RealTime Transcriptions

TRANSCRIPTION OF THE

COMMISSION OF INQUIRY

MARIKANA

BEFORE TRIBUNAL

THE HONOURABLE MR JUSTICE FARLAM (RETIRED) - CHAIRPERSON MR TOKOTA SC
MS HEMRAJ SC

HELD ON

DAY 66 25 MARCH 2013 PAGES 6973 TO 7096

HELD AT

CIVIC CENTRE, RUSTENBURG, NORTH WEST PROVINCE



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[PROCEEDINGS ON 25 MARCH 2013]
                                                                               GENERAL PHIYEGA:
                                                                                                         Yes, I have said I
 2
     [10:12] CHAIRPERSON:
                                    The Commission resumes. I
                                                                    2
                                                                       continued to receive briefing from this environment.
 3
     understood that counsel for the Human Rights Commission
                                                                    3
                                                                               MR MADLANGA SC:
                                                                                                         I didn't hear the last
 4
     wishes or might just wish to say something but I'll call on
                                                                       part, you said from?
                                                                    5
 5
     him later. Mr Semenya?
                                                                               GENERAL PHIYEGA:
                                                                                                         I said in my testimony
           MR SEMENYA SC:
                                                                       that I continued to receive briefings from this
 6
                                    Chair, if I may be
                                                                    6
 7
                                                                    7
     permitted, we would place - we would want to place on
                                                                        environment.
                                                                    8
 8
     record that, as SAPS, it is with deep shock to learn of the
                                                                              MR MADLANGA SC:
                                                                                                        Are you able to tell us
 9
                                                                    9
     assassination of the sangoma implicated in the Marikana
                                                                       who the people who gave you the further briefs or further
10
     muti rituals, who has been gunned down last, yesterday
                                                                   10
                                                                       briefing were, besides those that you've already told us
     morning, early hours in the morning and this was
                                                                   11
                                                                       about?
11
                                                                   12
12
     immediately with an imminent attempt by the police to get
                                                                               GENERAL PHIYEGA:
                                                                                                         In chief, it has been
13
     hold of him as a witness in these proceedings.
                                                                   13
                                                                       the commanders led by General Mbombo.
14
           CHAIRPERSON:
                                  Where did this take place?
                                                                   14
                                                                               MR MADLANGA SC:
                                                                                                         Would it have been a
15
           MR SEMENYA SC:
                                    In Bizana.
                                                                   15
                                                                       different set of commanders or possibly the same set that
16
           CHAIRPERSON:
                                  In Bizana. Yes, well,
                                                                       you have already told us about?
                                                                   17
17
     thank you for giving us that information, putting it on
                                                                              MR MAHLANGU:
                                                                                                     The question again, sir, if
18
     record. Some of the parties have said that they deny that
                                                                   18
                                                                   19
19
     there was a sangoma involved, so all we can say is the
                                                                               MR MADLANGA SC:
                                                                                                         Would it, or was it the
20
     person who is alleged to have been the sangoma and alleged
                                                                   20
                                                                       same set of commanders that you have already told us about
21
     to have done the things that were put to the witnesses, has
                                                                   21
                                                                       or would it or was it a different set of commanders?
                                                                   22
                                                                               GENERAL PHIYEGA:
22
     been assassinated and that's obviously - you say just
                                                                                                         I have said in chief,
23
     before the police were due to make contact with him. Well,
                                                                   23
                                                                       it would have been the commanders that you've heard about,
                                                                   24
24
     that's obviously a very serious matter and thank you for
                                                                       led by General Mbombo.
25
     drawing it to our attention. This is the second person who
                                                                   25
                                                                              MR MADLANGA SC:
                                                                                                         So would it be fair then
                                                        Page 6974
                                                                                                                          Page 6976
                                                                       to say that even in those further briefings, the only
 1
    has links with this Commission in some way or other who has
 2
     been killed and it's a matter of great concern to the
                                                                       people you knew to have been at the scenes of the killings
 3
     Commission and I'm sure to everyone else involved.
                                                                       were the same two, that is General Naidoo and Lieutenant -
 4
     National Commissioner, you're still under oath.
                                                                       no, no, I can't think of his rank - and Brigadier Calitz,
 5
           MANGWASHI VICTORIA PHIYEGA:
                                                                    5
                                                                       so to your knowledge the only two that would have been at
                                                   SILO
 6
           CHAIRPERSON:
                                 Mr Madlanga, I take it you
                                                                       the scenes would still have been just that two, even during
                                                                    6
 7
    want to continue with your cross-examination?
                                                                       the further briefings, at least to your knowledge.
           CROSS-EXAMINATION BY MR MADLANGA SC (CONTD.):
                                                                    8
                                                                              GENERAL PHIYEGA:
8
                                                                                                        From a commanders' side
 9
    Yes, Mr Chairman, thank you. National Commissioner, before
                                                                       I would say yes, but there are other sub-commanders that
10
    I start my cross-examination on the subject where we left
                                                                   10
                                                                       report to them that would have been there.
11
    off, can I just briefly touch on a different subject. You
                                                                   11
                                                                              MR MADLANGA SC:
                                                                                                        No, no, before we talk
12
    mention in your statement and in testimony that you were
                                                                   12
                                                                       about anybody who might have said something to the
13
    briefed by certain commanders whom you mentioned, during
                                                                   13
                                                                       commanders, so is your answer yes, to your knowledge, those
14
    the night of the 16th of August 2012 on what had taken place
                                                                   14
                                                                       that briefed you or rather of those that briefed you, only
15
     during the killings at Marikana on that day and you also
                                                                   15
                                                                       the two, according to your knowledge, had been at the
     say that there was an update in the morning of the
                                                                   16
                                                                       scenes. Your answer is yes to that.
16
17
    following day, before you held the press conference, do you
                                                                   17
                                                                              GENERAL PHIYEGA:
                                                                                                        I would still say in
    remember that?
                                                                       addition to those two, yes, those two but in addition to
18
                                                                   18
19
           GENERAL PHIYEGA:
                                     Yes.
                                                                   19
                                                                       those there were others that were there.
20
           MR MADLANGA SC:
                                    What I'm interested to
                                                                   20
                                                                              CHAIRPERSON:
                                                                                                    Would there have been
21
     find out is whether you ever received any further briefing,
                                                                   21
                                                                       others who briefed you personally?
22 update or whatever you choose to call it, on the details of
                                                                   22
                                                                              GENERAL PHIYEGA:
                                                                                                        No, Judge.
   what happened on the 16th of August 2012, that is when the
                                                                   23
                                                                              CHAIRPERSON:
                                                                                                    [Inaudible] those who
24 killings took place. Did you ever get any other briefing
                                                                       personally briefed you. I understand other people
25 prior to the session at Potchefstroom?
                                                                       [inaudible] briefed you. He's concerned with who actually
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Page 6977 briefed you themselves and it seems clear from what you say that of the people who personally briefed you, as far as 2

3 you know, the only two - the only ones who were on the 4 scene at the time the incidents took place were General

5 Naidoo and Brigadier Calitz. That's correct, isn't it?

GENERAL PHIYEGA: 6 Of the people who 7 briefed me, yes.

8 CHAIRPERSON: Yes, thank you.

9 MR MADLANGA SC: Thank you, Mr Chairman.

Did these further briefings bring to your attention 10

information that you had not received at the briefing and 11

12 update of the 16th and 17th respectively? 13

GENERAL PHIYEGA: I have stated in my 14 statements the information that was brought to my attention

and also the information that is sitting in our 15

16 presentation is part of the information that I have been

17 privy to. The question is a little bit broad for me to say

18 ves or no.

19 CHAIRPERSON: Mr Madlanga, think the

20 National Commissioner is correct, the question was what the

21 constitutional lawyers would call over-broad. Perhaps you

22 could narrow it a bit so that she could give a focused

23 answer.

1

7

12

13

23

24 MR MADLANGA SC: Thank you, Mr Chairman.

25 National Commissioner, you do know what you were told so the presentations that you saw of the police was a

consolidation of a lot of information that came from

various members.

4 MR MADLANGA SC: Thank you, Commissioner

Hemraj. Are you able to tell - I do see the difficulty

6 that you seem to have, National Commissioner, and I

7 appreciate that but let me try nonetheless. Are you in a

position to say that as at 20 August 2012 you had already

9 received some of these further briefings, that is after the

10 update of the 17th of August, or not?

GENERAL PHIYEGA: I continued to receive updates because operations continued. We didn't wrap up

13 and go.

11

12

21

14 MR MADLANGA SC: And would all these updates, less alleged briefings, have been about the, how the killings had taken place or would it have been

briefings on some other issues related to the killings, 17 again focusing on the 20th of August, as at the 20th of

19 August. Would they have been about how the killings had

20 taken place?

> **GENERAL PHIYEGA:** To my memory, a lot of

22 it has been the post-incident interventions, whether

23 statements were being taken, whether you know, post-mortems

24 were taking place, what is the status of those that were

injured, are they - what is the status of those that are

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during the briefing of the 16th of August 2012, just like

you would also know what you were told during the update of 2

3 the morning of 17 August 2012, not so?

4 GENERAL PHIYEGA:

5 MR MADLANGA SC: Now what I would like to

know is, what is it that you were told after the update of 6

the morning of 17 August 2012, but before the Potchefstroom

session, whatever the date or the first date of that 8

9 session was? What new material came to your attention

after the update of the morning of the 17th of August - but 10

11 before the Potchefstroom session?

GENERAL PHIYEGA: I would still say the question you are asking me is extremely broad because the

information at hand was not only influenced by what you saw 14 15

on TV, what you heard from people, so it's very difficult

to put your pulse on the finger to say what in the - you 16

17 intend.

18 COMMISSIONER HEMRAJ: General, may I just

19 enquire whether any of those updates in that period

referred to by Mr Madlanga were in writing in the form of

21 memorandums or reports to you or were they all verbal

22 briefings, oral briefings?

> GENERAL PHIYEGA: A lot of it was verbal

24 briefings because we consolidated our information into what

25 the joint was doing and the team that was there was doing,

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being arrested, it was almost on the overall incidents.

2 MR MADLANGA SC: So post the update of

the morning of the 17th and as at the 20th of August it

would have been about matters relating to, and not really

about how the killings had taken place, do I understand you

6 correctly?

11

17

7 **GENERAL PHIYEGA:** Likely so, and remember we were also preparing ourselves now for the Commission, we

were starting to gather as much as we can and a lot of

investigations were still taking place, ballistic reports 10

and other things.

12 MR MADLANGA SC: Would it be correct or 13 accurate then to make the proposition that when you address 14 a parade, a police parade on the 20th of August 2012, you 15 had not received much more by way of further information

16 other than what you had received on the 16th and 17th?

GENERAL PHIYEGA: Yes, mostly.

