words were you answering a

5 query from somebody?

MR CHASKALSON SC: Mr Chairperson, if I can assist the witness again in this regard, there was a query from the evidence leaders about whether there was a computer in the JOC which served as an archive for the photographic and video material and I think it was in response to that query that this affidavit was made or this

12 statement was made. 13 CHAIRPERSON: Thank you, Mr Chaskalson. 14 MS LE ROUX: Major-General Mpembe, we've got photographs of the JOC and they show both desktop 15 computers and laptop computers and I'd like to understand 16 17 why, given that we see computers in the JOC, you make a 18 statement here that says that from the 13th to the 16th

19 there were no computers available for the JOC personnel. 20 MAJOR-GENERAL MPEMBE: As is correctly 21 put by the evidence leaders, I was just told by Brigadier Pretorius that I should make a statement whether there was 22 23 a computer at the JOC. And in my knowledge as a senior 24 officer when you talk about a computer for SAPS you're 25 talking about a computer that has to be linked with the

Page 12687

docket, it's only SAPS that have got that IT, not any other and there were no points to have that. 2

CHAIRPERSON: Clearly what you're saying then in the last sentence is that there were no computer linked to the mainframe SAPS computer, is that what you meant?

MAJOR-GENERAL MPEMBE: Correct,

8 Chairperson.

9 MR NGALWANA: Chair, I fear the 10 Chairperson has probably run well ahead of me, certainly 11 because the Chairperson has referred to photographs which 12 have not yet been submitted.

CHAIRPERSON: - to photographs, you remember Mr Chaskalson said they asked a question relating inter alia to a computer on which photographs and so on were referred to. What I was referring to was the document that had been handed in hasn't yet been given an exhibit number but I don't know whether we need it in the light of the answers we've been given.

MR CHASKALSON SC: Mr Chairperson, from the evidence leader's side we would like that document to be an exhibit because it now appears from what the witness says - answers are that he misunderstood our request, that our request wasn't properly conveyed.

CHAIRPERSON: No, Mr Chaskalson, I've

Page 12686

main frame in SAPS.

1

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2 CHAIRPERSON: In other words what you say

3 in the statement, it requires a bit of amplification to be

4 correct and say it looks from the photograph as if there

5 were computers in the JOC, presumably personal computers of

So what you meant in the

I have in mind

particular people who were there. Is that right? 6

7 MAJOR-GENERAL MPEMBE: Correct.

CHAIRPERSON:

8 Chairperson.

last sentence of paragraph three was something like from 10 the 13th or the 16th there was no computer available for the 11 12 use of JOC personnel which was what an official SAPS 13 computer linked to their main frame or what exactly did you

14 have in mind?

where they could register all what is supposed to be 16 17 registered according to the SAPS IT. For example

MAJOR-GENERAL MPEMBE:

18 registration of a docket, entering all any other materials

or even sending the email direct to the JOC, that's what I 19

was talking about.

Do the SAPS have a code or 21 CHAIRPERSON: a standing procedural protocol relating to IT material? Is that what you're referring to?

MAJOR-GENERAL MPEMBE: I'm referring to

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25 the mainframe, Chairperson, where if you have to register a

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already put in the statement, the quasi affidavit as HHH34

but I think that Mr Ngalwana is ahead of you, he's

referring to the fact I spoke about a photograph and in the

bundle of documents we got, which I think to be fair, the

SAPS people may not have got. There is a photograph of the

6 JOCCOM and it does look from it as if there were computers

7 there but I take it they were personal computers, laptops

of particular people and they weren't computers as 8

described by the witness in his amplification of the

10 statement. Am I correct Mr Ngalwana?

MR NGALWANA: Well I'm not sure, Chair. Firstly, the statement doesn't refer to any annexure. I

13 see the Chairperson has stapled them together. My

14 understanding was HHH34 is a one page document which is a 15 statement.

16 CHAIRPERSON: I didn't staple anything 17

together. I was given an number of documents which were clipped together and I've forgotten what these special clips are called, bulldog clips and among the documents we

got were clipped together with a bulldog clip in addition

21 to the quasi affidavit referred to was a photograph of the

22 JOCCOM. And it does look from that photograph as if there 23 were laptops there but the witness has explained that he

24 wasn't referring to that, he understood the question to

relate to an SAPS computer linked to the mainframe. That's

Page 12689 Page 12691 what he meant and he reflected the way the last sentence of on the SAPS hard drive but are not formally exhibits before the statement is to be interpreted. Now does the Commission and that may affect the numbering of these 2 satisfactorily resolve the difficulties you -3 exhibits because there are in fact I think seven or eight 4 MR NGALWANA: Yes, Chair, I withdraw my 4 more. 5 5 accusing the Chairperson unfairly, stapling the -CHAIRPERSON: I'm not sure that -CHAIRPERSON: 6 MR CHASKALSON SC: 19. 6 I'm sure you had no 7 7 intention to be unfair to me or to anybody else but I CHAIRPERSON: Yes, I'm sorry to interrupt accept the withdrawal. Shall we carry on? 8 8 you, I'm not sure what Ms le Roux wants to make of these 9 9 MR NGALWANA: I shall wait until an photographs. If she simply wants to ask him about attempt is made to introduce these photographs into 10 something in the JOC it will show him that it can be seen 10 11 evidence, Chair, then I'll deal with it then. 11 on the photographs, there's no reason to think that if she 12 12 puts something to him within the JOC which in fact was MS LE ROUX: Well, Chair, let me do that there that he will deny it. So let's see what - what do 13 right now. There are two photographs which we would like 13 14 14 to be exhibited. The first is identified with the tag you want to do with these photographs at the moment? Do 1020202, this is the photograph where there is someone you want to mess up Mr Chaskalson's order of photographs or 15 do you want to establish that something was within the JOC 16 writing on a white board in the foreground. If that could because there's no reason to think that if it was in the 17 be exhibit HHH35 and then the other photograph of the JOC 17 18 showing desktop computers and two blue plastic chairs, if JOC, if the witness saw it that he will falsely deny that 19 that could be HHH36. 19 it was there. So what point are you up to or do want it 20 CHAIRPERSON: The copies we were given 20 conceded from - that you asked the witness the question? 21 haven't got any numbers on but the first one shows, as you 21 MS LE ROUX: Chair, I don't think I'll be 22 say, they're not blackboards, they're actually white boards 22 messing up Mr Chaskalson's photograph exhibit in due course 23 aren't they? It's actually -23 because it's only these two that we wanted to introduce for 24 MS LE ROUX: 24 Flipcharts. now and the point is to understand in light of General 25 CHAIRPERSON: Sorry? Mpembe's statement that there were no computers available. Page 12690 Page 12692 MS LE ROUX: [12:51] CHAIRPERSON: He didn't say that, he said 1 It's a flip chart. 2 CHAIRPERSON: Flip chart and someone's he'd made it clear writing on the flip chart and it looks like the words 3 3 MS LE ROUX: Yes, and now we understand 4 "injured members" have been written at the bottom rightwhat that means -5 5 hand corner of the photograph. Is that the one you're CHAIRPERSON: So now that's been referring to? 6 clarified do you need the photographs? 6 7 MS LE ROUX: That's correct, Chair. 7 MS LE ROUX: Yes, because I'd also like CHAIRPERSON: And the second shows two 8 8 to understand on the theme of the paper trail and whether a 9 9 blue chairs, there is various other things, but it shows full search has been made and all contemporaneous documents two blue chairs and it looks a white X above the chairs, is 10 have been placed before this Commission, is to ask whether 10 11 that other photograph? the five laptop computers and two desktop computers that we 11 12 MS LE ROUX: That's correct, Chair, the X 12 see in the JOC on the evening of the 16th whether documents 13 is the reflective jacket being worn by the person sitting 13 from those and their users have been provided. 14 on the chair. 14 CHAIRPERSON: Well I understand that now 15 CHAIRPERSON: I see. Now do we know the 15 but you don't need the photographs for that. The way to do problem of these photographs? it, if I may say so, is to ask him if there were computers, 16 16 17 MR CHASKALSON SC: Mr Chairperson, if I 17 laptop computers, depending on his answer whether he was could interrupt here and it may be relevant to the 18 18 aware of them, you can then ask him what happened to them 19 numbering as well. These are photographs taken by, I and if he knows. But I don't think you need the 20 think, by Captain Van Heerden of the JOC on the 16th. One 20 photographs for the moment. So may I suggest you carry on 21 of the issues which we hope to do either by persuading the 21 without the photographs and see how far you get. 22 SAPS to lead it in chief with Colonel Scott or in our 22 MS PILLAY: Chair, if I may just come in

23

for the purposes of good order. I think that questions

have been asked specifically of these two photographs. So

for the purposes of the record we might need to identify

cross-examination is to have the whole set of Captain Van

before the Commission. At the moment these photographs are

24 Heerden's photographs introduced as an exhibit formally

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number them as WWW whatever it is, I forget the number,

point 17 and point 19 we will then have the full set in one

Advocate Hemraj suggests

coherent place at the end which will, I think be better.

CHAIRPERSON:

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Page 12693 Page 12695 the two photographs and hence have them marked as exhibits. there's no confusion. 2 2 CHAIRPERSON: MR CHASKALSON SC: Thank you, - marked them yet, Ms Le Chairperson. 3 Roux suggested it but when we read the record and we see 4 that these photographs are going to be handed in as part of MS LE ROUX: Major-General Mpembe, with 5 Captain Van Heerden's photographs - but if the only purpose respect to the two desktop computers that we see in the 6 second photograph, HHH36, do you know whose computers those of the question is to establish that there were indeed 6 7 7 were in the JOC? Do you know whether computers in the JOC then we don't really need the photographs. That is if the witness admits that he saw 8 MAJOR-GENERAL MPEMBE: No, Chairperson. 8 9 9 computers, isn't that so? MS LE ROUX: Major-General Mpembe, who 10 MS LE ROUX: Chair, there are also 10 would know who provided those two desktop computers? aspects of the JOC reflected in the photographs that I MAJOR-GENERAL MPEMBE: 11 11 There were people 12 would like to -12 that were coming from head office and so on and then Major-13 13 General Annandale could know about it. CHAIRPERSON: Alright. 14 CHAIRPERSON: 14 MS LE ROUX: - question Major-General Again I would imagine that 15 Mpembe about beyond -15 this is the sort of thing you can sort out by an enquiry to 16 CHAIRPERSON: They were on the police 16 the SAPS. hard drive so we will put the first one with the words 17 MS LE ROUX: Yes, Chair. 17 18 "injured members" at the bottom right-hand corner of the 18 CHAIRPERSON: And they'll give you the 19 flip chart, we'll call that HHH35 and the second one which 19 answer I'm sure without any trouble. 20 shows two chairs and a member, I take it it's a member with 20 MS LE ROUX: Yes, Chair. Major-General 21 a black jacket with a white X on the back, we'll call that 21 Mpembe, with respect to the other photograph and the five 22 one HHH36. I'm a little bit concerned about something. 22 laptop computers that can be seen in that photograph do you 23 From the way Mr Ngalwana reacted I got the impression that 23 know whose computers those were? 24 24 he was taken by surprise, so was he in fact given notice of MR NGALWANA: Chair, I don't see a single 25 laptop computer, perhaps my learned friend can - has a these photographs were to be used? Page 12694 Page 12696 1 MS LE ROUX: Yes, Chair, they were on the 1 better picture. 2 MS LE ROUX: Chair, HHH35 is 2 index provided and copies were provided this morning, 3 printout copies. 3 unfortunately quite dark. During the lunch adjournment 4 MR NGALWANA: I hear copies were provided 4 I'll sit with my learned friend for SAPS. And if you zoom 5 this morning. I've just received it now and I didn't know in you can count five laptop computers on the desk that you that it was referred to in the list of exhibits to which 6 see, the large table you see in the middle and the desk in 6 7 they were going to refer. I now see it, it's 22. I think 7 the corner. But the first question for Major-General 8 8 it's 23, item 23 of the list. Mpembe is do you know whose laptop computers -9 9 CHAIRPERSON: CHAIRPERSON: The answer's no. Do you So notice was given. So I 10 know whose computers they were? I take it you saw 10 think, Ms Le Roux, you can carry on. 11 computers, let's not get involved with photographs. You 11 MR CHASKALSON SC: Mr Chairperson, I hate to take this further but given that the entire set is going 12 saw computers in the room where the JOCCOM was meeting 12 13 13 to come in can I ask that the numbering is different and right? that they be, I forget which exhibit we're on but if it's -14 MAJOR-GENERAL MPEMBE: 14 Correct, 15 15 these are numbers 17 and 19 of the full set and if we Chairperson.

that the way to do it is that when you come in to hand the 20 the owners or certainly the possessors of the computers 21 full set of photographs you can make the point that two of 21 that you saw? the photographs have already been before the Commission 22 MAJOR-GENERAL MPEMBE: No, Chairperson 22 with these two numbers I've given them. But there's no 23 except that I saw only Colonel Scott holding a personal 24 major reason why these photographs, informative as they 24 computer. That's the only person that I saw. are, should not appear twice in the record, as long as 25 CHAIRPERSON: Am I correct in assuming, ARCHIVE FOR JUSTICE

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CHAIRPERSON:

CHAIRPERSON:

MAJOR-GENERAL MPEMBE:

computers your computer?

Was any one of those

No, do you know who were

Email: realtime@mweb.co.za

No, Chairperson.

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I'm told this by Advocate Hemraj, that if one looks at this

- 2 photograph HHH35 in a clear form, one can actually see
- 3 people sitting in front of the computers. Is that correct?
- 4 Well look it's nearly 1 o'clock, let's take the adjournment
- 5 now. Those who don't need lunch can spend the lunch time
- looking at the photograph on a computer and see who's 6
- 7 sitting where. If you found what you want just before we
- 8 resume you can ask the General to look and see if he can
- 9 identify the people and then we can carry on. Will half an
- hour be enough for that task? We'll adjourn till 1:30. 10
- [COMMISSION ADJOURNS COMMISSION RESUME] 11
- 12 [13:39] CHAIRPERSON: The Commission resumes.
- 13 Major-General, you're still under oath.
- WILLIAM MPEMBE: 14
- 15 CHAIRPERSON: Ms Le Roux, you have more
- questions, I assume. 16
- 17 CROSS-EXAMINATION BY MS LE ROUX (CONTD.):
- 18 Yes, Chair. Major-General Mpembe, could you turn to
- 19 exhibit HHH3, which is your supplementary statement.
- 20 MR NGALWANA: Chair, shall I take it our learned friend is done with the photographs? Because
- 22 there's an issue I wanted to raise in regard thereto.
- 23 CHAIRPERSON: I'm sorry, I didn't catch
- 24 what you said. Have you discussed them over the
- 25 adjournment?

21

Page 12698

- MR NGALWANA: 1 No, we haven't, Chair. But
- I just wanted to find out if she's done with these 2
- 3 photographs because there's an issue I want to raise in
- 4 regard thereto.
- 5 MS LE ROUX: Chair, I have a question
- relating to HHH36, which I'm proceeding with. If my 6
- 7 learned friend wants to make another intervention, he
- 8 should do so now.
- 9 MR NGALWANA: I'll wait for the question
- to come, Chair. 10
- CHAIRPERSON: 11 [Microphone off, inaudible]
- know if you're going to object unless you know what the 12
- 13 question is?
- 14 MR NGALWANA: I never objected, Chair. I
- said I need to know what the question is before I object. 15
- 16 CHAIRPERSON: I see. Yes, but you may
- 17 not object if you know the question. Carry on, Ms Le Roux.
- 18 MS LE ROUX: Thank you, Chair. General
- 19 Mpembe, do you have your supplementary statement, HHH3?
- 20 MAJOR-GENERAL MPEMBE: Correct,
- 21 Chairperson.
- CHAIRPERSON: I think you mean -22
- And if you could turn to MS LE ROUX: 23
- 24 paragraph 27 of that? For the record, it reads, "I should
- add to what I say in paragraph 48 of my original statement
- ARCHIVE FOR JUSTICE

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that we had no visual aids at the JOC and relied on radio

- and cell phone reports on what was happening on the ground.
- I thought I would be in a better position to give guidance
- as overall commander if I could see what was happening from
- 5 the sky. I cannot say precisely what time we left, but we
- 6 had not yet received reports at the JOC of how the
- 7 operation was proceeding." Major-General Mpembe, where you
 - refer to visual aids in that paragraph, what do you mean?
- 9 MAJOR-GENERAL MPEMBE: CCTV camera that 10 could cover the whole area of operation.
- 11 MS LE ROUX: Major-General Mpembe, that's
- 12 because there was a CCTV feed in the JOC, which we can see
- 13 in photographs HHH36 displayed on those two screens. Your
- 14 point in your supplementary statement was that you wanted
- 15 more than what those two screens were displaying, correct?
- 16
- MR NGALWANA: Chair, in fairness to the
- 17 witness, our learned friend should say what time these
- photographs were taken and which period they cover.
- 19 MR CHASKALSON SC: Mr Chairperson,
- 20 they're taken at 7:30 in the evening of the 16th.
 - MS LE ROUX: Major-General, do you recall
- 22 the question?

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- 23 MAJOR-GENERAL MPEMBE: There were areas
- 24 that were covered and others they were not covered at all,
 - and when the crowd was moving then the major areas which
 - Page 12700
 - were not covered were not there, and then that's what I was
- talking about, but probably it could have been better for
- 3 me if I would also see not only those areas that could have
- been covered by the CCTV camera, because the Commission
- 5 will recall that the chopper that I had, it was also
- 6 covering far away areas which were not even covered by the
 - other choppers.
- 8 CHAIRPERSON: Ms Le Roux, before you
- 9 continue, I don't know if you've had opportunity to look at
- 10 GGG19, which is a statement by Mr Botes who was the
- 11 security risk manager at Lonmin, who was in the JOC, and in
- 12 paragraph 57 he deals with the CCTV surveillance equipment
- which was in the JOC at the relevant time, round about 4
- 14 o'clock in the afternoon, and I don't know if you've got it
- 15 available to you, but if you look at it you'll see that the
- 16 question you're asking may well be answered on the basis of
- 17 what is contained there.
- 18 MS LE ROUX: Yes, thank you, Chair, for
- that assistance. The point of my question was to clarify
 - with Major-General Mpembe when he referred to visual aids
- 21 in his statement, that he was referring to the CCTV
- 22 footage, and I can move on from that point now. Major-
- 23 General Mpembe, when did you make the decision to go up in
- 24 the helicopter on the 16th?
- 25 MAJOR-GENERAL MPEMBE: I did testify that

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Page 12703

Page 12701

it was after the 13:30 meeting, but it was not immediately

- 2 thereafter, and I think in terms of my evidence-in-chief
- 3 it's clearly covered there.
- 4 MS LE ROUX: Major-General Mpembe, what
- 5 I'd now like to do is summarise where we are after this
- morning's cross-examination on the theme of the limited 6
- 7 amount of contemporaneous evidence that is available to the
- 8 Commission. That's my characterisation of it. So just to
- 9 summarise where we are; with respect to minutes we have the
- 10 three sets of minutes that are TT3, TT4, and exhibit EE,
- 11 but we've identified at least six other meetings that are
- 12 not minuted. With respect to contemporaneous notes, we
- 13 have only the collated occurrence book, not the notes that
- 14 were kept and then put together in the document that we
- 15 have, and we have no other notes from the JOC, whether they
- made their way into the occurrence book or not. With 16
- respect to an intelligence report we have only TT5. With 17
- 18 respect to the plans we have only SS3. Then we have the
- 19 SAPS15s which in a piece of cross-examination that will be
- 20 put to you, other witnesses, there is evidence that even
- 21 those weren't completed on the 16th, and with respect to the
- 22 pocketbook entries, certain of those have been, the Human
- 23 Rights Commission team has been able to go through those;
- 24 there are, however, still about 200 of those that still
- 25 need to be reviewed, and then there are of course the case

to move on to the topic of training. You'll agree with me

- 2 that your expertise as the overall commander is a
- 3 combination of the training you've received and your
- 4 experience, correct?

MAJOR-GENERAL MPEMBE: In addition with

6 those crowd management that I've managed.

7 MS LE ROUX: And you'll agree with me

that there's of course a difference between what you learn 9 when you do training and what you learn from experience by

10 being in command of an operation?

11 MR NGALWANA: Chair, the issue of the

12 Major-General's experience and training has already been

13 covered previously, and Ms Jele also dealt with it with

14 another witness.

> CHAIRPERSON: The fact that Ms Jele dealt

with it with another witness doesn't prevent it being asked

17 now, but it has been dealt with previously. So unless

there's a special point that you want to put dealing with

19 that, which hasn't been covered before, I don't think we

should plough over that field again. Do you agree? 21 MS LE ROUX: Chair, I don't intend to

plough over the field that has already been ploughed. What

23 I do intend to do is cover the propositions that Mr White

24 deals with when he addresses the question of training and

leadership experience.

Page 12702

- dockets that were prepared in that week of the 9th to the
- 2 16th of August. So other than these documents that I've
- 3 just identified now in the summary, you don't know - and
- 4 the one SMS that you will be providing tomorrow - you don't
- 5 know of any other contemporaneous documents that are still
- outstanding and could be provided to the Commission. 6
- 7 Correct?