18 MR MADLANGA SC: Thank you, National

Commissioner. Now let's go back to where we left off on

Tuesday last week. You will recall that you indicated in

21 your evidence that you stand by what you had said in the

press statement, subject to new information coming to your

23 attention and I said that what I would do today would be to

24 demonstrate that what, or at least part of it, part of what

you said in the press statement was in fact incorrect. So

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                                                                                                                          Page 6983
     I'm quite open with you, I'm quite candid with you and I'm
                                                                              GENERAL PHIYEGA:
                                                                                                         I have confirmed.
                                                                    1
     going to proceed to do that. Do you remember that that is
                                                                    2
                                                                              MR MADLANGA SC:
                                                                                                        And when did you read
 2
 3
     what I would be seeking to demonstrate? General, you have
                                                                    3
                                                                       it? Perhaps let me ask a different question. Did you read
 4
     indicated that you do know what the SAPS presentation is.
                                                                        it prior to evidence being led before this Commission?
                                                                    5
 5
     What I would like to know now is whether you are also aware
                                                                              GENERAL PHIYEGA:
                                                                                                         Yes.
                                                                    6
     what the opening statement of the parties are and, in
                                                                              MR MADLANGA SC:
                                                                                                        Just explain why would
 6
                                                                    7
 7
     particular, what I mean by the SAPS opening statement.
                                                                       it not surprise you if it says something different to your
8
           GENERAL PHIYEGA:
                                                                    8
                                                                        media statement which you say you stand by, you continue to
                                      Yes
                                                                    9
 9
     [10:32] MR MADLANGA SC:
                                        And you said that you
                                                                        stand by as of today?
     did have occasion to peruse the SAPS presentation. Did you
                                                                   10
                                                                              GENERAL PHIYEGA:
                                                                                                         I shall ask you to
10
11
     have occasion to peruse the SAPS opening statement?
                                                                   11
                                                                        repeat your question because that - ja.
12
                                                                   12
           GENERAL PHIYEGA:
                                      Yes.
                                                                              MR MADLANGA SC:
                                                                                                        I understood your
13
           MR MADLANGA SC:
                                     Mr Chairman,
                                                                   13
                                                                       response to an earlier question to be that it would not
14
     commissioners, I'm being advised by Ms Pillay, and I think
                                                                   14
                                                                       surprise you if you were to find out that the SAPS opening
     correctly so, that because the AMCU opening statement was
                                                                        statement does not say that in respect of scene 2 there
15
     handed in as an exhibit and marked as such, that perhaps we
                                                                       were any protesters who charged at the police, firing shots
16
                                                                   17
                                                                        at them, and you said no, that would not surprise you. So
17
     need to hand this one up and also have it marked as an
18
     exhibit.
                                                                   18
                                                                       my next question is, why would that not surprise you if it
19
           CHAIRPERSON:
                                  For consistency we must do
                                                                   19
                                                                       is at variance with what you say in your media statement
20
     that. What is the next - the next? It'll be FFF
                                                                   20
                                                                        which you say you continue to stand by to this day?
21
     something?
                                                                   21
                                                                              GENERAL PHIYEGA:
                                                                                                         It does not surprise me
22
           MS PILLAY:
                              FFF9, Chair.
                                                                   22
                                                                       because, as I've said, the statement I issued on the 16th
23
           CHAIRPERSON:
                                                                   23
                                                                       were the facts as given to me at that point in time and
                                  Thank you.
24
                                                                   24
                                                                        I've said to the best of my knowledge they represent the
           MR MADLANGA SC:
                                     Sorry commissioners, Mr
25
                                                                       bona fide information that I received from those who were
    Chairman. The opening statement, General, actually sets
                                                        Page 6982
                                                                                                                          Page 6984
     out what the evidence that will be led by SAPS is going to
 1
                                                                    1
                                                                        there.
     be and it also sets out the contentions that SAPS will be
                                                                    2
 2
                                                                               MR MADLANGA SC:
                                                                                                         Do you accept that this
 3
     making. Do you understand and accept that?
                                                                    3
                                                                        is a material difference or departure from what your media
 4
           GENERAL PHIYEGA:
                                                                    4
                                                                        statement says, that is, if the SAPS opening statement says
                                      Yes.
                                     Now, you recall that the
 5
           MR MADLANGA SC:
                                                                    5
                                                                        nothing about protesters charging at police, firing shots
                                                                    6
                                                                        at them? Don't you see that as a material difference?
 6
    penultimate paragraph of the press statement that I
                                                                    7
                                                                               GENERAL PHIYEGA:
 7
     referred you to, your press statement, says that some of
                                                                                                          I would not agree
                                                                    8
                                                                        because the statements are presented in two different
8
     the protesters charged at the police firing shots and that
 9
                                                                    9
     this was at scene 2, or at least the latter part was my
                                                                        fashions and unless you point me to a point where there is
10
    contention, that is that in context this was plainly at
                                                                   10
                                                                        that categoric point where you are saying there is a
     scene 2. Do you remember that your statement does refer to
                                                                        material difference - I think they are different documents,
11
                                                                   11
12
     protesters that charged at the police, firing shots?
                                                                   12
                                                                        as I look at them.
13
                                                                   13
           CHAIRPERSON:
                                 That's the penultimate
                                                                               MR MADLANGA SC:
                                                                                                         A difference or lack of
14
     paragraph on the second page.
                                                                   14
                                                                        it, Commissioner, cannot lie in whether or not this
15
                                     Of the second page,
                                                                   15
           MR MADLANGA SC:
                                                                        document is one and the same but would lie more in the
                                                                        content and what I'm suggesting to you is - and I'm going
16
     thank you, Mr Chairman.
                                                                   16
17
           GENERAL PHIYEGA:
                                      Yes.
                                                                   17
                                                                        to go, I'm still going to do what you are inviting me to do
18
           MR MADLANGA SC:
                                     Would you be surprised,
                                                                   18
                                                                        but now all I'm saying is, on the assumption that indeed
19
     General, if I were to take you paragraph by paragraph in
                                                                   19
                                                                        the SAPS opening statement, as I say it does or does not,
     the opening statement to find out that the opening
                                                                   20
                                                                        does not suggest that any of the protesters charged at the
21
     statement makes no such allegation? Would that surprise
                                                                   21
                                                                        police firing shots at them, whereas your press statement
     vou? This, of course, in relation to scene 2.
                                                                        says that some of the protesters did exactly that. Is that
22
                                                                        not a material difference? That is what my question is.
           GENERAL PHIYEGA:
                                      No.
                                                                   23
23
                                     Did you say you had read
24
         MR MADLANGA SC:
                                                                   24
                                                                               GENERAL PHIYEGA:
                                                                                                          I do not see it like
25 the opening statement?
                                                                   25
                                                                        that.
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Page 6985 Page 6987 MR MADLANGA SC: Just explain that statement says. It's your media statement. You stood up 1 answer, perhaps? 2 2 there or you sat there and you told the nation and the 3 GENERAL PHIYEGA: I have already said world what had taken place and, as the Chairman says, what 4 that the facts that are sitting in my statement of the 20th you said is that, in the media statement, the militant 5 were facts as presented to me by those who were there and I group stormed at the police, firing shots at them. That is have also said I believed in the bona fides of those facts 6 what your media statement says. You understand me thus 6 7 7 and I stand by them. far? Just to avoid any confusion, Commissioner, if you 8 8 could give me a yes or no. Do you understand me thus far, MR MADLANGA SC: Commissioner, this has 9 9 nothing to do with bona fides. Commissioner, this has to yes or no? do with what you say you were informed at the briefing of 10 GENERAL PHIYEGA: I'm going to ask you 10 11 the 16th that night, and the update you were given in the 11 again to just - it's a long sentence that you've given me. morning of the 17th August 2012 and what you subsequently 12 12 What do you want me to understand? read, what you yourself say you subsequently read in the 13 13 MR MADLANGA SC: I want you to understand SAPS opening statement. So what I'm suggesting to you is, 14 14 the long thing that I've said, Commissioner, you presented 15 there is in fact a material difference. I'm going to take a media statement to the nation and the world, not so? you through this. I'm saying there is a material 16 16 GENERAL PHIYEGA: Yes difference between the opening statement and what you said 17 17 MR MADLANGA SC: Under cross-examination 18 in your media statement and that material difference is 18 by me you said that you stand by it, not so? 19 19 this, your media statement says some protesters charged at GENERAL PHIYEGA: Yes. 20 the police firing shots at them, and I say I'm going to 20 MR MADLANGA SC: Under cross-examination 21 demonstrate to you that the SAPS opening statement does not 21 by me today you have said that you know what the SAPS 22 22 say so. If we accept for a minute, even before I take you opening statement is, yes or no? 23 through the opening statement, if we accept for a moment 23 GENERAL PHIYEGA: Yes 24 MR MADLANGA SC: Still under cross-24 that indeed the opening statement says what I say it says, 25 do you accept that that is a material difference? It has examination by me you said that you read that opening Page 6986 Page 6988 nothing to do with what you have been told or what you have statement, not so? 1 not been told or the bona fides of those that told you or 2 GENERAL PHIYEGA: 2 Yes. 3 did not tell you. 3 MR MADLANGA SC: You read it prior to 4 CHAIRPERSON: 4 evidence before this Commission commencing, not so? I'm sorry to interrupt you, 5 5 Mr Madlanga, before the witness answers - I may even go GENERAL PHIYEGA: Yes. 6 further than you've put it. I think, in fairness, the 6 MR MADLANGA SC: And I assume a person of 7 your level, with the experience that you have as a manager, 7 witness should get a chance to deal with what exactly she 8 said compared with the opening statement. In her statement surely you must have read the opening statement with 9 9 understanding, not so? she didn't say some of them went to the police, she said you know, advanced on the police - she said the militant 10 **GENERAL PHIYEGA:** 10 Correct. 11 MR MADLANGA SC: And do you accept also 11 group stormed towards the police. So it's the militant 12 group, is what she said. Your point, as I understand it, 12 that that media statement, amongst others, says that the 13 is that that's not what the opening statement says. 13 militant group stormed towards the police, firing shots at 14 Perhaps you could reformulate the question to incorporate 14 them, not so? 15 15 that, so the witness gets a chance to reply to a focused **GENERAL PHIYEGA:** Correct. 16 question. 16 MR MADLANGA SC: Now, what I'm saying and 17 MR MADLANGA SC: Thank you, Mr Chairman. 17 where we were when we hit the snag was this, if I were to 18 Let me take a step back. Commissioner, I would understand 18 demonstrate to you - and I am going to do so - that the

25 know what it says. You obviously also know what your media raise later. ARCHIVE FOR JUSTICE

19

21

22

23

24

SAPS opening statement does not suggest that any of the

would you accept that that is a material difference to what

waiting to hear your leading in - your point that you will

I have said no and I am

Email: realtime@mweb.co.za

protesters stormed at the police firing shots at them,

you say in your press statement?