1

- CHAIRPERSON: 8 To be fair, I'm not sure if
- 9 this witness has been concerned with the documents. There
- are other members of SAPS I think who have been responsible 10
- for documents and have been responding to queries and so on 11
- 12 relating to documents. Is that correct, General Mpembe?
- 13 MAJOR-GENERAL MPEMBE: Correct,
- 14 Chairperson.
- 15 CHAIRPERSON: You weren't the clerk of
- the papers as far as the SAPS were concerned in this 16
- 17 matter, were you?
- 18 MAJOR-GENERAL MPEMBE: Correct,
- 19 Chairperson.
- 20 Major-General Mpembe, are MS LE ROUX:
- 21 you aware of any other documents that were produced at the
- 22 time?
- MAJOR-GENERAL MPEMBE: 23

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Not on my personal

- knowledge.
- MS LE ROUX:
- Major-General, I'd now like

- Page 12704 CHAIRPERSON: [Microphone off, inaudible]
- that as succinctly as you can because there's substance in
- Mr Ngalwana's basic objection.
 - MR NGALWANA: In fact Mr Madlanga dealt
- 5 with that issue, Chair.
- 6 CHAIRPERSON: I don't remember that Mr
- 7 Madlanga dealt with it in relation to what Mr White had
- 8 said, so I think she's only going to do it in relation to
- 9 that. I'll allow that, but not anymore than that. We've
- 10 got his CV which contains all his experience in exhibit
- HHH2, which has been extensively covered. Perhaps you may 11
- 12 wish to put a couple of short crisp points based on what Mr
- 13 White says in the light of that, but I don't know that we
- 14 need have more than that to help us -
- 15 MS LE ROUX: Chair, I don't intend to
- 16 cover what Adv Madlanga covered with respect to the Major-
- 17 General's CV. Major-General, what I want to understand is
- 18 the difference between what you learn when you undergo
- 19 training, and what you learn from experience on the job,
- and would you agree with me that what you learn from
- training would be best practice? That would be the global
- 22 best practice that the SAPS says it adheres to. That's
- 23 what you would learn when you went through a training
- 24 course, and then obviously on the job you're learning
- whatever it is that you learn from the particular operation

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Page 12705

that you are involved in. Will you agree with me that 2

there are two types of things you are going to learn?

MAJOR-GENERAL MPEMBE: 3 In training it's 4 theory; in practice it's what also you can come up with

best practice.

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MS LE ROUX: And you underwent riot control training in the 1980s and not since, and that's

been established. Correct? 8

CHAIRPERSON: - you have to put it to him as a question. It's based upon his CV. You can just put it to him as an assertion and carry on. If he disagrees with the assertion he can deal with it, but you know, to put something that's already common cause and say that's correct, I know that's a way some people like to crossexamine, but it's not really necessary. You can just make the statement and move on, and if he doesn't like the statement he can quarrel with you, but then if you've got it already in his CV, then he's going to have difficulty if

18 19

he quarrels with the statement. So I suggest you just put the statement and then move on to your point.

21 MS LE ROUX: Major-General Mpembe, do you 22 know whether best practice in Public Order Policing has

23 changed since you underwent training in the late 1980s?

24 MAJOR-GENERAL MPEMBE: Correct,

25 Chairperson, I did testify on that one.

Page 12707 happening on the day. In addition, his experience in terms

of the crowd management where he compared Belfast and South

Africa, it's a huge, huge difference.

4 MS LE ROUX: Major-General Mpembe, it's not necessary for me to debate Mr White's experience with 6 you, but I would still like an answer to my question, which 7 was you accept that best practice both internationally and as recorded in SAPS' own documents has changed since you

9 were trained in the late 1980s, and you have not received

10 formal training since that change?

MR NGALWANA: In fairness, my learned friend should point us to the SAPS prescripts that says what she alleges to the witness.

MS LE ROUX: Chair, the point is not whether there's an obligation to retrain; the point is simply he has not been retrained since SAPS and global best practice has changed. That's the very simple and the only point I'm trying to make.

MR NGALWANA: No, Chair, the premise for the question is that in SAPS' own prescripts international best practice has changed substantially. In fairness to the witness, our learned friend needs to refer to the excerpt in the SAPS prescripts on which she relies.

24 [13:59] MS LE ROUX: Chair, I had thought it was

common cause and I was trying to save some time, but I'm

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MS LE ROUX: And indeed the SAPS' own 1

2 crowd management for section members, policy document on

3 crowd management which is before the Commission as exhibit

4 FFF1, records that international best practice has changed

5 substantially since the 1980s. So my question to you,

Major-General Mpembe, is although your training remains 6

7 valid, as you testified previously, it's not up to date

with the most current idea of best practice either globally 8

9 or for the SAPS. Correct?

MAJOR-GENERAL MPEMBE: Not correct, Chairperson, and my actions in terms of the objective evidence bears evidence to that, and the approach that we adopted for Marikana, and in addition I know that Mr White has wrote a lot in terms of Marikana, but there are two

15 situations. He only based his opinion on the Regulation of

16 Gatherings Act, which brought drastic changes, and he did

17 not elaborate on the mutual agreement where the two parties

18 they had to undergo to ensure the peaceful march, and as a

19 result of that Marikana was not falling under the

Regulation of Gatherings, as we said it was not only purely

21 crowd management; it was the mix, and I have a very much

22 high respect for Mr White, but in terms of the South

African approach there is a lot that we need also to put,

because he only concentrated on the regulations of

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gatherings, but not particularly on the practical what was

Page 12708

happy to do that. In exhibit FFF1 it commences with an

2 introductory section which says, "As a result of the vast 3 socio-political changes that have occurred in South Africa

4 over the past few years, new approaches, tactics, and

5 techniques, must be developed to align the management of

6 crowds with the democratic principles of transparency and

7 accountability. Police action must also be reconciled with

8 the Bill of Human Rights and the statutory provisions

9 pertaining to crowd management. The findings of the

10 Goldstone Commission on public violence and intimidation

11 and the subsequent Regulation of Gatherings Act, No. 205

12 of 1993, were taken into consideration when this document

13 was drawn up. The emphasis has shifted from the control of

14 crowds in the past to the philosophy of crowd management

15 where fundamental rights of all citizens are taken

16 cognisance of. To put these changing circumstances into

17 perspective it's important to regard the recent history of

18 crowd control/management in South Africa. This history

19 includes the following," then there are five points that

20 are accounted. Again I'm trying to progress, so I'll skip

21 over those. It then says, "The changing circumstances

place a high premium on the fundamental rights of the

23 individual. This requires the South African Police Service

24 to change and adapt its tactics and strategies according to

the circumstances so that the SAPS, without forfeiting its

Page 12709

ability, will still be able to prevent violence effectively

- 2 during gatherings and marches. The goals of the Public
- 3 Order Police are the following." It then announces many of
- 4 those. It then announces the principles of crowd
- 5 management, situational appropriateness, optimisation,
- proportionality, then gets into details relating to the 6
- 7 preparation for crowd management operations and the like.
- So it is common cause and is recorded in SAPS' own document 8
- 9 that best practice has changed.
- 10 MR NGALWANA: No -
- 11 MS LE ROUX: To return to my question,
- Major-General Mpembe, I'm correct that you have not 12
- received formal training in the new approach? 13
- 14 MR NGALWANA: That's not correct, Chair.
- 15 There's nowhere where our learned friend has just read
- which says that international best practice have changed 16
- substantially. She needs to rephrase her question. 17
- 18 MS LE ROUX: Chair, the change in global
- 19 best practice not only comes from Mr White, it also is
- 20 recorded in the SAPS' own expert, Mr De Rover's statements,
- 21 but let me just start with the change - let me rephrase my
- 22 question so that it only relates to the change in South
- 23 African practice. Major-General Mpembe, I'm correct that
- 24 you have not received training since the late 1980s in the
- 25 new approach to crowd management to be followed by the
 - Page 12710

Not correct.

- SAPS?
- 3 Chairperson. I did testify that head office by that time

MAJOR-GENERAL MPEMBE:

- 4 immediately when, after the Goldstone Commission of Inquiry
- 5 and also the Standing Order 262 was made, and head office
- 6 went out to all the provinces to train us in terms of the
- 7 changes and bring the changes to the attention of all of
- 8 us, and in, with regard to the human rights, there were
- 9 various courses that I have undergone in terms of human
- rights courses in ensuring that human rights are being 10
- 11 upheld in SAPS, and it is also in my CV.

1 2

- 12 MS LE ROUX: Major-General Mpembe, you
- 13 haven't had any - from what I've seen on your CV I haven't
- seen any formal training on how to command a public order 14
- 15 operation of the type at Marikana with multiple units.
- 16 Have you received such training?
- 17 MS LE ROUX: Is my learned friend
- 18 suggesting that there is such training, Chair?
- 19 CHAIRPERSON: [Microphone off, inaudible]
- asking have you had such training. She's not suggesting
- 21 whether the training is available or not; she's just asking
- 22 as a fact, have you attended training. Have you had any
- special training in dealing with a situation such as there
- 24 was at Marikana where various units, Special Task Team
- 25 people, Reaction people, and National Intervention Unit and
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POP people all had to operate together to combat a problem

- such as encountered at Marikana? Have you ever attended a
- 3 course on that, received training on that?

4 MAJOR-GENERAL MPEMBE: Chairperson, with

5 regard to the functioning of different units one can say

- 6 yes, in the light of the World Cup, then how you have to
- 7 deploy the people in terms of that, but Marikana, I have
- 8 testified here that it was the first time that we have an
- 9 incident like Marikana, so -

10 CHAIRPERSON: Do you know anybody else 11 who's attended a course along the lines suggested in the

question?

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MAJOR-GENERAL MPEMBE: As I'm saying, all officers that we, at a level of deputies, those that were

responsible for the World Cup, and there were different

courses that we have undergone, and I think head office

17 will be, will bear testimony to that.

MS LE ROUX: Major-General Mpembe, the training you received for the World Cup around commanding multiple units, did you draw on that training at Marikana?

MAJOR-GENERAL MPEMBE: Not only in the

22 World Cup. I did present various crowd management that

23 poses serious problem and I did indicate that they were

24 still not the same as the one that I handled in Marikana,

which were properly being solved in terms of the experience

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- that one is having, but the one of Marikana was one of his
- own, hence I've said it was the combination, particularly
- in the sense that SAPS to solve, and that's the lesson
- 4 learned and the lessons that one has learned even before
- 5 Marikana, that it does not only rest on the police. The
- 6 other parties they must bring their side. They must be
- 7 honest in what they are doing and then the ultimate outcome
- 8 will be positive, and as I've indicated with examples that
- 9 I demonstrated to this Commission.
- 10
 - MS LE ROUX: Major-General Mpembe, have
- you ever been trained on how to disarm a crowd of people in 11
- 12 any of your training, whether for World Cup or SAPS
- 13 training? Have you ever been trained on how to disarm a 14 crowd of people?

15 MAJOR-GENERAL MPEMBE: The training that

one has undergone in 1986, and I did indicate crowd 17 formation, how to disperse, to disperse the crowd, and in

18 terms of how you also have, there's a crowd psychology in

19 terms of you will have to divide the crowd to smaller

20 groups and then you need also, then you can be able to

21 overpower them, the utilisation of the teargas, the stun

grenades, to disorientate the people and in the light of

23 that one can say yes.