GENERAL PHIYEGA:

your stance if you had said to the Commissioners, no - no,

I never read the opening statement, I'm hearing about such

a document for the first time as you are cross-examining me

22 now, but you have said quite the opposite. You have said

24 commencing. So you have read that opening statement, you

you read it prior to evidence before this Commission

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21

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                                                                                                                          Page 6991
           MR MADLANGA SC:
                                     So is your "no" based
                                                                        reference to protesters who were charging and also firing
1
    purely on the fact that you have not as yet been taken
                                                                        shots at the police, at least not in this part. Do you see
2
3
    through what I'm promising to take you through and on no
                                                                    3
                                                                        that?
4
    other reason?
                                                                    4
                                                                               GENERAL PHIYEGA:
                                                                                                          Maybe I read a
5
           GENERAL PHIYEGA:
                                                                    5
                                                                        different thing.
                                      I'm saying no because
    it is not my understanding and that's how I respond to you.
                                                                               MR MADLANGA SC:
                                                                                                         Yes, tell me how you
                                                                    6
6
7
                                                                    7
                                                                        read it?
           CHAIRPERSON:
                                 Commissioner, would it be
                                                                    8
                                                                               GENERAL PHIYEGA:
                                                                                                          You were going to 45.5,
8
    fair to say that your approach is, you say no because it
                                                                    9
9
    hasn't been demonstrated to you that there is a conflict?
                                                                        am I right?
10
    In the statement – if Mr Madlanga succeeds in demonstrating
                                                                   10
                                                                               MR MADLANGA SC:
                                                                                                         Yes.
    to you there is a conflict, then obviously your "no" will
                                                                   11
11
                                                                               GENERAL PHIYEGA:
                                                                                                         And you read paragraph
                                                                   12
12
    possibly, will no longer stand, but are you saying you
                                                                        - is it the second sentence or the third sentence?
13
    haven't yet demonstrated to me there's a conflict, I'm not
                                                                   13
                                                                               MR MADLANGA SC:
                                                                                                         Right from the
14
    aware of a conflict, therefore I say what I said? Is that
                                                                   14
                                                                        beginning, "The evidence will be that some of the 13
                                                                        protesters" all the way up to "self-defence."
15
    a fair summary of what you're saying?
16
           GENERAL PHIYEGA:
                                      That's what I'm saying.
                                                                   16
                                                                               GENERAL PHIYEGA:
                                                                                                          I'm not sure how 45.5
17
    I'm saying I'm not aware of the conflict at this point in
                                                                   17
                                                                        is different from the statement that I've given.
18
    time but I'm willing to engage.
                                                                   18
                                                                               MR MADLANGA SC:
                                                                                                         Show me where paragraph
19
           MR MADLANGA SC:
                                     May I take you to - and
                                                                   19
                                                                        45.5, National Commissioner, refers to protesters that
20
    what you should bear in mind throughout, Commissioner, is
                                                                   20
                                                                        stormed at the police firing shots at them. Show me where
21
    that my focus is at scene 2 which I have indicated appears
                                                                   21
                                                                        paragraph 45.5 says so?
    to have been your focus as well in the penultimate
                                                                   22
                                                                               GENERAL PHIYEGA:
22
                                                                                                         Perhaps it's a wording
23
    paragraph of the second page of your media statement. So
                                                                   23
                                                                       issue because what I read there, it says "some of the 13
24
    that is what my focus is. If there is any suggestion
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                                                                        protesters who were shot and killed at koppie 3 had charged
    anywhere else in the opening statement that some of the
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                                                                       at police officers with dangerous sharp weapons and had
                                                        Page 6990
                                                                                                                          Page 6992
                                                                        been shot in self-defence."
    protesters charged with firearms, shooting at the police,
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    that has nothing to do with what I'm focusing on. You
                                                                    2
                                                                              CHAIRPERSON:
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                                                                                                    Yes, but doesn't say that
3
    understand that?
                                                                       they were firing shots, which is what you said in the
4
    [10:52] GENERAL PHIYEGA:
                                         Yes, let's continue.
                                                                       penultimate sentence of the penultimate paragraph on page 2
                                                                    5
5
           MR MADLANGA SC:
                                      Now let me take you to
                                                                       of your statement.
    paragraph 45.5 at page 19 of the SAPS opening statement.
                                                                    6
                                                                              GENERAL PHIYEGA:
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                                                                                                        That may not be
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           CHAIRPERSON:
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                                                                       reflected but I was focusing on the charging, so if the
                                  I think it might be
                                                                    8
                                                                       question is about that, if the question is about, they
8
    sensible to begin on page 18, the heading D, "Scene 2:
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9 koppie 3" in the middle of page 18 sets the scene as it 10 were, literally, for what follows on page 19. 11 MR MADLANGA SC: Thank you. That is very 12 correct, thank you, Mr Chairman. If I take you to page 18 13 first, against the marginal letter capital D, or upper case D, there it says "Scene 2: koppie 3." So that indicates 14 15 that we are indeed dealing with scene 2, you see that? 16 **GENERAL PHIYEGA:** Yes. 17 MR MADLANGA SC: And then I take you to 18 paragraph 45.5 and do you see there the part that says, 19 "The evidence will be that some of the 13 protesters who were shot and killed at koppie 3 had charged at the police 21 officers with dangerous sharp weapons and had been shot in self-defence," do you see that? 22 GENERAL PHIYEGA: Yes, I see that. 23 MR MADLANGA SC: And do you see that at

25 least in this part of the opening statement there is no

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charged with sharp weapons but no guns, it's a different 10 story. If the focus is on the guns, their statement is not 11 saying -12 CHAIRPERSON: Why do you say may not have been reflected? It's either reflected or it isn't. Is it 13 14 reflected or isn't it? 15 **GENERAL PHIYEGA:** Judge, what's the 16 question? 17 CHAIRPERSON: You said it may not have been reflected and I said to you, why do you say it may not 18 have been reflected? It was either reflected or it wasn't 20 reflected - [inaudible]. Was it reflected? 21 GENERAL PHIYEGA: Perhaps let me walk 22 that to say how do I read these two paragraphs. 23 CHAIRPERSON: Before you say how you read 24 the two paragraphs, it would be helpful if you answered the question that has been put to you, then you can go back to

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Page 6995

Page 6993

the two paragraphs and give the explanation you wish to 2 aive.

3 **GENERAL PHIYEGA:** The paragraph I've read 4 talks about sharp weapons and dangerous sharp weapons, it 5 didn't refer to guns.

MR MADLANGA SC: You say it did not refer 6 7 to guns.

8 **GENERAL PHIYEGA:** 45.5 refers to sharp 9 weapons and it didn't talk about guns.

10 MR MADLANGA SC: And for me the operative words, and which I seek to emphasise, contained in your 11 12 press statement, the penultimate sentence of the 13 penultimate paragraph of page 2, "firing shots at the

14 police." Those are the operative words. They did not only storm or charge but they stormed towards the police and I 15

emphasise, "firing shots." Those are the operative words. 16

17 Now, what I'm saying to you is, show me in paragraph 45.5 18 where it's said that the people who charged at the police

19 were firing shots. That's the emphasis. "Firing shots" -

20 does paragraph 45.5 say any such thing? 21 CHAIRPERSON:

I think you should give the witness a chance to read the whole paragraph to herself.

23 MR MADLANGA SC: Ves

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24 GENERAL PHIYEGA: Let me start with our 25

paragraph in this statement and then I will go to the

sharp fire, believing shots to have been fired from the

bushes and crevices in the koppie by protesters." And when

3 I read these paragraphs up to there, I see commonality in

4 the two. That's my position.

so in paragraph 45.5.

5 MR MADLANGA SC: I go back to my last question before you started reading from the two documents, 6 7 National Commissioner. Please show me in paragraph 45.5 8 where it does not only say some protesters charged at the 9 police but it says they did so firing shots at the police, 10 so they are charging or storming towards the police but at 11 the same time firing shots at them. Show me where it says 12

GENERAL PHIYEGA: I read, "Others could have been killed when police officers returned sharp fire, believing shots to have been fired from the bushes and crevices on the koppie by protesters."

MR MADLANGA SC: And where does that say that the protesters who might have been shot in that manner were charging at the police or storming towards the police? Where does that sentence say so? Where is the charging or the storming towards the police, if all that the sentence seems to say is that the police believed that some protesters might have been firing from within the bushes and crevices? Where is the charging or the storming, that

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is my question.

Page 6994

opening statement. I will start with, not with the

- 2 penultimate paragraph, I will start with the paragraph
- 3 preceding that because the context I think is important for
- 4 me to grasp what you are asking me. "When the police
- 5 started deploying the barbed wire fencing, a group of
- protesters armed with dangerous weapons and firearms 6
- 7 hastily flanked the vehicles deploying the wire. They were
- met by members from the police who tried to riposte their 8
- 9 advance with water cannon, teargas as well as stun
- grenades. The attempt was unsuccessful and the group and 10
- the police members had to employ force to protect 11
- 12 themselves from the charging group. The dispersion action
- 13 had commenced at this time and the armed protesters were
- 14 driven from their stronghold to a high bushy ground in the
- 15 close vicinity. The police members encircled the area and
- attempted to force the protesters out by means of water 16
- 17 cannons, rubber bullets and stun grenades. The militant
- 18 group stormed towards the police, firing shots and wielding
- 19 dangerous weapons. Police retreated systematically and
- were forced to utilise maximum force to defend themselves."
- 21 45.5 says, "The evidence will be that some of the 13
- 22 protesters who were shot and killed at koppie 3 had charged
- at police officers with dangerous sharp weapons and had
- 24 been shot in self-defence. These are accounted for below.
- 25 Others could have been killed with police officers returned

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Page 6996 GENERAL PHIYEGA: 1 Paragraph 45 does say

- that because it says the evidence will be that some of the
- 13 protesters who were shot had charged at police officers
- with dangerous weapons and it continues into other it's
- 5 part of one thing.

MR MADLANGA SC: Surely, Commissioner,

- 7 you can understand these two sentences better than that.
- The first one, the first one that does talk about charging
- says that those protesters charged with dangerous sharp
- 10 weapons and had been shot in self-defence and nowhere does
- 11 it say that those protesters shot in that manner, were
- 12 firing shots. Do you accept that that is how it reads?
- 13 I'm going to take you to the next sentence, Commissioner.
- 14 Let's deal with this one, let's deal with this one. Do you
- 15 accept that yes, it does talk about charging, the
- 16 protesters charging but it says they did so with dangerous
- 17 sharp weapons and had been shot in self-defence and it says
- nothing about them firing shots at the police? Do you 18
- 19 accept that that is what that sentence says?