> MS LE ROUX: Thank you, Major-General

Mpembe. I understand the training you've had on how to

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Page 12713 disperse and manage the crowd, but my question was have you had training specifically on disarming a crowd of people, 2 3 not dispersing them, disarming them? 4 MAJOR-GENERAL MPEMBE: Chairperson, my

5 answer still remains the same in the sense that you cannot disarm the people if you don't disperse them. 6

7 CHAIRPERSON: The question is based on the premise that even if you disperse them, does that 8 9 necessarily help you to disarm them?

MAJOR-GENERAL MPEMBE:

MAJOR-GENERAL MPEMBE:

Chairperson, in the sense that if you isolate them and you concentrate to those that have got arms and then you can be able to overpower them in terms of the resources that you do have.

Correct,

Chairperson, I did

MS LE ROUX: Major-General Mpembe, when were you trained in that aspect? You said disperse them, smaller group, and then overpower them to disarm them. When were you trained as to how to do that?

20 testify that in 1986 and when we were doing the crowd 21 management, crowd dispersal approach and taking into

22 account the new legislation, that when the new legislation

23 was enacted and it was brought, the most bigger change, it

24 was the communication, persuasion, mediation, that was

25 brought in terms of the new act, and then the dispersal,

Page 12714

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outcome.

1 and I did indicate that there were no much fundamental

changes in terms of the training that was done by then and 2

3 the training that is being done now at the college, but the

4 most emphasis in the crowd is now crowd management.

5 Chairperson, in addition, all what I've said now in terms

of communication, persuasion, mediation, negotiation, they 6

were, that approach was definitely employed in Marikana, 7

which in all instances that it has happened, it was 8

9 initiated by the police.

> MS LE ROUX: Major-General, I understand your testimony generally about the approach to disarming, but my question still, it's a yes or no question, have you received training in how to disarm a crowd, specifically how to disarm a crowd? Not disperse them or manage them or mediate with them or negotiate with them and persuade them, but you talked about how you disarmed through overpowering a smaller group of people. Have you received training in how to do that?

19 MAJOR-GENERAL MPEMBE: Chairperson, my answer will be yes, on the basis of the curriculum content 21 presented to me in 1986. The combination of it is what was presented to me when Regulation of Gatherings was brought

MS LE ROUX: And what was that training?

25 How were you trained to disarm a crowd of people?

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MAJOR-GENERAL MPEMBE: Disperse the crowd and in the process of dispersing the crowd, make sure that the crowd is being isolated and managed in a small manner of people. Then you utilise the equipment that you can 5 utilise there, it's the teargas and it's the stun grenade 6 to disorientate them, utilise the Nyalas in terms of making 7 sure that the crowd can be overpowered, and then in terms 8 of that communication is important to tell the, now those 9 people, if you have separated them from the bigger crowd, 10 obviously you have taken away what we call a crowd 11 psychology, or mob psychology, and individuals start to 12 think for themselves and they will hand themselves over to 13 you, or they will drop their weapons and in that process 14 then you disarm them. Chairperson, I need also to state 15 that in Marikana there were different units specifically also meant for that purpose, and which were, undergone many 17 other training in terms of that and that's -18 MS LE ROUX: Which other units are you 19 referring to that have specifically been trained in 20 disarming crowds? 21 MAJOR-GENERAL MPEMBE: It was testified 22 with the roles of the POP, the role of the STF, and also National Intervention Unit. Now the combination, the

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MS LE ROUX: As the overall commander at 1 Marikana, how did you plan the disarming of the crowd? How 3 did you think the disarming of the crowd would take place

combination of those units should bring about the required

if the plan had proceeded as planned?

5 [14:19] MR NGALWANA: Chair, evidence has been given that this was never a one-person job, so the premise 6 7 for the question is inaccurate. 8

CHAIRPERSON: Well, she's entitled to ask what role you played in the planning, what you planned. I don't think the objection to the question - I don't know whether the answer can help us very much, but carry on. MR NGALWANA: That's not what she asked -

CHAIRPERSON: The point Mr Ngalwana made was that a number of people had been involved in the plan, but you're asking effectively, I think, what his role was or inputs were in that regard. Is that right? If that's

17 what you intended, I suggest you frame it that way.

18 MS LE ROUX: Okay, let me reformulate. 19 Major-General Mpembe, how was the crowd of people at the 20 koppie to be disarmed?

21 CHAIRPERSON: I'm sorry, we've been there 22 - this was extensively dealt with in General Annandale's 23 evidence what the plan was. I understand the Major-General 24 was the overall commander, but it's clear that he had these other people assisting him. There was an exercise which

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Page 12717 Page 12719 allegedly various inputs were made by various people, so I referring to? 2 can understand you asking him what input he made and what 2 MAJOR-GENERAL MPEMBE: There are many 3 his approach was, but if the general question you've asked techniques that, techniques in terms of arrests with regard 4 is calculated to elicit the same answers that we got many to the, to equipment that they had, and it also depends in 5 times over before, they aren't going to contribute to our 5 terms of what dangerous weapons that those members they work really, are they? 6 could have, but not taking away any discretion of the 6 7 MS LE ROUX: 7 members, but overpowering them and with the resources that Chair, let me reformulate 8 8 again. Major-General Mpembe, I'm not asking you about the they had, that could have happened. 9 9 planning process. I'm asking for your understanding, if MS LE ROUX: Major-General, let me move 10 the plan had been executed, I understand that you intended 10 on. There were two water cannons deployed for use at 11 to disperse the people on the koppie into smaller more 11 Marikana on the 16th, correct? 12 manageable groups. You then intended to disarm them. Very 12 MAJOR-GENERAL MPEMBE: Correct. 13 practically, what did you expect of your members on the 13 Chairperson. 14 14 day; how were they to disarm these smaller groups of MS LE ROUX: And am I correct that the 15 people? 15 water cannon is one of the most important less lethal means 16 MAJOR-GENERAL MPEMBE: Starting first of force available to the SAPS in a crowd management 17 situation? 17 from the dispersal, which I did explain -18 MS LE ROUX: Major-General, can I just 18 MAJOR-GENERAL MPEMBE: One of them. 19 19 get you to focus? Assume the dispersal has happened. MS LE ROUX: Yes, one of them. Major-General, whose decision was it to have two water cannons 20 They've been dispersed. People are now in smaller groups. 20 21 Assume that you've used your disorientation devices; stun 21 available on the 16th? 22 MAJOR-GENERAL MPEMBE: 22 grenades have been used, people are now disoriented, Not only two, but 23 they're in their smaller groups. How were they to be 23 they were needed but we only have two. 24 24 disarmed? CHAIRPERSON: No, the question is, who 25 MAJOR-GENERAL MPEMBE: decided we must have water cannons, send for the water The people will Page 12718 Page 12720 have been isolated and utilising the Nyalas that were 1 cannons, let them come to Marikana? Who made that available in the group of, as I have testified in, from the 2 decision? 2 3 group of five and to 10, and they would have been requested 3 MAJOR-GENERAL MPEMBE: Chairperson, 4 by those units and also to disarm them. Others they could 4 normally it's the decision of both the overall and 5 obviously throw their weapons down, and more so we were not operational commander and in this case it's myself and 6 aiming at everybody, only those that were carrying weapons. 6 Brigadier Calitz. 7 So that could have happened. 7 CHAIRPERSON: You and Brigadier Calitz MS LE ROUX: 8 8 Major-General Mpembe, I decided we need water cannons? 9 9 still don't understand practically what would happen; how MAJOR-GENERAL MPEMBE: Yes, but not -10 10 would your members disarm the people who decided to keep CHAIRPERSON: So you sent -11 their weapons? They're in their smaller group. They've 11 MAJOR-GENERAL MPEMBE: But not two. 12 12 been disoriented, but they're still holding on to their CHAIRPERSON: You sent for water cannons? 13 13 panga, their spear, their knobkierie, their iron rod. Your MAJOR-GENERAL MPEMBE: Ves 14 members are in the Nyalas, or where are they, and very 14 And how many did you get? CHAIRPERSON: 15 15 practically, what would you expect them to do to disarm MAJOR-GENERAL MPEMBE: Two. that person? 16 16 CHAIRPERSON: You wanted more; you only 17 MAJOR-GENERAL MPEMBE: It could have been 17 got two. Is that -18 in the same way that as I have explained. Once you divide 18 MAJOR-GENERAL MPEMBE: Yes, that's right, 19 the people in smaller groups you overpower them. 19 Chairperson. 20 MS LE ROUX: And by overpower, what do 20 MS LE ROUX: Major-General, how many 21 you mean? 21 water cannons did you want? How many did you ask for? 22 MAJOR-GENERAL MPEMBE: More members with MAJOR-GENERAL MPEMBE: I actually said as 22 different techniques approaching a smaller group, and 23 many water cannons as we can have that they were there in 24 disarming the protesters. 24 the SAPS. MS LE ROUX: And what techniques are you 25 MS LE ROUX: And why did you want as many

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Page 12721
                                                                                                                             Page 12723
    water cannons as SAPS has?
                                                                          Roux, but don't test this too far into the conjectural area
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           MAJOR-GENERAL MPEMBE:
                                           Chairperson, it's
                                                                          because Mr Ngalwana is right in saying that the further we
    obvious that when groups are, when you disperse the groups,
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                                                                          get into conjecture, the less helpful the answers will be.
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    they were regrouping somewhere. So the utilisation of the
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                                                                                 MS LE ROUX:
                                                                                                     Thank you, Chair. So Major-
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    water cannon was also one of those reasons.
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                                                                          General, knowing how many people you had to deal with on
           MS LE ROUX:
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                              Major-General, you said
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                                                                          the 16th, so using what you know today, how many water
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    you'd like as many as they had. How many - can you give us
                                                                          cannons would you have wanted on the 16th?
    a number how many you thought would have been enough? How
                                                                     8
                                                                                 MAJOR-GENERAL MPEMBE:
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                                                                                                                   Chairperson, I've
    many water cannons would have been enough on the 16th?
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                                                                          already said that on the 16th itself I said as many as I can
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           MAJOR-GENERAL MPEMBE:
                                           Chairperson, I've
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                                                                          that head office could give me, and that's what I said and
    already said that the number that SAPS could avail to
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                                                                     11
                                                                          I also having to think that others could be on the reserve,
    myself, because in terms of the planning of that size you
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                                                                          so maybe then with the hindsight, because I've already said
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    need also to put the other one in the reserve force.
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                                                                          that I wanted as many as head office can give.
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           MS LE ROUX:
                              Major-General, let's use
                                                                                 MS LE ROUX:
                                                                                                     Major-General, I must
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    hindsight. You know how many people you had to deal with
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                                                                          persist in that. You've been -
    on the 16th. How many water cannons would you have liked on
                                                                     16
                                                                                 CHAIRPERSON:
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                                                                                                       Can I ask a question on
    the 16th?
                                                                          this point? Now I take it that you know that head office
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           MR NGALWANA:
                                 Objection, Chair. You
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                                                                          haven't got 200 water cannons standing by, waiting to be
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    can't ask the witness to use hindsight.
                                                                     19
                                                                          sent to Marikana. Presumably they had a reasonable number,
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           CHAIRPERSON:
                                I've never been aware of
                                                                     20
                                                                          but not excessive. Now how many did you expect them to
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                                                                          send you, if they could send all the available ones? You
    legal authority for the proposition that you can't ask a
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22
    witness that.
                                                                     22
                                                                          must have had some kind of idea at the back of your head as
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           MR NGALWANA:
                                                                     23
                                                                          to how many you thought you might get. Can you tell us
                                 There is, I'll look -
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           CHAIRPERSON:
                                Have you got a case, cases
                                                                     24
                                                                          that?
                                                                     25
25
    that say that?
                                                                                 MAJOR-GENERAL MPEMBE:
                                                                                                                   Chairperson,
                                                                                                                             Page 12724
                                                        Page 12722
                                                                          answering from the hindsight, as you say, because at that
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            MR NGALWANA:
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become too much -