20 **GENERAL PHIYEGA:** Yes, I continue to 21 agree with that first sentence.

22 MR MADLANGA SC: Now you seem to suggest 23 that the first and second sentence, the first and second

24 sentences read together do say the same thing as what you

said in your media statement. Now let us look at the

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Page 6997 second sentence. 1 2 CHAIRPERSON: I think it's the third 3 sentence actually. 4 MR MADLANGA SC: Sorry, Mr Chairman, yes, 5 I could even take you to the third but let's start with the second. 6 7 CHAIRPERSON: The second is that these are accounted for below. That's -8 9 MR MADLANGA SC: I'm sorry, I'm sorry, 10 yes, yes -CHAIRPERSON: 11 The third sentence begins, 12 "Others could have been killed" -13 MR MADLANGA SC: Yes -14 CHAIRPERSON: Which I think is the one you mean. 15 16 MR MADLANGA SC: Yes, yes, I guess I'm 17 referring to the second sentence that the Commissioner has 18 dealt with but you are quite correct, Mr Chairman, it's the 19 third sentence of paragraph 45.5. Now let's deal with the third sentence of the opening statement but which is the 20 21 second sentence that you are relying on and it reads, 22 "Others could have been killed when police officers 23 returned sharp fire, believing shots to have been fired 23 24 from the bushes and crevices in the koppie by protesters." 25 Now my question is, does that talk about any protesters who Page 6998 were storming towards or charging at the police, firing 1

Page 6999 the tea adjournment, I'm going to call upon Mr Malindi to make a statement in relation to a press statement issued by his clients yesterday. [COMMISSION ADJOURNS **COMMISSION RESUMES**] 4 [11:35] CHAIRPERSON: The Commission resumes. 6 Before I remind the National Commissioner she's still under 7 oath, Mr Malindi, I understand you wish to make a 8 statement. The front row or are you happy to make it from 9 where you are? 10 MR MALINDI: It will save us five minutes 11 if I proceed from here. 12 CHAIRPERSON: All right -13 MR MALINDI: Thank you Chair. 14 Chairperson, this morning I was in your chambers regarding 15 two media statements made by my client, the South African Human Rights Commission. The one incident involves a radio interview which I have not had the opportunity to listen to. The second incident is where the Human Rights

Commission released a statement to the media on Friday the

Rights Commission released the statement on 22 March 2013.

The statement made comment on the evidence of Commissioner

22nd March. I confirm that a spokesperson of the Human

Phiyega in relation to the statement of Warrant Officer

Myburgh which was presented to her for purposes of cross-

examination. The statement goes further to comment on the

3 GENERAL PHIYEGA: I have answered this 4 and I've said I see those two sentences connected because -5 linking with. MR MADLANGA SC: 6 You can't just link 7 without showing us how the link comes about, Commissioner. 8 You have agreed that the first sentence does not say so. 9 Now show me in the second sentence how exactly that link that you refer to arises, where is it, where is it said in 10 the third sentence of paragraph 45.5, where is it said that 11 12 some protesters charged at or stormed towards the police 13 and then I emphasise, firing shots? Where does this say 14 so? Where does this sentence say so? Show me the link. 15 **GENERAL PHIYEGA:** My link remains the fact that the third paragraph starts at "The evidence" and 17 that the sentence you are referring to starts by saying 18 "others," which means it already talks to what has happened before and that's how I read it. I may be reading it not the way you are reading it, but I read it as a connected 21 paragraph. 22 [11:12] CHAIRPERSON: Mr Madlanga, perhaps it's appropriate to take the tea adjournment at this stage. 24 Thereafter the witness can deal with the fourth sentence

and how it's linked to the third. After we resume after

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shots? Does that sentence say anything of the sort?

Page 7000 quality of the Commissioner's evidence. Upon receiving this statement yesterday afternoon I advised my client as follows by email, "In regard to the FAHRC press release client needs to be advised not to comment on the merits in these proceedings until they have been concluded. Innocuous comments on the facts such as dates of hearings, adjournments and the actual evidence without commentary is permitted." This morning I have attempted to get instructions in order to have this statement retracted. I have not been successful, Chairperson. I have spoken to Mr Semenya and I propose, with your permission, Chairperson, that my client be admonished for its conduct and to issue a general statement to all parties involved to desist from commenting on proceedings before they are concluded. CHAIRPERSON: I don't see why we have to

18 client's example I suppose an admonition may be appropriate 19 but I'm not sure it's necessary at this stage. But please 20 carry on. 21 MR MALINDI: Chairperson, I suggested an admonition to my client and a warning to other parties 23 which is a general warning that gets given to parties 24 involved in proceedings but if it's synonymous to admonition I will not request you to make that warning and

admonish the other parties, because no other parties have

done that but if it's suggested they may follow your

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Page 7001 Page 7003 not at this stage, Mr Chairman. If anything perhaps only 1 2 CHAIRPERSON: 2 tomorrow, Mr Chairman. If I admonish one party not to do this in future then all the other parties is 3 3 CHAIRPERSON: Thank you. National 4 sufficiently intelligent to realise that the same comments 4 Commissioner you're still under oath. Mr Madlanga, please 5 would apply to them but it's not necessary to admonish them 5 proceed with your cross-examination. or warn them because they haven't done anything which calls MR MADLANGA SC: 6 6 Thank you Mr Chairman. 7 7 for an admonition or warning. General let's go to the sentence that the Chairman referred 8 MR MALINDI: 8 to just before the tea adjournment. That sentence reads Thank you. 9 9 CHAIRPERSON: But what is more serious is and it follows the third sentence that you were relying on you dealing with the possible retraction of the statement, or reading conjointly with the first sentence. It reads 10 10 you said you haven't been able to obtain instruction, does 11 "the police officers are prepared to accept that they may 11 12 have been responding to 'friendly fire' believing it to be 12 that mean your client refused to withdraw the statement or 13 that you haven't had an opportunity to get instructions to 13 fire from the protestors," do you see that? 14 withdraw it? 14 **GENERAL PHIYEGA:** Yes, I do. 15 MR MALINDI: 15 MR MADLANGA SC: And looking at that I have made contact with the together with the third sentence that you were relying on 16 client but the persons responsible have not been contacted 17 directly and I agreed with Mr Semenya that as soon as I 17 in support for the charging at or storming towards the 18 have those instructions I will approach you similarly to 18 police, do you still maintain that this paragraph, that is 19 indicate such instructions, Chairperson. 19 45.5, supports the view held in your media statement or 20 CHAIRPERSON: I think the way to do it is 20 expressed in your media statement that a militant group 21 I'll give you till 9:30 tomorrow morning to get 21 stormed towards the police, firing shots and were then shot instructions regarding the possible retraction of the 22 22 at by the police? 23 statement which reflected very seriously and adversely on 23 **GENERAL PHIYEGA:** Yes, I still stand by 24 the credibility of a witness who's still under cross-24 that. 25 25 examination and accused her, as I read it, of committing MR MADLANGA SC: Okay let's deal with it Page 7002 Page 7004 perjury before this Commission. If she did or she didn't step by step. I will not again read the first sentence. 1 is a matter we will have to deal with at the end of the The second one says "these are accounted for below." Do you 2 3 hearing in our report but it's inappropriate for any party see that? 4 to make a statement to that effect before we've dealt with 4 GENERAL PHIYEGA: Yes. 5 it in our report and au fortiori while she's still under 5 MR MADLANGA SC: And those being oath being cross-examined. With regard to the other 6 accounted for below being "those that were shot in self 6 matter, you say you haven't yet had an opportunity to 7 defence when they were charging at the police with 7 listen to the radio interview, I understand a clip is being 8 dangerous weapons." Do you see that? That is in the first 8 sent to you electronically. It either has been or will be. 9 9 sentence. So by tomorrow morning at 9:30 I expect you to deal with 10 10 GENERAL PHIYEGA: I'm probably a bit lost 11 that as well. Mr Semenya, is there anything you wish to 11 because after that sentence what I see is "these are 12 say? 12 accounted for below, others could have been" I don't know 13 MR SEMENYA SC: Chair, thank you. We 13 if I'm reading at the same place with you. 14 will await the retraction and an explanation for why that 14 MR MADLANGA SC: I'm trying to clarify to instruction happened in the first place. This is a Human 15 15 you based on my understanding of the opening statement what Rights Commission. the word "these" is referring to. The word "these" 16 16 17 CHAIRPERSON: Thank you. I may well feel 17 obviously refers back to the sentence immediately preceding

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the "these." Do you accept that?

GENERAL PHIYEGA:

explain it again. You lose me.

MR MADLANGA SC:

because I thought, maybe it's the interpretation that

confuses me. Maybe if you lead me again to tell me what

reading something that we have read over and over again but

you are asking. Are you saying - you know just maybe

I think I'm confused

I was trying to avoid

called upon to make some general remarks tomorrow addressed

22 matter which is dealt with on the radio interview but that

24 is there anything you wish to say at this stage?

MR MADLANGA SC:

can stand over until tomorrow morning as well. Mr Madlanga

Thank you, Chairperson.

Not on this subject and

- relating to the other

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to the public in general -

MR SEMENYA SC:

CHAIRPERSON:

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Page 7005
    maybe I need to do that then, General.
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           GNERAL PHIYEGA:
                                    Do so.
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           MR MADLANGA SC:
                                     Paragraph 45.5 starts by
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    saying "the evidence will be that some of the 13 protestors
5
    were shot and killed at Koppie Three had charged at police
    officers with dangerous sharp weapons and had been shot in
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7
    self defence." Do you see that?
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           GENERAL PHIYEGA:
                                     Yes, I do.
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           MR MADLANGA SC:
                                     The very next sentence
    reads "these are accounted for below."
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           GENERAL PHIYEGA:
                                     Okay. Yes.
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           MR MADLANGA SC:
                                     And when you got
    confused by my question I was saying the word "these" that
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    is at the beginning of the second sentence is an obvious
    reference to the protestors who had charged at police
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    officers with dangerous, sharp weapons and had been shot in
16
17
    self defence. Do you accept that?
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           GENERAL PHIYEGA:
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           MR MADLANGA SC:
                                     And now, Commissioner,
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    to avoid confusion and I understand that you are reading
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    the two sentences conjointly to arrive at your conclusion
    but just so as to go step by step and for me to understand
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    you do you accept that in first sentence that I have read
    again nothing says that the protestors who charged at the
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    police with dangerous, sharp weapons were firing shots at
                                                       Page 7006
    the police. Do you accept that in the first sentence? I'm
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    not talking about other sentences in the paragraph, in that
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    sentence nothing refers to the protestors themselves firing
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below." And then the third sentence says "others" and I say by the use of "others" that sentence cannot possibly, even by the most benevolent reading, it cannot possibly still be referring to the same protestors that are referred to in the first and second sentences. Do you accept that? 5 GENERAL PHIYEGA: 6 I have answered this 7 question and I have said I read this paragraph as one 8 flowing whatever, it's just a qualification. CHAIRPERSON: 9 May I intervene and ask a 10 question, it's related to what you're asking about, it may shorten proceedings a bit I hope. You see that 45.5 first 11 12 sentence talks about 13 protestors. Evidence will be that some of the 13 protestors who were shot had charged and had 14 been shot in self defence. Then it goes on "these are accounted for below" and then you look at 45.6 you'll see there's reference to 13 bodies and when you look at 45.8 you will see that there are a number of sub paragraphs 45.8.1 going all the way through to 45.8.5. Now if you go 19 through paragraphs 45.8.1 to 45.8.5 you will see they deal 20 with 13 bodies. Where they were found and so forth. So 21 quite clearly when the second sentence of paragraph 45.5 22 says "these are accounted for below" that's a reference to 23 what one can call the accounting in respect of the 13 24 bodies in paragraphs 45.8.1 through to 45.8.5. Would you accept that that's correct? I've counted them, if you want

4 shots. Do you accept that? 5 GENERAL PHIYEGA: Yes. MR MADLANGA SC: Now let us go to the 6 third sentence. That sentence starts with the word 7 8 "others" do you see that? 9 GENERAL PHIYEGA: I do 10 MR MADLANGA SC: And I read that to distinguish these protestors that the third sentence is 11 12 going to talk about, to distinguish them from "those" that 13 are referred to in the first sentence, do you accept that? 14 GENERAL PHIYEGA: I understand. 15 MR MADLANGA SC: Do you accept? 16 **GENERAL PHIYEGA:** I do say I understand 17 because you started off by saying "I read it as a conjoint 18 statement" and this is why yes I understand. 19 MR MADLANGA SC: I want to make sure that you agree with me, if you don't I would prefer for you to 21 say that you do not agree with me. Now what I'm saying is 22 the first sentence mentions a specific category of protestors and says they were shot in self defence and the 24 second sentence obviously as you accept refers back to

to check my accounting skills you can count them again. 2 [11:55] GENERAL PHIYEGA: Chair, I will trust 3 your accounting and then on the basis of that take the next 4 question. 5 CHAIRPERSON: The next question is, it's not accounting, its counting actually. The next question 6 7 is the third sentence at 45.5 "others could have been 8 killed" clearly relates to persons other than the 13 who 9 are accounted for below i.e. in 45.8.1 through to 45.8.5. 10 I take it that must be right. 11 MR MADLANGA SC: In the first, Mr 12 Chairman, if perhaps, Mr Chairman, if you agree, if you 13 could tweak your question to cover what I'm going to 14 suggest. 15 CHAIRPERSON: I never believe in tweaking 16 questions -17 MR MADLANGA SC: It is some of the 13 Mr 18 Chairman. It is some Mr Chairperson. 19 CHAIRPERSON: But then there are 13 20 bodies and the 13 are all dealt with. Oh I see. 21 MR MADLANGA SC: It's some of the 13 that 22 charged and were killed in self defence, so it's not all 23 13. 24 CHAIRPERSON: I see, alright.

MR MADLANGA SC:

25 those same protestors that says "these are accounted for

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And that "these" refers

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to some, not all 13, Mr Chairman.

GENERAL PHIYEGA: I think it's getting a bit more complicated when I listen to what you have said and the tweaking that you're putting in and I'd like to say my simple answer is that when I read the statement I read it as a conjoint process.

MR MADLANGA SC: General, how can you read the word "others" that introduces the third sentence to be still a reference to some of the 13 referred to in the first sentence and that "these" in the second sentence, that obviously refers to some of the 13 contained in the first sentence. How can you read the "others" to still refer to the same people?

GENERAL PHIYEGA: Perhaps you are not accepting my answer but I still say I look this as a common process.

CHAIRPERSON: Commissioner, may I ask you a question on the same point, to see if I can get clarity in my own mind? The first part of 45.5 talks about people who were shot in self defence.

21 GENERAL PHIYEGA: Yes.

22 CHAIRPERSON: The second part as I read 23 it deals with persons who weren't shot in self defence but 24 who the police believed they had shot in self defence. You

25 see, "others could have been killed when the police

Page 7010

officers returned sharp fire believing shots were being

fired from the bushes and crevices by the protestors, by 2

protestors." Then it goes on "the police officers are 3

4 prepared to accept that they may have been responding to

5 'friendly fire' believing it to be fire from the

protestors. Without forensic evidence we're unable to give 6

an unqualified account."

So what that says is this, some people were shot in self defence other people were shot by police who say 10 they may not have actually be acting in self defence. They 11 may have believed that they were acting in self defence 12 because they may have believed the protestors were shooting

13 at them but they now are prepared to accept that they may

14 have been mistaken because the shots which they thought

15 came from the protestors may have come from what is

described as friendly fire. So in other words what they're 16

17 saying is look some people definitely self defence, other

people may be not self defence because we're prepared to 18

accept that we thought we were defending ourselves because

they were firing at us. We now accept we may have been

21 wrong because the shots that we thought were coming from

22 them may have been friendly fire fired by policemen from

the other side of the hill. That seems to be what the

24 statement says. Would you agree with that? And it depends

25 obviously on the forensic evidence. Subject to the

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forensic evidence they say we can't say categorically in

the case of these others that they were definitely shot in

self defence because it may not have been self defence. It

may have been based on a mistaken belief that they were

shooting. That must be right surely, that's what it says.

6 **GENERAL PHIYEGA:** Chair the "may be" is 7 very critical because that last sentence actually says

8 "without forensic evidence we are unable to give an

unqualified account explaining some of these persons." So

10 the "may" is very operative in that sentence.

CHAIRPERSON: No I understand that, I

12 understand. In other words the point is this, your

13 statement contained on this part effectively two averments

what I'll call A and B. A was the people had sharp

15 weapons, B was all of them because this statement is

without exception. B is they fired shots. What now

17 appears is your statement requires revision, at least in

respect of the "others" because the maybe comes in. So

19 what one now has to say is A plus B maybe if you understand

20 what I mean. So the point that I think Counsel is making

21 and if I'm wrong he will, as he's shown previously, not

22 hesitate to correct me. The point I think Counsel is

23 making is this; that when you said you stood by the whole

24 of your statement you should actually have said the

statement was what I believed at the time but in the light

Page 7012

of what the police now say about what I call the B maybe,

2 it may be subject to revision because some of the people

3 may not actually have been shot in self defence. They may

4 have been shot by police who thought they were acting in

self defence but were misled by what amounts to friendly

6 fire. Is that your point Mr Madlanga?

MR MADLANGA SC: Definitely, Mr Chairman, thank you.

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MR SEMENYA SC: Chair, can I make two statements? The one is to the extent that there may be contradictions between the two, our submission is that that contradiction is better explained by -

CHAIRPERSON: But hang on a second aren't you answering the question for the witness?

MR SEMENYA SC: No. I'm not.

16 CHAIRPERSON: You can make your 17 submissions later but surely unless the question is unfair

or misleading then the witness - Mr Madlanga is entitled to

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19 have an answer to it and in fact it might even be better

for your client to rather give an answer without having the

benefit of hearing what you're going to submit later

22 because it might add force and credibility to what she

says.

24 MR SEMENYA SC: Indeed Chair the second part of the statement we propose to make is that the

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Page 7013

- paragraph is not strictly as my learned colleague is
- 2 putting it which renders it unfair. Clearly the ordinary
- 3 reading which is a possible interpretation of that is that
- 4 the "others" does not relate to people other than some of
- 5 the 13. If we know, as we do, that there were 16 people
- who were killed it says some of those 13 have been killed 6
- 7 in self defence but some of those 13 may have been killed
- 8 in intuitive self defence, that interpretation is competent
- 9 on paragraph 45.3. Now to disaggregate them and say the 13
- is put one side and the "others" is the other is with 10
- 11 respect misleading.
- 12 CHAIRPERSON: I don't agree with that
- 13 objection and I overrule it and the witness may now answer
- 14 the question put.
- 15 **GENERAL PHIYEGA:** I think after all this,
- I will ask you to state your question again. 16
- 17 CHAIRPERSON: That's a further question,
- 18 Mr Madlanga.
- 19 MR MADLANGA: It was your question, Mr
- 20 Chairman.
- 21 CHAIRPERSON: I put - it was intended to
- be a statement of what your If it was something new I 22
- 23 apologise, if you don't want to put any new bits then you
- 24 don't have to.
- 25 MR MADLANGA SC: I understand, Mr

Page 7014

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- Chairman, I understand. General, let me just read the 1
- 2 third sentence all he way down to just before "without
- 3 forensic evidence." I'll read that sentence and the ones
- 4 that follow up to that point. "Others could have been
- 5 killed when police officers returned sharp fire believing
- 6 shots to have been fired from the bushes and crevices in
- 7 the koppie by protestors. The police officers are prepared
- 8 to accept that they may have been responding to 'friendly
- 9 fire' believing it to be fire from the protestors." And I
- 10 stop there. Do you see that? The first sentence of the
- 11 paragraph makes the categorical point that the people who
- 12 were shot in self defence were charging at the police with
- 13 dangerous, sharp weapons. Do you see that?
- 14 GENERAL PHIYEGA: Yes, I do see that.
- 15 MR MADLANGA SC: Whereas on my reading of
- 16 it or rather on my reading of them the third and fourth
- 17 sentences say that the other lot of protestors may well
- 18 have been shot at by mistake, that's the essence of it.
- 19 The "others" may well have been shot by mistake when the
- 20 police returned fire that they believed to be coming from
- 21 the bushes and crevices whereas it may well be that that
- 22 fire was fire by other police which is what they refer to
- by this friendly fire. Do you accept that?