I'll look it up. It was a 2 Supreme Court of Appeal judgment. I'll look it up, Chair. 3 CHAIRPERSON: Yes, that applies to strict 4 rules of evidence. Are they binding on this Commission? 5 MR NGALWANA: You asked me for authority, Chair. If you're going to take it seriously then I will 6 7 look for it. 8 CHAIRPERSON: No, it's -9 MR NGALWANA: I know it does exist. 10 CHAIRPERSON: Hindsight I discussed with General Annandale. Hindsight is helpful because it enables 11 12 you to discover what went wrong and it enables you, moves 13 you on to the next question as to what, the things that went wrong that you didn't foresee were perhaps 14 15 foreseeable. So I don't think questions based on hindsight 16 are inappropriate. I understand they can get out of hand 17 if there are too many asked, but I think this one is 18 alright, so we'll carry on with this, if you don't mind. 19 MR NGALWANA: May I just say this as a cautionary measure, Chair, that hindsight questions do turn 21 on the conjectural, and the Chairperson has already ruled on the conjectural issue, but I'm not going to press the 22 23 point. CHAIRPERSON: - may have to revise that

25 ruling if it says what you said it says. Carry on, Ms Le

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time I know that I had General Annandale who was there, who could be able to tell me whether they were available or not, and as I've said, if I could have another two which I can put on the what, on the reserve -CHAIRPERSON: You had to deal with 3 000 people, so I can imagine four water cannons could usefully have been deployed to deal with the 3 000 people. Is that correct? MAJOR-GENERAL MPEMBE: With the benefit of the hindsight, yes, Chairperson. CHAIRPERSON: I mean you could have even managed with six, I should imagine. That's right? MAJOR-GENERAL MPEMBE: Chairperson, as I've said, it depends on the situation and where you could use it. MS LE ROUX: And Major-General, I don't want to detain us too long on something we've touched on, but can you explain to the Commission why you wanted water cannons on the 16th? What role were they going to play in your plan? MAJOR-GENERAL MPEMBE: Chairperson, the

water cannon has got various advantages, advantages in

terms of if you utilise it members can get scared and they

Page 12725 Page 12727 I don't think you mean CHAIRPERSON: evidence that the water cannons used at Marikana had some 1 members, I think you mean strikers. fluid which would have that effect, would it? But it could 2 3 MAJOR-GENERAL MPEMBE: Thanks, be done, but it wasn't done at Marikana. 4 Chairperson, and in the process some of them, also with the 4 MAJOR-GENERAL MPEMBE: Correct, 5 benefit of the hindsight, as I'm answering the question, Chairperson. That was, as I'm saying, that was what we had some of them you could even see whether they are being 6 6 at, in mind at that time, but we couldn't have done it 7 7 marked and you can be able to arrest them at a later stage, because it's not yet been done. and that is what I had in mind by then, and then the other 8 8 MS LE ROUX: Major-General, when did you 9 9 two that I was speaking about is when these others' water request the water cannons? 10 is finished, then we can push the other two in, but what is 10 MAJOR-GENERAL MPEMBE: Chairperson, I don't know precisely, but Brigadier Calitz can know. One 11 more important is that you could be able to flush them in a 11 12 water cannon is already in the province, but I can find out 12 manner that you could actually disperse them, and it 13 when precisely the water cannons were requested, but it was 13 encourages the dispersal process. 14 before the 16th. 14 CHAIRPERSON: You're using the word 15 "flush" both literally and metaphorically? [14:39] MS LE ROUX: Thank you, Major-General, if you could establish when they were requested, and of whom 16 MAJOR-GENERAL MPEMBE: Yes, Chairperson, were they requested? Who did you ask for the water 17 I just wanted to maybe clarify the word "flush," or maybe 18 you've already clarified cannons? 19 19 CHAIRPERSON: I know what you meant by MAJOR-GENERAL MPEMBE: The provincial JOC 20 "flush." 20 will request the NATJOC, so who in the NATJOC I still have 21 MAJOR-GENERAL MPEMBE: Okay, Chairperson. to establish, but the provincial JOC, in that case I could 21 22 CHAIRPERSON: To physically drive them 22 say Brigadier Calitz with certainty, but who received the request at the NATJOC - NATJOC is the national JOC, because 23 away. 23 24 MAJOR-GENERAL MPEMBE: Yes. 24 we actually work from one JOC to the other - I can 25 CHAIRPERSON: establish that. And also metaphorically Page 12728 Page 12726 sort of push them away, as you'd use the word "flush" MS LE ROUX: Thank you, Major-General, if 1 you could follow up on those two enquiries. Do you know 2 metaphorically and literally. 3 MAJOR-GENERAL MPEMBE: Thanks, 3 when -4 Chairperson. 4 CHAIRPERSON: Sorry, Ms Le Roux, if I may 5 CHAIRPERSON: Here you were doing both at make a point that I think is relevant not just only in this the same time. context, but generally; it's not necessary to ask a witness 6 7 MAJOR-GENERAL MPEMBE: 7 Yes, Chairperson, to make enquiries and come back with the answer. You can 8 particularly when I'm talking to Human Rights Commission. send a query to the attorney acting for the SAPS if it's 9 CHAIRPERSON: It's not very lethal. just information that you want, and the information I'm 10 MAJOR-GENERAL MPEMBE: 10 sure will be provided. I can understand in certain Chairperson -CHAIRPERSON: 11 It's not very lethal. It's 11 circumstances you want the witness to investigate, do unpleasant to have a column of water directed at you, so if something, but it doesn't sound as if it's necessary here. 12 13 you had that happen to you, you might well want to turn 13 I'm sure - the police attorney is nodding his head, he will 14 away and allow yourself to be dispersed. That's the first 14 get that information for you and as soon as he gets it, 15 point, and as you say it was coloured water, then the 15 he'll pass it on to you. You don't have to give this persons are identified and it's easy to arrest them 16 witness homework; he's here to give evidence, not to pick 17 afterwards. 17 up homework assignments as well. 18 MAJOR-GENERAL MPEMBE: Yes, Chairperson, 18 MS LE ROUX: Thank you, Chair, I'll take 19 and the other reason which of course I understand Majorit up with my colleague for the SAPS. Major-General, do General Annandale said that they are still undergoing, 20 you know when the two water cannons arrived in Marikana? 20 21 looking at it, but also at that time when we were busy with 21 MAJOR-GENERAL MPEMBE: No, Chairperson. 22 the World Cup it was that you could pour something that 22 MS LE ROUX: Chair, I'll make a request could irritate the protesters and then when they get 23 of the SAPS team to find that out. Major-General, when you 24 irritated, then they can -24 requested the water cannons, am I correct that you assumed CHAIRPERSON: I don't think there's any they would come with people adequately trained to operate

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Page 12729 Page 12731 them? controls the pressure system on the cannon and," a word 1 2 MAJOR-GENERAL MPEMBE: Correct. which I can't make out, "and so forth. I also covered a 3 Chairperson. brief discussion on the recording system hard drive, due to 4 MS LE ROUX: But with respect to the there being no computer system or software to remove 5 5 information from the hard drive in the SAP. I then drivers of the, what's known as the Johannesburg water cannon, they only received a two-hour crash course two 6 informed them about the safety measures that must be kept 6 7 by when using the water cannon, if need may arise. This 7 years ago and that is why we see for example in the transcript of the Ryland video that their erratic driving 8 entailed not shooting anybody less than 30 metres, no 8 9 9 is cause for some concern. Did you know that the operators direct shots at people." Then critically at paragraph 7, 10 of the Johannesburg water cannon had only received a two-"The training (crash course) from beginning to end took 11 hour crash course two years ago and didn't know how to 11 approximately two hours due to time restraint. I also 12 informed members that this was not official course and that 12 operate the water cannon properly? 13 MAJOR-GENERAL MPEMBE: Chairperson, I did 13 they would have to attend one when available at Benoni SAP 14 14 not know. Mechanical School, but none have come to light as far as my 15 MS LE ROUX: Chair, if I could introduce 15 knowledge knows." So Major-General Mpembe, you didn't have as a new exhibit the statement by Cedric Leon Fourie? any personal knowledge of whether the operators of the 16 17 water cannon were adequately trained, did you? 17 CHAIRPERSON: Is that one of the 18 documents we got this morning, or one that we were given 18 MR NGALWANA: This question has been 19 last week? 19 asked and answered. 20 MS LE ROUX: No, Chair, on Friday. 20 COMMISSIONER HEMRAJ: Ms Le Roux, this 21 That's the first document 21 statement in paragraph 2 says that this training took place CHAIRPERSON: 22 22 a year and a half ago. in the bundle that you gave us. You want this to be an 23 exhibit? 23 MS LE ROUX: That is correct, 24 24 MS LE ROUX: Yes, Chair. Commissioner. 25 25 CHAIRPERSON: COMMISSIONER HEMRAJ: HHH35, statement - oh Your question to Page 12730 Page 12732 sorry, I beg your pardon, we had those photographs. I beg the General is whether he knows that thereafter, whether 1 your pardon. 37, statement of Warrant-Officer Fourie. the operators of the water cannon received further 2 3 COMMISSIONER HEMRAJ: That statement 3 training. Is that the question, after this incident, one 4 relates only to the two hours of training that they were and a half years ago, prior to the statement being made? 5 5 given on a particular day in 2012. It says nothing about MS LE ROUX: No, my question is did whether they were received training thereafter. Major-General Mpembe know whether the operators of the 6 6 7 7 MS LE ROUX: Correct, Chair, through you, water cannon had received official satisfactory training on 8 8 Chair, we don't have any other information at present how to operate the water cannon, or with respect to the 9 9 Johannesburg water cannon, only this two-hour crash course? around training of the water cannon operators. Of the 10 Johannesburg water cannon we do have the Fourie statement 10 MAJOR-GENERAL MPEMBE: Chairperson, I in that regard. Chair, I don't mean to detain us with the have already said that I did not know. I am not 11 11 12 Major-General going through the Fourie statement, other 12 responsible for training and I'm not responsible for the 13 13 than to highlight for the record that in paragraph 5 it's issuing of equipment, nor procurement process. I'm only 14 recorded that, "The following areas were covered in the 14 the end-user. 15 15 MS LE ROUX: basic training; filling the water cannon from hydrant, the Major-General, who would

22 how to add water, water and dye, and all three together, requesting resources. Every time when a particular the self-defence system and the protection system on the 23 resource has been issued there are people that are supposed 24 water cannon." On the second page he states, "I covered 24 to be trained. So it's obvious that those that have been 25 the on-screen system and the driver's control panel, which trained will use that. It's not one decision; it's given, ARCHIVE FOR JUSTICE

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Who decides that?

decide who would operate the water cannon on the 16th of

would be operated by the particular people that did that?

when I was testifying about the call-up when you are

MAJOR-GENERAL MPEMBE:

August? Whose decision was it that those two water cannons

I did explain that

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main control panel, which was then start up and shut down

of the water cannon, operation of the water cannon control

panel, the selection between the various pressure modes,

cannon if the calibration was not successful, manual mode,

the selection between the various pulse modes, the

calibration of the water cannon, how to use the water

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- it's there in the policy. As I've said, I cannot put any
- 2 person whom I don't know who's been trained, but the
- 3 training division or the commanders of where the water
- 4 cannon will come will decide who operates the water cannon,
- those that have taken a course. It's not an overall 5
- 6 commander's decision, not an operational commander's
- 7 decision.
- 8 MS LE ROUX: Major-General, if it's true
- 9 that the two operators of the Johannesburg water cannon
- received no further training other than this two-hour crash 10
- course, and they were deployed to be in charge of what you 11
- 12 said is an important, less lethal technique that's
- 13 available to you -
- 14 MR NGALWANA: Chair -
- 15 MS LE ROUX: - do you have any comment on
- 16 that?
- 17 MR NGALWANA: Chair, what is the basis
- 18 for that statement? Because the statement that our learned
- 19 friend has just referred to nowhere says that was the only
- 20 training that has been -
- 21 MS LE ROUX: Chair, I've asked him to
- 22 assume -
- 23 CHAIRPERSON: Here's a statement that's
- 24 been obtained, taken by the police, and I take it, it was
- 25 on the police hard drive, was it? So presumably someone
 - Page 12734

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- has been asked to find out when Warrant-Officer Dicks and
- 2 Warrant-Officer Kruger received their water cannon
- 3 training. So a statement was obtained and it's been part
- 4 of the police hard drive. I take it one can assume at
- 5 least for the purposes of the assumption that Ms Le Roux
- 6 wants us to make that if there was any other training these
- 7 people would have got, then we'd have had another statement
- relating to the other training they got. So in the absence 8
- 9 of –

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- 10 MR NGALWANA: No, with respect -
- 11 CHAIRPERSON: No, surely -
- 12 MR NGALWANA: With respect not, Chair.
- 13 CHAIRPERSON: Why would the police have
- 14 given us a statement in respect of the training, of one
- 15 part of the training of these people and no statement about
- any other training if there was training? 16
- 17 MR CHASKALSON SC: Mr Chairperson,
- 18 perhaps if I can help my learned friend here. The
- statement wasn't on the police hard drive. When we were
- investigating the absence of video camera footage from the
- 21 SAPS at a time when there wasn't video camera footage from
- 22 either water cannon that had been made available, we asked
- for certain statements relating to that, and why this

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- 24 statement was furnished is that we were informed that I
- forget now whether it was Johannesburg or North West water

- cannon operators, I think it was North West, had not been
- trained in the use of the water cannon and it was in that
- context that this batch of statements were furnished to us.
- So it may not be as the focus of our enquiry was in
- relation to video, it wasn't in relation to water cannons
- 6 generally.
- 7 CHAIRPERSON: No, the point I made was
- 8 that if the police had been asked for information about the 9
- training of these water cannon operators, and a statement
- 10 is produced dealing with Messrs Dicks and Kruger, who I
- 11 take it are the two involved, and no other statement is
- 12 produced, then it may not be absolutely clear that that's
- 13 the only training they got, but it's a fair assumption that
- 14 if there had been the police would have provided another
- 15 statement from somebody else who gave them extra training.
- So for the purposes of the assumption and it's no more
- 17 than that - that Ms Le Roux is making, I think it's
- something that I will allow her to ask.
- 19 MR NGALWANA: But Chair, the assumption
- 20 she's making is that the training was about how to drive a
- 21 water cannon and do other things. As we're understanding
- the statement was taken purely for purposes of ascertaining 22
- 23 whether these persons could operate a video camera that was
- 24 mounted on these things.
 - CHAIRPERSON: Yes, I know, but I suggest

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- you read paragraph 5, you'll see what the thrust of the
- statement taking was about. Please carry on, Ms Le Roux.
- 3 MS LE ROUX: Major-General, let me repeat
- the question. I want you to assume that these two water
- cannon operators received no further training other than
- what's set out in the statement by Fourie, and ask you if
- 7 you have any comment on that lack of training, lack of
- 8 further training -
 - - CHAIRPERSON: I'm sorry, Ms Le Roux, I
- don't understand where you're going to. He said he doesn't know what training they got. He says he ordered some water 11
- 12 cannons and he got water cannons and operators. He
- 13 assumed, as any reasonable Major-General would have done, 14 that the water cannons he was sent and the water cannon
- 15 operators he was sent were in working order and with the
- 16 necessary training and so on. Now if this is all the
- 17 training they got, it's an inference, I take it, that can
- 18 easily be drawn by anybody; either they were properly
- 19 trained, or they weren't. I'm not sure he's got any
- expertise as to what amount of training a water cannon
- 21 operator needs, and in any event, never mind the training;
- 22 if they'd been working at it for a year and a half I think
- 23 it was, one would expected of them to have picked up some
- 24 expertise on the job if they were still there, operating
- the water cannons. So really, is this going to help us?

Page 12737 MS LE ROUX: Thank you, Chair. Major-1 General, let me reformulate my question. What is your view 2 3 of how the water cannons performed on the 16th at Marikana? 4 CHAIRPERSON: Were you present when the 5 water cannons performed, or failed to perform? MAJOR-GENERAL MPEMBE: 6 No, Chairperson, I 7 was not there. 8 CHAIRPERSON: Have you got any, based on 9 what you saw yourself, experience and so forth, is there any comment you can make about the way the water cannons 10 operated? 11 12 MAJOR-GENERAL MPEMBE: No, Chairperson. 13 MS LE ROUX: Major-General, when you were 14 up in the helicopter, did you observe how the water cannons 15 were being used on the 16th at Marikana? MAJOR-GENERAL MPEMBE: Correct. 16 17 Chairperson. 18 MS LE ROUX: And what did you observe 19 from the helicopter of the water cannons? 20 MAJOR-GENERAL MPEMBE: I saw when they were pouring water. 21 CHAIRPERSON: 22 Could you see anything from 23 the helicopter that we couldn't see on the videos that were 24 taken, showing the water cannons in operation? You've seen 25 the videos which show the water cannons approaching scene Page 12738

yours, correct? That was the Provincial Commissioner. 2 MAJOR-GENERAL MPEMBE: No, Chairperson. 3 CHAIRPERSON: [Microphone off, inaudible] 4 incorrectly formulated and my recollection is - I'm looking 5 for it in exhibit L. My recollection is that the 6 Provincial Commissioner gave certain instructions which are 7 set out, summarised in slide 44 of exhibit L and they're 8 also in the occurrence book, and they included that the 9 dangerous weapons had to be confiscated and the meeting had 10 to be dispersed. But did the instruction include the 11 identification of the place where the disarming was to take 12 place? Your question was the decision to disarm them at 13 the railway line, that was a decision of the Provincial 14 Commissioner. My understanding is she gave certain instructions, which you see in slide 44 and in the occurrence book, but she never identified the place, as I 17 understand it, as being the railway line. Am I correct? 18 MS LE ROUX: With respect, no, Chair. If I could ask you to turn to exhibit GGG5, which is the 19 20 statement by the Provincial Commissioner, GGG5, and 21 specifically paragraph 11, for the record that paragraph 22 states, "During the abovementioned briefing (JOC) we were 23 shown live footage of a group of men who appears to be 24 armed with inter alia knobkieries, spears, and pangas, next to a railway line on their way in the direction of Nkaneng

2, and I think in one case there's ordinary water, another one there was coloured water being sprayed on people on the

3 koppie. Did you see anything apart from what we saw on the

4 videos?

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5 MAJOR-GENERAL MPEMBE: No, Chairperson, that's exactly what I'm saying. I only saw when they were 6

pouring water.

CHAIRPERSON: 8 Ms Le Roux, would it be 9 convenient if we took the tea adjournment now? We'll take 10 the tea adjournment.

11 [COMMISSION ADJOURNS COMMISSION RESUMES] 12 [15:14] CHAIRPERSON: The Commission resumes.

13 Major-General, you're still under oath.

14 WILLIAM MPEMBE: s.u.o.

15 CHAIRPERSON: Ms Le Roux.

CROSS-EXAMINATION BY MS LE ROUX (CONTD.): 16

17 Thank you, Chair. Major-General, I want to move to a new

18 point which the point hasn't been put to you, but I'm going

19 to preface it for you with the aspects that have been

covered already in cross-examination, and I don't want to

repeat those. It relates to the decision to move to stage

22 3 on the 16th. You've testified that it was your decision,

and I'm not covering that. What I'd like to ask you about

24 though is if I can take you to the 13th, the decision to

disarm the protesters at the railway line, that was not

Page 12740 informal settlement. I gave Mpembe instructions to attend

2 to the grouping identified in the footage and made an entry

3 in the occurrence book in the following terms: Gatherings

4 had to be dispersed, dangerous weapons had to be

5 confiscated, persons identified from mine footage who were

6 involved in criminal activities had to be arrested,

7 deployment to key strategic areas had to be enhanced and

8 members of the police had to act professionally and ensure

9 that peace prevailed." And then in paragraph 12, "Having

10 given the instruction to Mpembe and made the occurrence

11 book entry as aforementioned, I left for Potchefstroom."