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- GENERAL PHIYEGA: I accept that with the
- 25 highlighted "may" and the last sentence that actually said

Page 7015

- "we were not able to pass judgement when this statement was
 - made.
- 3 MR MADLANGA SC: Even if one tries to put
- 4 it at its lowest by using the word "may" it is still a far
- 5 cry from the categorical point made in the first sentence
- 6 which is that "protestors charging at the police were shot
- 7 at - charging at the police with dangerous, sharp weapons
- were shot in self defence." Saying that protestors may well 8
- 9 have been shot by mistake is totally different from saying
- 10 that they were charging at police with dangerous weapons
- and were shot in self defence. Those are two different 11
- 12 statements, not so?
- 13 GENERAL PHIYEGA: I continue to disagree.
- 14 CHAIRPERSON: Mr Madlanga, I think you've
- 15 taken this point as far as it can go. Your point is
- clearly put I think. Her reply is clearly put, it's for us
- perhaps later after the benefit of the argument Mr Semenya 17
- promises to give us to decide whether this is a good point
- 19 or a bad point. Isn't that so? I don't know if you can
- 20 take it any further by questioning or she can take her
 - answer any further by further replies but I think we should
- 22 move on unless you feel strongly about that.
- 23 MR MADLANGA SC: Thank you I'll move on,
- 24 Mr Chairman. We will argue at the end that the witness was
 - obviously being evasive on this point. I'm now proceeding

Page 7016

- to do what I said I would do which is to demonstrate to you
- that those that the opening statement says in second
- sentence "are accounted for" that it's those who charged at
- the police with dangerous weapons, charged only with
- dangerous weapons and not with firearms that were being 5
- 6 fired at the police. I take you to paragraph 45.8.1 of the
- 7 opening statement and that paragraph refers to a group that
- 8 charged with pangas, spears and knobkerries. Take your
- 9 time and read and it does not refer to that group charging
- 10 with firearms or it does not mention firearms, let alone
- 11 firearms being fired at the police. Do you see that?
- 12 GENERAL PHIYEGA: Yes, I see that.
- 13
- MR MADLANGA SC: Mr Chairman,
- 14 Commissioners I'm going to be mentioning the names of some 15 of deceased. Not showing any pictures, I do not think that
- 16 warrants a warning but perhaps for what it's worth the
- 17 warning may be given.
- 18 CHAIRPERSON: Some of the persons who 19 were killed at scene two are going to be mentioned by name.
- If there are family members or loved ones of persons who
- 21 were killed at scene two who feel that even hearing their
- 22 names being mentioned in the context of their being killed
- 23 will cause them distress I ask that what I say is
- 24 interpreted into isiXhosa and that the cross-examination
 - should only proceed with the naming of these people two

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MR SEMENYA SC:

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And he too was shot dead.

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Page 7017 minutes after what I've said has been interpreted. 2 MR MADLANGA SC: Mr Chairman, even though 3 the two minutes may not be up it doesn't look like there's 4 any movement. Shouldn't I continue perhaps? 5 CHAIRPERSON: I suggest that you continue, yes. 6 7 [12:15] MR MADLANGA SC: General, according to 8 the opening statement, the same paragraph 45.8.1, Mr Anele 9 Mdizeni and Mr Thabo Thelejane whose bodies are 10 respectively referred to as A and B, were part of this group, that is the group that is said to have charged with 11 12 pangas, spears and knobkerries. Do you see that? Of 13 course only mention of A and B is made, not the two names 14 that I've given. 15 **GENERAL PHIYEGA:** Yes, I do see that. And both of them were 16 MR MADLANGA SC: 17 shot dead, you see that as well. 18 GENERAL PHIYEGA: Yes. 19 MR MADLANGA SC: Mr Thobile Mpumza, whose 20 body was subsequently marked C, is referred to in paragraph 21 45.8.2 and he is said to have come out running towards the police armed with a spear and knobkerrie, again no mention 22 23 of a firearm and that he lunged at a police officer with 24 the spear. Do you see that? Take your time to read it.

4 returned fire in the direction from which the gunfire came. 5 And it goes on and says, "A person charged at the police, who were in the koppie in the vicinity of O and the police 6 7 shot at the person." Do you see that? 8 GENERAL PHIYEGA: Yes. I see that. 9 MR MADLANGA SC: Crucially, do you notice 10 that the opening sentence does not say that as Mr Xalabile 11 was charging towards the police he was firing shots at 12 them? Do you see that no such allegation is made? 13 **GENERAL PHIYEGA:** I do see that but you 14 have also alluded to a statement there where you were 15 saying the person is in the vicinity from where gunshots were fired at police. 16 17 MR MADLANGA SC: No, I'm satisfied with 18 the part where you accept that the statement does not say 19 he charged at the police firing shots at them. I'll take you to the next point. Nor does the opening statement say 20 21 that as he charged at the police, he was part of a group 22 that was firing shots at the police and as they fired the 23 shots they were also charging at the police. So, one, not 24 a group firing shots and, crucially, charging at the police. The group was just him, do you see that? Page 7020

Chair, can we make the

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does, of course, state that the group in which Mr O was,

police officers who were walking to the koppie. The police

was in the vicinity from where gunshots were fired at

Page 7018 GENERAL PHIYEGA: 1 Yes. 2 MR MADLANGA SC: In paragraph 45.8.4 the 3 opening statement says that Mr Makhosandile Mkhonjwa, whose 4 body was subsequently marked N, was part of a group that 5 came from inside the koppie to the edge of the koppie armed 6 with spears, pangas, knobkerries - again no mention of 7 firearms, let alone firearms that were being fired at the 8 police, do you see that? 9 GENERAL PHIYEGA: I see that. 10 MR MADLANGA SC: Mr Mkhonjwa and another 11 person from the group charged towards the police, who 12 killed Mr Mkhonjwa and injured the other person. Do you 13 see that? 14 GENERAL PHIYEGA: I am trying to -15 Mr Mkhonjwa is the N, MR MADLANGA SC: the letter N I referred to. 16 17 GENERAL PHIYEGA: You lost me because you 18 didn't name the N before. I see that. 19 MR MADLANGA SC: In paragraph 45.8.5 the opening statement says Mr Nkosinati Xalabile whose body was 21 subsequently marked O, was among the group that was inside the koppie, armed with pangas, spears and knobkerries, do you see that? GENERAL PHIYEGA: Yes, I see that. MR MADLANGA SC: The opening statement

2 objection again on grounds of fairness? Both the opening statement as well as the media statement are statements informed by a whole host of evidence and if the inquiry is 5 whether there was this group or not, may I refer the 6 witness properly to the statement of Modiba which we all 7 have, where both the charging and the firing happened. So 8 to take the two documents as though they are a conclusive 9 total picture of what happened is unfair to the witness, 10 with respect. 11 CHAIRPERSON: [Inaudible] 12 MR SEMENYA SC: The statement of Colonel 13 Modiba of the NIU, paragraph 7 thereof. 14 CHAIRPERSON: I see. Mr Madlanga, you've 15 heard what Mr Semenya says. What reply do you have? MR MADLANGA SC: Which paragraph did my 16 17 learned friend say? 18 MR SEMENYA SC: Paragraph 7 of the 19 statement of Colonel Modiba. 20 CHAIRPERSON: I think I'm correct in 21 saying that statement, although it is part of the SAPS hard drive, is not an exhibit so I haven't seen it, but Mr 23 Madlanga is in possession of a copy and so he'd be able to 24 respond to what you say. 25 MR MADLANGA SC: May the Commissioners

Page 7021 Page 7023 please just give me a bit of time? defend themselves." Do you see that, or rather you heard 2 CHAIRPERSON: 2 that? Someone's phone has got a -3 GENERAL PHIYEGA: 3 what do you call it - a call tone which we can hear, which I heard that. 4 - a ring tone which I think that cell phone should be 4 MR MADLANGA SC: Prior to today you were 5 turned off because it interrupts the proceedings. 5 not aware of this statement and the content of paragraph 7 MR MADLANGA SC: 6 Mr Chairman, 6 that I've just read. 7 Commissioners, may I just ask for a few minutes' 7 **GENERAL PHIYEGA:** Can you ask your 8 adjournment. We're having a debate that's not coming to an 8 question again? 9 9 end. I hope we will not be long at all. MR MADLANGA SC: Prior to today you were 10 CHAIRPERSON: The Commission will adjourn 10 not aware of the existence of the statement of Colonel for more than a moment, for some time and as soon as you 11 11 Modiba and, in particular, paragraph 7 which I read to you. are in a position to continue, please let us know and we'll 12 GENERAL PHIYEGA: 12 The statement of come back. 13 13 Colonel Modiba and some of the issues that you are raising, 14 [COMMISSION ADJOURNS COMMISSION RESUMES] 14 I was aware of. 15 [12:40] CHAIRPERSON: I understand you're ready 15 MR MADLANGA SC: Does that mean you read to continue, is that correct? You're still under oath, 16 this statement itself? I'm not asking you about the issues National Commissioner. 17 17 that the statement addresses, do you mean you read the 18 MANGWASHI VICTORIA PHIYEGA: s.u.o. 18 statement yourself prior to today? 19 CHAIRPERSON: Mr Madlanga? 19 **GENERAL PHIYEGA:** I have not read the 20 CROSS-EXAMINATION BY MR MADLANGA SC (CONTD.): 20 statement of Modiba. 21 Thank you, thank you Commissioners, for the indulgence. 21 MR MADLANGA SC: Next question, were you 22 Perhaps it's fair to the National Commissioner to read the aware of its existence - not the issues that it addresses, 22 23 paragraph that my learned friend Mr Semenya referred to, 23 the existence of this statement. 24 which is paragraph 7 of the statement of Mr Kaizer Ntlou 24 **GENERAL PHIYEGA:** I'm aware that he's a 25 Modiba. 25 commander and that he would have taken a statement Page 7022 Page 7024 I gather he's a member of CHAIRPERSON: MR MADLANGA SC: But you were not aware 1 the police service? that he had in fact made a statement and in it made the 2 3 MR MADLANGA SC: Yes. 3 allegations that he makes. 4 CHAIRPERSON: What's his rank? 4 **GENERAL PHIYEGA:** I'm aware of the issues 5 MR MADLANGA SC: Lieutenant-Colonel. 5 that are being raised in that statement. CHAIRPERSON: Thank you. 6 MR MADLANGA SC: 6 Let me move on to the 7 MR MADLANGA SC: And he is a member of 7 address you made to the police at -8 8 the NIU, Mr Chairman. CHAIRPERSON: Before we do that, are you 9 9 going to deal with 45.8.3, anything further about that, MR MAHLANGU: The Commissioner does not seem to have the statement. I will - I'll just read the 10 10 because if you're not, I'm going to ask a question. 11 whole of -11 MR MADLANGA SC: 45? No, please do, Mr 12 CHAIRPERSON: I haven't got it either. 12 Chairman. 13 I'll listen and she can listen too. 13 CHAIRPERSON: Yes. The last sentence of 14 MR MADLANGA SC: 14 paragraph 45.8.3 - it may well be relevant in the context Yes - yes. This 15 15 we're busy with - it refers to eight of the protesters who paragraph reads, Commissioner, "I immediately directed the members of National Intervention Unit to move to the 16 were killed at the koppie, that's the small koppie, and it, 17 mountains (scene 2) in order to have them swept. Upon 17 the last sentence reads, "The evidence may reveal that the 18 approaching at the mountains, there were warriors that came 18 response of some police officers may have been from hiding behind the rocks, armed with pangas, assegais, 19 disproportionate to the danger they faced from the group of knobkerries, axes and spears at a distance of about 12 20 more than 200 armed protesters." That means they may not 21 metres, charging at us. I therefore shouted at them by have, they may not be able to rely on the defence of self-

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defence or private defence if their response was

disproportionate to the danger they faced. So in the

opening statement Mr Semenya, on behalf of the police,

concedes that the evidence may reveal that in the case of

22 saying, 'stop, stop' while firing warning shots from my

25 the members discharged their firearms with an effort to

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23 pistol number PX1722E, into the ground. At that time there

24 were shots fired from the group towards our team. Some of

Page 7025

some of the police officers who killed protesters, their

- 2 conduct was not proportionate to the danger they faced and
- therefore they would not be entitled to rely on the defence 3
- 4 of private defence. Do you see that?