12 CHAIRPERSON: Which demonstrates that the 13 point that I put to you is correct. It doesn't say that

14 she said that the dispersal of the gathering, 11.1.1, and

15 the confiscation of the dangerous weapons, 11.1.2, had to

16 take place at the railway line. The instruction simply was

17 those people whom we see on the footage, they must be

18 disarmed. Their gathering must be dispersed and they must

19 be disarmed. But they were at the railway line when they

20 were seen in the live footage, but non constat that the

21 order had to be executed at that spot. So I don't think

it's a serious point, but I suggest you redraft the 22

23 question so that it's accurate. The question really is the

24 decision to disarm the protesters who were coming back from

the Karee Mine and in respect of whom there was live

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Page 12741 Page 12743 footage which you saw, the decision to disarm them was a MS LE ROUX: Why is that not correct? 1 2 decision of the Provincial Commissioner, but it wasn't MR NGALWANA: Overall control of what, 2 Chairperson? Is she talking about the operation or is she 3 confined to disarming at a particular place. If you talking about the hierarchy of the police? I don't 4 phrased the question that way I don't think there will be a 5 problem. understand the question. 6 CHAIRPERSON: 6 MS LE ROUX: Major-General Mpembe, it's I think the question can be 7 7 formulated more clearly. I think Mr Ngalwana is right. correct that it was the Provincial Commissioner's decision that the group seen on the CCTV footage were to be 8 [Microphone off, inaudible] can make it clear what exactly 8 9 9 dispersed and their dangerous weapons confiscated, correct? you mean by overall command - overall command of what? I'm 10 MAJOR-GENERAL MPEMBE: sure you can reformulate the question in a way which It was one of the 11 11 achieves what you want to achieve, but meets the legitimate instructions, correct. 12 objection raised by Mr Ngalwana. 12 MS LE ROUX: And then Major-General 13 MS LE ROUX: 13 Mpembe, if I could ask you to turn to your supplementary Major-General, let me take 14 statement, which is HHH3, do you have that document? you back to the Provincial Commissioner's instruction on 15 MAJOR-GENERAL MPEMBE: the 13th. You were the overall commander on the 13th, 16 correct? 16 Chairperson. 17 MR NGALWANA: Chair, may I make another 17 MS LE ROUX: And at paragraph 8 and the 18 second bullet point of paragraph 8, you note that you had correction? I think during evidence-in-chief the witness 19 concerns that there was no plan on how the disarmament amended the word "instruction" and said it was in fact a 20 would happen beyond the request by the Provincial request. If necessary I could refer to a paragraph, 21 Commissioner. So you had a concern that there wasn't a 21 paragraph 7 of HHH3. 22 22 MS LE ROUX: plan, but you went anyway because the Provincial Chair, I'm going to persist 23 Commissioner had instructed you to attend to the group, 23 and use the word "instruction" because that's what the 24 correct? 24 Provincial Commissioner uses in her statement, which hasn't 25 MAJOR-GENERAL MPEMBE: Not correct. yet been amended before this Commission. Page 12742 Page 12744 Chairperson. CHAIRPERSON: You can ask the Provincial 1 2 Commissioner about it, but I mean surely this witness MS LE ROUX: Major-General, why is that 3 not correct? 3 changed it in his statement. So -4 MAJOR-GENERAL MPEMBE: It is not correct 4 MS LE ROUX: Major-General Mpembe, you 5 to say it was the Provincial Commissioner say disperse 5 were the overall commander on the 13th, correct? 6 MAJOR-GENERAL MPEMBE: 6 without a plan. My reasons to go there personally and Chairperson, 7 7 disperse, I have highlighted them in terms of the emergency according to my statement GGG12, paragraph 7 on page 3, I 8 and the spontaneous, that it was a spontaneous event, and 8 did stipulate the JOC structure. That was not only from 9 9 that it is a duty of the police, particularly on that day the 13th, but until the 16th, but from the 13th. 10 10 the senior management of the province was called at Lonmin MS LE ROUX: But Major-General, when the 11 to ensure the visibility in the area and to ensure that Provincial Commissioner made a request of you, you did what 11 12 what has happened on the weekend do not happen again, 12 she requested, correct? 13 13 constitutionally so, correct. MAJOR-GENERAL MPEMBE: Correct, 14 MS LE ROUX: But Major-General, I'm 14 Chairperson. 15 correct that the instruction you received from the 15 CHAIRPERSON: It appears to have been Provincial Commissioner is why you left to go and attend to treated as an instruction before you amended your 17 that group then and there? 17 statement, because in exhibit L, slide 44, the word 18 MAJOR-GENERAL MPEMBE: It is correct, but 18 "instructed" is used. So it sounds as if the people at 19 not only that one. There were also others that I should 19 Potchefstroom who were responsible for drafting exhibit L 20 have carried out. 20 came away with the clear understanding that that was an Then Major-General, with 21 MS LE ROUX: instruction. It may be that in retrospect, with hindsight respect to the overall control, that was shared between the you see it as a request, but at the time it seems to have 22 Major-Generals and the Provincial Commissioner, correct? 23 23 been interpreted as being an instruction. 24 MAJOR-GENERAL MPEMBE: Not correct, 24 MAJOR-GENERAL MPEMBE: I would agree with

that, Chairperson, but myself at that time, knowing that

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1 one will have to deal with a complex situation whereby I

- 2 have also to utilise my own discretion, that's why I took
- 3 it as a request.
- 4 MS LE ROUX: Major-General, the
- 5 Commission has received evidence about threats to your life
- 6 by members on the 13th after the events that took place on
- 7 the 13th. In light of those threats by members, did you
- 8 discuss with the other Major-Generals or with the
- 9 Provincial Commissioner whether your overall command should
- 10 be shared or given to somebody else in light of the threats
- 11 against your life by members?
- 12 MAJOR-GENERAL MPEMBE: Chairperson, I did
- 13 testify that when I was warned by Lieutenant-Colonel
- 14 Vermaak, he didn't tell me who is actually threatening my
- 5 life, and he did not tell me when are they wanting to take
- 16 it, but my understanding was that it was at the scene,
- 17 which I did comply with his request to say, "General, you
- 18 have to leave now. Enter into the Nyala and you go," and I
- 19 did testify to say that I will just leave immediately after
- 20 I've handed the scene to Brigadier Van Zyl and Calitz, and
- 21 that they should also ensure that IPID is in, and that did
- 22 happen. In the light of me having handled the situation of
- 23 the anger of the members and nothing happened, I couldn't
- 24 establish how imminent is the threat. Whether a threat did
- 25 exist, I don't know. The only time when I was informed
 - Page 12746

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- about the members who were threatening my life, it is when
- 2 I was in Potchefstroom. As a Major-General in the police
- 3 and in the circumstances like that, I did discuss it with
- 4 my Provincial Commissioner; in the light of what I've
- 5 discussed now, I couldn't see any reason why I couldn't
- 6 continue, but however if I was removed, I could go, but as
- 7 I have explained before, like any other member who has got
- 8 to fall sick, it's either the member himself should say I
- 9 cannot continue, or there should be a social worker or a
- 10 psychologist who has got to say you are not fit to do the
- 11 work, then the Provincial Commissioner could have removed
- 12 me.

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- 13 MS LE ROUX: Major-General, if I can just
 - clarify your answer in two respects; the first is it was
 - only at Potchefstroom that you became aware that the
- 16 threats to your life were from SAPS members, correct?
- 17 MAJOR-GENERAL MPEMBE: Not becoming
- 18 aware; informed by Lieutenant-Colonel Vermaak. Not
- 19 becoming aware; informed, and when he realised that I was
- 20 with Captain Tupe and as well as Lieutenant-Colonel Merafe,
- 21 it's then that he mentioned that.
- 22 MS LE ROUX: And then Major-General, you
- 23 said that you discussed the threats with the Provincial

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- 24 Commissioner, but you felt that in light of them you were
- 25 comfortable continuing as overall commander. When did you

- Page 12747
- 1 have that discussion with the Provincial Commissioner?
- 2 [15:34] MAJOR-GENERAL MPEMBE: On the 13th.
- 3 MS LE ROUX: There's a statement
- somewhere, General, I can't put my finger on it right now
- 5 that says that you were informed on the 13th at the scene
- 6 that there was some danger to you. Am I mistaken?
- 7 MAJOR-GENERAL MPEMBE: Correct,
- 8 Chairperson, that's when I said it was on the scene itself
- 9 when Colonel Vermaak said, "General, you can't do anything
- 10 now, enter into the Nyala, go back to the JOC."
 - CHAIRPERSON: Lieutenant-Colonel
- 12 Vermaak's statement GGG17 in paragraph 5 he says that some
- 13 of the members mentioned to him that they were very angry
- 14 with you because of the members who were dead and that you
- 15 were going to lie on the ground together with them because
- they were going to shoot you and Colonel Vermaak says he
- 17 immediately realised it was a very explosive situation. He
- 18 phoned the Provincial Commissioner and informed her of the
- 18 phoned the Provincial Commissioner and informed her of the
- 19 threat. He told her he's going to remove you from the
- 20 scene and he says he then went directly to you and informed
- 21 you that he was going to remove you from the scene "weens
- 22 die dreigement op sy lewe." Because of the threat to his
- 23 life and then he arranged for an Nyala with two members to
- 24 take you away to the JOC. That's what he says in Para 5 of
 - that exhibit, GGG17. Is that also the way you understand
 - Page 12748
 - it?
- 2 MAJOR-GENERAL MPEMBE: Correct,
- 3 Chairperson. The only thing, Chairperson, which I added
- 4 there is that at that time he did not tell me who was
- 5 actually threatening me.
- 6 MS LE ROUX: Major-General, just one
- 7 quick point also on the 13th, you have given a lot of
- 8 evidence already about how you gave no order to the members
- 9 to block the protesters, to disarm them or to use teargas
- 10 or stun grenades and Advocate Gumbi took you through pocket
- 11 books and statements that address that topic. I'm not
- 12 going through them again but I have one additional pocket
- book to place before the Commission. This is by WarrantOfficer Tuwana from POP Rustenburg. It's in the pile of
- 15 new documents that you should have before you. It was
- The was accuments that you should have before you. It was
- 16 provided to the Commissioners on Friday, this will require
- 17 -
- 18 CHAIRPERSON: It was provided on Friday?
- 19 MS LE ROUX: Yes, this will require an
- 20 exhibit number.
- 21 CHAIRPERSON: Yes, I have the habit of
- 22 hitting the microphone, so it turns off. HHH38. Tuwana,
- 23 was he a constable?
- 24 MS LE ROUX: My instructions are he's a
- 25 Warrant Officer.

2

MS LE ROUX:

Page 12749 CHAIRPERSON: Warrant Officer. 1 2 MR NGALWANA: Chair, I'm not objecting 3 here to the admission of this document, I'm still waiting 4 for the question because this issue has been covered 5 before, extensively. MS LE ROUX: 6 Yes, Chair, and as I 7 indicated there was one pocket book that Advocate Gumbi did not put to the Major-General. For the sake of completeness 8 9 I'm now doing that. It is the pocket book of Warrant 10 Officer Tuwana and specifically at the top of the page marked 28, it states "General Mpembe instructed members to 11 12 disarm the warriors and the battle started." Major-13 General, my question is I understand your evidence that you 14 deny giving such an order but do you have any explanation? 15 Do you know why three captains, one lieutenant, two warrant officers and two constables claim that you gave these 16 orders? Do you have any understanding of why they've made 17 18 those statements? 19 MAJOR-GENERAL MPEMBE: Chairperson, I may 20 not be exactly knowing why they were doing that but my 21 evidence was very clear that I only spoke to the officers and I indicated to this Commission the pocket book of 22 23 Constable that was actually a female sitting at the JOC and 24 I asked how could I have given her an instruction when I 25 was on the scene.

Page 12751 know, now we know he doesn't know. We can then draw our own inferences from the entries when we analyse them but anyway Ms le Roux are you going to move onto your next point or you going to -5 MS LE ROUX: No, Chair, I plan to move 6 onto my next point but that does require the queuing up of 7 one of the videos and then some time spent on the video. 8 CHAIRPERSON: How long will that take? 9 The reason I ask is that one of the Commissioners has a 10 doctor's appointment at a quarter past four so I want to 11 adjourn strictly at 4 o'clock. So if you can get the video 12 in before then fine. Otherwise we have a problem. 13 MS LE ROUX: Chair, I don't think I'll 14 be able to complete the point that relates to the video by 4 o'clock promptly. Could we perhaps adjourn and we'll 15 16 commence with that promptly at 9 o'clock tomorrow? 17 CHAIRPERSON: Unless, of course, we can 18 see it now and you can ask the questions tomorrow or would 19 you prefer to ask the questions immediately after we've 20 seen the video? 21 MS LE ROUX: Chair, it will be a series 22 of questions as we move through a video clip. 23 CHAIRPERSON: I see, what does the video 24 show?

Page 12750 1 CHAIRPERSON: Ms Le Roux, to ask a 2 witness normally why another witness has lied against him 3 doesn't very often provide an instructive answer. There 4 are various inferences one can draw but this witness cannot 5 - he was asked the question last week by Mr Gumbi and he doesn't know. One can draw one's inferences from the facts 6 7 but I'm not sure that it would be proper for him even to 8 expand those inferences himself unless he has direct 9 knowledge which he has already told us he hasn't got. 10 MR NGALWANA: There's a further point, 11 Chair, which is not a concurring observation, the premise 12 for the question is factually incorrect. The statement 13 that was put by Mr Gumbi to the witness talked in general about an instruction being given. It didn't identify 14 15 anyone giving that instruction. This pocket book, if I can 16 call it that, I don't know whether it is a pocket book or a 17 diary, this pocket book talks about General Mpembe 18 instructing members to disarm warriors and battle, at the 19 battle and so on. The pocket books to which Mr Gumbi 20 referred didn't deal with the same issue. 21 CHAIRPERSON: There are other problems with those entries which we presumably will deal with later. Anyway she's asked a question, if he does know, if

24 he's learnt since Friday why the entries, which he says are

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false, were made then he could have told us but he doesn't

it's exhibit Z2 with respect to the events of the 13th. CHAIRPERSON: Is there not another point. short point you can deal with? I know I'm taking out of your prepared sequence but if there is a discreet point that you can deal with now I'd be grateful. I don't want to waste a quarter of an hour. Yes, Major-General. MAJOR-GENERAL MPEMBE: Chairperson, with regard to this pocket book of Warrant Officer Tuwana, at half past seven he indicated that he was briefed by Lieutenant-Colonel Merafe, but it doesn't indicate anywhere where I briefed him or her personally because I don't whether he is a male or a female. But he's giving a SIT-REP and a SIT-REP is a report that you do normally give to him that SIT-REP or how did he get the information to give that information to the JOC. So I just wanted to say that is what I can see what is written on the pocket book. Chairperson, if I personally briefed the members he should the briefing was done and he could have indicated exactly how I did the briefing to them. As I previously indicated that my briefing includes also the high level of tolerance I even used in the case of Tatane but he does not mention

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It's with respect to the -

6 7 8 10 11 12 13 14 the JOC. So maybe he's the only person who said who gave 15 16 17 18 19 have said briefing by Major-General Mpembe at the time when 20 21 22 23 24 it. Chairperson, I can confirm that the statement of Lieutenant-Colonel Merafe specify the briefing that I did

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to the officers.

2 CHAIRPERSON: Ms Le Roux, I noticed in

- 3 your bundle you've also got a pocket book of Sergeant
- 4 Cebekhulu who is from the National Intervention Unit in
- 5 Durban and I don't know whether the Sergeant is a lady
- 6 sergeant or a male sergeant but on 55 the Sergeant deals
- 7 with the events, the relevant events on the 13th and simply
- 8 says that the strikers are adamant, obviously they wouldn't
- 9 hand over their weapons and leave peacefully and the
- General told the police to escort the miners to the 10
- mountain. On the way the miners attacked three ACCU police 11
- 12 officials. Are you going to deal with -
- 13 MS LE ROUX: Yes, Chair, that statement
- 14 is in your bundle for another point but -
- 15 CHAIRPERSON: It's not a point you can
- deal with now? 16
- 17 MS LE ROUX: No, Chair, but there is a
- 18 point that I may be able to complete by 4 o'clock, it comes
- 19 out of sequence now but let me do that.
- 20 CHAIRPERSON: Well I'd be grateful if you
- 21 do that.

1

- 22 MS LE ROUX: Thank you, Chair. Major-
- 23 General Mpembe, I want to take you back to SS3, to the plan
- 24 and we know that it indicates that there is a protester
- 25 group that was considered to be the threat that was under
 - Page 12754
 - assessment. And that it was identified that there was a
- 2 smaller group and then in the plan that's referred to as a
- 3 smaller group who is armed and show a militant attitude in
- 4 the statements that have served before the Commission.
- 5 That's been referred to as a warrior group but with respect
- to the my question is this, was there a plan for dealing 6
- 7 with this smaller group in the overall group?
- 8 MR NGALWANA: Objection, Chair. Mr Bizos
- 9 dealt with this issue extensively.
- 10 CHAIRPERSON: Yes, I know he did but he
- got certain answers which he alleged differed from certain 11
- 12 of the documents. I think she's entitled to follow up the
- 13 points as well. The mere fact that Mr Bizos asked
- 14 questions of another witness doesn't necessarily mean that
- 15 the question can't be raised again. It's one of the issues
- 16 that the police have to deal with and I think Advocate Le
- 17 Roux is entitled to question this witness about it also.
- 18 MR NGALWANA: Can I just state, Chair,
- 19 for the record that, with respect goes against the ruling
- 20 that you made because the ruling that you made specifically
- 21 says on - I'll find the exact word but it seems to say on a
- specific issue, on a particular issue. It doesn't say a 22
- particular question. 23
- 24 CHAIRPERSON:

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- If there's an issue on
- 25 which there is controversy and various versions are before

- Page 12755
- the Commission then the mere fact that one counsel has
- 2 asked one witness on that issue doesn't mean that other
- 3 counsel can't ask other witnesses about it, so I'm going to
- let her ask the question. Obviously we don't want too much
- repetition but I'll keep an eye on that. She's promised to 6 finish by 4 o'clock on this point.
- 7 MS LE ROUX: Major-General do you recall 8 question?
 - MAJOR-GENERAL MPEMBE: Chairperson, may you please repeat the question?
 - MS LE ROUX: With pleasure. Major-
- 12 General, SS3 the planning documentation that is before this
- 13 Commission identifies a smaller group within the larger
- 14 protestor group and then in the nearly 400 statements that
- have been provided by the SAPS members certain of those
- also refer to a smaller group. Some of them refer to it as
- 17 a warrior group but in none of statements and we have
- reviewed every single one, is there a plan for how to deal
- 19 with that smaller group. The plan only talks about to
- 20 overall group, the group of 3 000. Was there a plan to
- 21 deal with this smaller group?
- 22 MAJOR-GENERAL MPEMBE: The plan deals for
- 23 the dispersal, it deals with the total number of the group
- 24 but what we expected what will normally happen in a normal
 - crowd management situation is that when the process of

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- dispersal is taking place other people will leave
 - voluntarily and the others. But what we did expect is that
 - a certain belligerent group will remain. And in terms of
 - 4 disarmament after the dispersal has taken place they will
 - 5 be disarmed.

6

- MS LE ROUX: Major-General, I asked a
- 7 different question which is was there a plan for dealing
- 8 with the smaller group identified as being more militant
- 9 than the larger group? Was there a plan for dealing with
- 10
 - that smaller group specifically?
- 11 MAJOR-GENERAL MPEMBE: I did explain
- 12 extensively in terms of when we were - after the dispersal
- 13 what could have happened in terms of also how to disarm
- 14 that belligerent group. And that's why I'm saying the plan
- 15 targeted for dispersal purposes, the whole total number of
- 16 group but at the end you normally expected that group to
- 17 remain.
- 18 [15:54] Chairperson, that could also be found in the
- mission on that plan of the 16th, and also on the
- 20 operational overview of the 16th.
- 21 MS LE ROUX: Again, Major-General, I
- understand your evidence that once the dispersal operation
- 23 happened you thought there would be a belligerent group
- 24 that would remain, you would disarm those, but my question
 - is in the plan there was nothing from before dispersal,

26th August 2013 Marikana Commission of Inquiry Pretoria Page 12757 there was no plan for dealing with the smaller group that 2 you'd identified as being more militant, was there? 3 MR NGALWANA: Chair, I must persist in my 4 objection, unless the Chairperson overrules me. Not only 5 was this issue dealt with, with Major-General Annandale, it was also dealt with, with this witness by Mr Madlanga, and 6 7 I recall specifically referring to various, in exhibit L -8 not exhibit L, in the slides in SS3 about where reference 9 is made to the smaller group. So what my learned friend is doing is rehashing ground that has already been extensively 10 covered. 11 12 CHAIRPERSON: Yes Ms Le Roux, it does 13 sound as if Mr Ngalwana is correct. I remember Mr Bizos 14 asking General Annandale about it and the suggestion was 15 made, the suggestion that you are now putting, or were going to put, was that the police version changed to answer 16 the criticism of Mr Hendrickx, I think it was, Colonel 17 18 Hendrickx, that if they were trying to deal with 3 000 19 people, they didn't have enough members to deal with them, 20 and the suggestion was made that they changed their story to say that they in fact were intending to act against the 21 22 400, but then point was then dealt with by Mr Madlanga when 23 he cross-examined this witness. So to that extent Mr 24 Ngalwana is correct that this has been traversed with this 25 witness by Mr Madlanga. So on that basis it is repetition. Page 12758 There's no point in asking the witness a lot of questions 1 2 which effectively were asked by Mr Madlanga because 3 presumably we'll get the same answers. So we are wasting time, I think. But obviously that's a prima facie view. 5 I'm not ruling against you yet. I will give you a chance to reply, but as at present advised, I think Mr Ngalwana is 6 7 right. MS LE ROUX: 8 Chair, my reading of the 9 evidence is that this particular point has not been put either to General Annandale or to Major-General Mpembe, the 10 point being simply the plan identified the smaller group, 11 12 but there was no plan specifically for dealing with that 13 smaller group. 14 Alright. It's now nearly 4 CHAIRPERSON: 15 o'clock. May I suggest we adjourn till 9 tomorrow. You can then get the references in the record upon which you 17 rely and quote them and if they bear you out, then I'll 18 allow the question, subject to what Mr Ngalwana says, but if they don't, I'm afraid I'll rule against you. So we'll take the adjournment at this stage till 9 o'clock tomorrow 21 morning 22 [COMMISSION ADJOURNED]

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