5 GENERAL PHIYEGA: Ob I

CHAIRPERSON: Isn't that - now that reads 6

as if the police attitude is that in the case of some, at

8 least, of the people who are listed in 45.8.3, their

9 killing may not be able to be justified on the grounds of

self-defence or private defence, depending again on the 10

11 ballistic reports which were referred to in the previous

12 sentence. Now if that's so, that the police may not be

13 able to rely, in the case of some of the deaths, on the

14 defence of self-defence or private defence, doesn't it mean

15 that at least to that extent the statement that you made,

that you stand by the media statement you made on the 17th, 16

may have to be qualified in the light of this partial 17

18 concession contained in the sentence that I've read from

19 45.8.3?

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20 GENERAL PHIYEGA: Judge, my answer is

that the statement that I gave on the 20th, subject to 45.5, 21

22 without forensic evidence we are unable to give an

23 unqualified account explaining the death of some of the

24 persons. I do believe that through the process of this

hearing that evidence will be given and at that point I'm 25

Page 7026

24

25

sure we then may have to revisit my original statement but

2 at this point in time I'm saying I'm standing, you know, on

3 the statement because I do not see that qualification and

4 that issue that answers that question under 45.5.

5 MR MADLANGA SC: General, may I ask to refer you to the statement of Lieutenant-Colonel Cheston 6

Gaffley, I will give you a copy.

8 CHAIRPERSON: Does it become an exhibit?

9 MR MADLANGA SC: Yes, Mr Chairman,

10 Commissioners, and a copy -

11 CHAIRPERSON: That will be FFF10. Can

12 you make copies for us?

13 MR MADLANGA SC: Yes, copies will be

provided to the Commissioners. For context I will read a 14

15 few paragraphs, in particular to indicate that the

16 Lieutenant-Colonel is referring to scene 2. I'll start at

17 paragraph 10. Or perhaps let me start at 9, paragraph 9.

18 He says, "I could notice that a large group of protesters

ran into the bushes and rocks at koppie 3." Paragraph 10,

20 "The Casspir and the water cannon arrived at koppie 3 at

21 the same time and at this stage the water cannon was still

22 spraying at the crowd. At this stage I ordered the members

in the Casspir to stay inside the vehicles as I could see

24 that the forces on the ground were still far behind us and 25 that it would be looking for trouble to send nine members

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into the koppie after the protesters." And perhaps for

completeness let me mention that the Lieutenant-Colonel is

attached to the special task force or the STF. In

4 paragraph 11 he continues, "We waited inside the Casspir

5 for a little while and then I saw the canine unit

6 approaching from behind us. I ordered my members to get

7 out of the vehicle but not to approach as yet, but just to

8 line up next to the Casspir. I also got out of the vehicle

9 and started talking to the crowd, ordering them to lay down

10 their weapons but this had no effect. I could, however,

11 not identify any person within the crowd that was visible

12 to me with any firearm in his hand. At this stage I heard

13 gunshots coming from the direction of the bushes in front

14 of us but could not see any person shooting. Then the

canine members also started firing from behind us into the

koppie. I also heard shots from our left and right. At

17 this stage the Scorpions has joined us and the members had

18 formed a line in front of the Casspir, ready to approach

19 the koppie on foot. I ordered the members to fall back and

20 take position behind the vehicles as it was clear that the

21

police are firing from different directions and members

22 could be caught in cross-fire." You heard all that or

23 you've seen all of that because you have a copy.

GENERAL PHIYEGA: Yes.

> MR MADLANGA SC: Now, taking you to the

> > Page 7028

paragraph that we earlier dealt with in the opening

statement, the third and fourth sentences of paragraph

45.5, did I understand you correctly that you eventually

accepted that the protesters referred to in those sentences

may well have been shot by mistake?

6 **GENERAL PHIYEGA:** I do not recall putting

7 my facts that way you've changed it. 8

CHAIRPERSON: - Mr Madlanga used that

9 language, I've got an idea you agreed with it but -

> MR MADLANGA SC: An idea that she agreed

11 with it?

10

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12 CHAIRPERSON: That she agreed. I think

13 that was your language, probably by mistake, she certainly 14

didn't say that herself.

MR MADLANGA SC: Yes, yes.

16 CHAIRPERSON: But I got the impression

that she didn't - she either agreed or didn't dissent from

18

19 MR MADLANGA SC: Yes. National

Commissioner, I used that language, paraphrasing what I see

in those two sentences and my recollection is that you

22 agreed with me.

GENERAL PHIYEGA: 23 Perhaps to be corrected

24 is, are you reading the last paragraph, "The police

officers are prepared to accept that they may have been

Page 7031

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Page 7029

responding to" - is that what you are referring to? 1

2 MR MADLANGA SC: I'm referring to that

3 and the sentence preceding that one, National Commissioner.

4 **GENERAL PHIYEGA:** And I recall you

5 saying, when we closed this one, that your conclusion is

that I did not answer you the way you wanted me to answer 6

you because I kept on saying if we read the mail in context 7

I would understand what you say. 8

MR MADLANGA SC: Let me perhaps ask the question again then. Looking at the two sentences together, is that not indicative of an acceptance by the police that they may well have shot and killed some of the

13 protesters by mistake?

14 [13:00] GENERAL PHIYEGA: If I paraphrase what

you're saying, may have - may have, I think that's very 15

operative for me to say the statement as it stands there 16

17 captured our standpoint.

> CHAIRPERSON: I'm not sure – you say you stand by what you said in your original media statement.

20 In your media statement you didn't say people, some of the

21 people who were shot may have been shot in self-defence.

You said they were, and what this statement appears to say 22

23 is that they may have been shot in self-defence in the

belief that the fire to which the police were responding 24

25 came from them, but in fact that those shots may have been parties to take part in the debate, if they have

submissions they wish to make, if they believe the ruling

we're called upon to make may affect their clients, then

obviously I can't stop them, but hopefully the relevant

points will be fully covered by the arguments we will

receive, so it won't be necessary for other interventions

7 but we'll now adjourn to half past one.

> [COMMISSION ADJOURNS COMMISSION RESUMES1

9 [13:33] CHAIRPERSON: The Commission resumes.

After we adjourned I told the National Commissioner that we 10

11 would be dealing with this matter about the discovery of

12 documents, as I'd indicated earlier, at half past one. If

13 we concluded it before two o'clock I would adjourn and we'd

14 reassemble at two o'clock. I said if the matter went on

beyond two o'clock then I wouldn't adjourn but I told her

she only had to be back by two o'clock. So that was the

17 discussion I had with her. Mr Malindi, you wish to bring

an application?

MR MALINDI: Thank you, Chairperson. In fact, the NUM is objecting to our request for discovery but if Mr Chairperson finds it convenient that I begin, I shall

22 begin.

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23 CHAIRPERSON: You're bringing the 24 application. You would bear the onus of showing that

you're entitled to the relief you seek, so obviously you

Page 7030

what are described as friendly fire. Isn't that an

2 accurate way of putting it?

3 GENERAL PHIYEGA: Judge, I am on record

saying we should read that with the last sentence because

5 at this point in time I have said I have not - without that

forensic evidence and all those issues it makes it very 6

7 difficult to just give a categoric yes and no.

CHAIRPERSON: You haven't been asked to give a categoric yes or no, you're being asked to give a categoric maybe and the maybe is based upon the last

11 sentence because if there is ballistic evidence on the

12 point, it may put it either into a yes category or a no

13 category but at the moment it's maybe because we don't know

but the police are prepared to accept that they may have 14

been responding to friendly fire. Isn't that a fair 15

summary of the position? 16

17 **GENERAL PHIYEGA:** I'm comfortable in

18 saying maybe.

19 CHAIRPERSON: Well, on that comfortable note I suggest we take the lunch adjournment. We will

21 resume at 1:30 but you don't have to be back here, National

Commissioner, for that because we're going to have an

argument from the Human Rights Commission on a question of

24 discovery and I take it Mr Tip will be responding and the

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evidence leaders as well and while I won't encourage other

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must start.

2 MR MALINDI: I will start then,

Chairperson. Chairperson, on 10 January 2013 the Human

Rights Commission made a request for discovery by the NUM

5 and that appears on page 23 of the bundle which I've been

6 made to believe has been made available to the

7 Commissioners and the evidence leaders. The documents

8 required to be discovered are set out in paragraphs 5.1 and

9 5.2 of the request and that is that the NUM should discover

10 records of discussions relating to disputes over benefits

11 associated with employment at Lonmin over the five years

12 preceding August 2012 and their records on format of

13 interaction between the unions and the employer. This

14 includes correspondence as well as individual member's

We submit, Chair, that the discovery request

15 complaints regarding benefits, especially housing.

17 falls within the topics that the Human Rights Commission 18 has indicated that it wishes to address in phase 2. These 19 are training and career pathing for mineworkers at Lonmin's

20 Marikana mine, as appears on page 26 of the bundle,

21 especially under topic number 2, specifically under topic

22 number 2 which reads that, the topic deals with the lived

23 experience of mineworkers, point 1, conditions of work for

mineworkers at Lonmin's Marikana mine. Point 2, living

arrangements for the mineworkers at Lonmin's Marikana mine.

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- Point 3, training and career pathing for mineworkers at
- Lonmin's Marikana mine and the extent to which Lonmin has 2
- 3 failed to comply with its Mining Charter obligations and
- 4 the reasons therefor as appears on page 32 of the bundle,
- 5 especially specifically under topic 16 which reads, "Mining
- Charter issues. Point 1, the identification of Lonmin's 6
- 7 obligations under the Mining Charter, the extent to which
- Lonmin has failed to comply with these obligations and the 8
- 9 reason for such non-compliance. Point 3, the
- 10 responsibility of the Department of Mineral Resources for
- 11 not taking steps in relation to any non-compliance."
- 12 The NUM, by letter of 15 March 2013 -
- 13 CHAIRPERSON: Letter of?

MR MALINDI: 15 March 2013, which appears on page 37 of the bundle, has objected to the discovery

requirement request for the reasons set out in paragraphs 2

16

17 and 3 of the letter. Essentially, Chairperson, the

18 objection is that the request does not fall within the

parameters of paragraph 7.1 of the timetable issued as a

20 ruling of the Commission on 12 March 2013. 21

Paragraph 7.1 requires that the topics - let me start with the main paragraph. The discovery obligations

are subject to the following conditions, point 1, the

24 topics do not in any way supersede the terms of reference

of the Commission. So a party is obliged to make discovery

the bundle, which require that the conduct of Lonmin must

- be investigated in the following regard whether it, being
- Lonmin, by act or omission created an environment which was
- conducive to the creation of tension, labour unrest,
- 5 disunity among its employees, or other harmful conduct, to
- 6 examine generally its policy, procedure, practices and
- 7 conduct relating to its employees and organised labour.

8 In this context, Chairperson, it is important

9 that the Commission knows whether the NUM pursued the

10 issues set out in paragraph 4 of page 23 of the bundle,

11 against Lonmin and whether Lonmin responded thereto and 12 how.

13 CHAIRPERSON: Whether Lonmin responded 14 thereto and how, would be a document you'd presumably get from Lonmin but I understand the point about whether NUM 16 pursued the point.

17 MR MALINDI: Absolutely, Chair.

18 Regarding the how, Chairperson, NUM might be helpful to

19 indicate how Lonmin has responded to its own application

20 for the attainment of the matters that we ask that need to

21 be investigated. It is also important to know whether

22 these matters were pursued as a united front by the trade

23 unions or whether they acted separately and whether

24 individual miners were left to their own devices.

The relevance to paragraph 7.1 on page 35 is that

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of documents in relation to a topic only insofar as the

- 2 topic is relevant to the events in Marikana which led to
- 3 the deaths of approximately 44 people, the injury of more
- 4 than 70 persons and the arrest of more than 250 people.

5 We contend, Chairperson, that the request for

discovery is relevant for the following reasons. 1, 6

considering the topics that the HRC wishes to address under

phase 2, under the second and 16th topics, there is an 8

9 overlap between what we request from Lonmin, AMCU and NUM.

10 For example, the HRC's request to Lonmin under page 23 of

11 the bundle, paragraph 4.1, 4.5, 4.6, 4.7 to 11 -

12 CHAIRPERSON: 4.1, 4 point?

13 MR MALINDI: 5, 6, 7 to 11, are matters

14 that both the NUM and AMCU would have concerned themselves

15 with and as Chairperson can see from the paragraphs that

16 have been referred to, it's matters that have a bearing on

17 the social and labour plan of Lonmin. 4.5 employment

18 contracts of all levels of mineworkers, including benefits.

19 4.6 salary scales of all Lonmin employees, with benefits.

4.7 to 4.11 are similarly relevant as they refer to matters

21 of career development of miners, et cetera and other

22 benefits.

These requests, Chairperson, are relevant to 23

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paragraphs 1.1.3 and 1.1.5 of the terms of reference and I

will refer to page 5 where those are captured, page 5 of

Page 7036 it may be relevant, therefore, to know whether the unions'

- approaches to these matters caused any tension, labour
- 3 unrest, disunity among employees, or other harmful conduct,
- 4 and whether Lonmin conducted itself in such a way as to
- 5 contribute to the environment referred to in paragraphs
- 6 1.1.3 and 1.1.5 of the terms of reference. The terms of
- 7 reference require that NUM's conduct be investigated in
- 8 regard to whether it had exercised its best endeavours to
- 9 resolve any dispute or disputes which may have arisen,
- 10 industrial or otherwise, between itself and Lonmin and/or
- 11 AMCU or any other parties. That will appear on paragraph

12 1.4.1 of the terms of reference. It is submitted

13 therefore, Chairperson, that should the HRC find itself

14 able to continue with phase 2, the NUM is required to

15 comply with the request to discover.

Having made these submissions, Chairperson, I am further instructed to indicate that the short time frame that remains before the conclusion of the Commission on 31 May 2013 militates heavily against the HRC's continuation in phase 2 as it originally envisaged. There is currently no ruling by the Commission on whether the topics set out on page 26 to 34 fall within the terms of reference.

23 CHAIRPERSON: Has any of the parties

24 contended that it's not? Has any of the parties contended

that they do not?

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Page 7037 MR MALINDI: There is no party that has 1 so contended. Chairperson. 2 CHAIRPERSON: 3 Then why is the ruling 4 called for? MR MALINDI: 5 Because, Chairperson, the HRC has concerns on the engagement of expert witnesses at 6 7 great expense on public funds if, in the process leading up to the filing of such expert reports there is an objection 8 9 on a topic that the HRC wishes to address and therefore 10 that will be a problem regarding the HRC's endeavours to 11 participate in these proceedings and especially in view of 12 all the endeavours that the HRC has engaged in, 13 Chairperson, to have a lot of these matters clarified 14 before it expends money in pursuit of phase 2 topics. 15 Even if a ruling were made today, the experts that the HRC has approached to testify on matters pertinent 16 17 to corporate social responsibility and in terms of the 18 Mining Charter, have advised that the time frame is 19 insufficient for a full and proper analysis to be 20 undertaken. The second impediment is the one that I have 21 just addressed, Chairperson, in regard to the expenditure 22 that a chapter 9 body cannot justify without a ruling on the topics that are permissible. 23 24 CHAIRPERSON: Again, has there been any suggestion by any of the parties that these topics are not 25

Page 7039 the engagement of experts when there's uncertainty whether 2 these topics will be dealt with -3 CHAIRPERSON: Why is there uncertainty? No-one has suggested these topics aren't relevant, so why 5 is there uncertainty on the matter? MR MALINDI: 6 Because -7 CHAIRPERSON: I could understand if there 8 was a dispute, I could understand you then saying the Commission's got to give a ruling, we don't know which side 10 will be successful in contending either these are topics 11 that are covered or these are not topics that are covered, 12 but absent any dispute of that kind, surely the point 13 doesn't arise? 14 [13:53] MR MALINDI: Chairperson, may I refer to paragraph 7.4 on page 36, after which I will take this point no further and -16 17 CHAIRPERSON: Paragraph -18 MR MALINDI: Page 36 of the bundle. 19 CHAIRPERSON: Yes? 20 MR MALINDI: 7.4 which reads as follows, 21 "If any party seeks any topic but seeks a ruling 22 [inaudible] in the terms of reference [inaudible] if it is 23 held to be within the terms of reference and 2, seek a 24 [inaudible] in this regard from the Commission." Now, Chairperson, I have made the point, I can't take it any

permissible? So why must we come and make a ruling that's 1 not asked for on a matter where everyone seems to agree 2 3 that the topics are covered because no-one has objected? 4 MR MALINDI: Chairperson, the reason that 5 there's been no objection is not necessarily because the parties have no objection to any of the topics, it's 6 7 because the parties have been non-compliant with many of 8 the deadlines that have been set for responding to these 9 matters. 10 CHAIRPERSON: That's a different matter. 11 I can understand the parties suddenly waking up after it 12 was too late and coming and saying, we're sorry, we 13 overlooked this matter, we'd like now to raise the point 14 and we ask you to condone our failure to come in time, but 15 nothing of that's happened either. So what are you 16 expecting us to do? 17 MR MALINDI: Chairperson, we = 18 CHAIRPERSON: You can't rule on an 19 application, whether it's in time or out of time, that's not been brought. You can't give a ruling on a matter 21 which you're not asked to give a ruling on, as I say, timeously or non-timeously, so how does that point help us? 22 MR MALINDI: Chairperson, the HRC has 23

24 itself requested the Commission to rule on these matters

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because it is of concern to it that it cannot proceed with

further, that our hands are held at our backs because we are a public body, we can't justify the engagement of the experts that [inaudible] calling, we [inaudible] in terms 4 of 7.4.1 – addressing these issues because we have got to justify the expenditure before we do so and that is the 6 angle from which the HRC comes from and stating that from 7 November and, in particular, in February, I think it must 8 have been the 21st of February when we were in the 9 Commission's chambers, we sought rulings on various things 10 including discovery by various parties. We also sought 11 rulings on phase 2 issues upon which the Chairperson 12 advised that the matter should be deferred accordingly and 13 in a further in which the HRC was in attendance with the 14 evidence leaders, again it was emphasised that the phase 2 15 issues need to be addressed urgently because there has been 16 no compliance by any of the parties, a situation that has 17 created the hesitancy on our part to proceed with phase 2 18 matters as we end this phase, because of the reasons that I 19 have stated. That situation has persisted -20 CHAIRPERSON: What happened was, the 21 matter was left over for discussions between the parties or evidence leaders in the hope that they could, it could be 23 settled outside the Commission, as it were, by consensus 24 among the parties and my understanding was that there's been a substantial degree of consensus in that regard.

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- 1 There have been a number of meetings, I understand,
- 2 convened by the evidence leaders, which Mr Chaskalson can
- 3 tell us about, if necessary, and what exactly is still
- 4 outstanding according to you? I know there's a complaint
- 5 about which isn't relevant to what you're talking about
- 6 now about statements that you seek from the police.
- 7 That's the subject of a missive that I received on Friday
- 8 but that's not, we're not busy with that now. Anything
- 9 else?

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10 MR MALINDI: Chairperson, if I'm not11 getting across on the impediments to the HRC on the phase 2

12 issues, I will pass that submission which we persist on and

13 - because I have stated the two main impediments and absent

14 a ruling as to whether those topics under topic number 2

15 and topic number 16 are relevant, we are unable to proceed

16 with procuring sufficient funds to engage expert witnesses

17 who must compile reports and make those statements

18 available to the Commission, if I remember well by 22

19 April, and with the reply thereto which takes us into the

20 middle of May and we make those submissions, Chairperson,

21 and the Chairperson will make a ruling as to whether the

22 submission has merit or not.

For these reasons the HRC gives notice that it will not lead expert witness evidence under phase 2. It

will pursue these topics in terms of its mandate as a

Page 7043 sufficiently powerful factor to set the clock ticking in

respect of discovery obligations.

3 MR MALINDI: Chairperson, it's the period

4 that experts would normally regard as relevant for them to

5 conduct a proper study and analysis and I understand, I

6 mean the question there is really whether the period of

7 five years is justified or not and a period short of five

8 years may just be adequate for our expert witnesses to

9 conduct -

10 CHAIRPERSON: It's not easy, though.

11 You're asking for NUM to go to a lot of trouble and

12 presumably it might apply to AMCU as well, I don't know,

13 but NUM must go to a lot of trouble, go into their

14 archives, produce lots of documents – sorry, NUM, sorry,

5 did I say AMCU? NUM must go into their archives, go to a

16 lot of trouble, produce documents that go back five years.

17 Once it's conceded that five years is too long a period,

18 the question arises what is a proper period? And unless

19 the period is defined then NUM will say, well, what must we

20 do? Must we go back five years, four years, three years,

21 what do you want? You can't impose a discovery obligation

22 of a vague nature like that.

23 MR MALINDI: Chairperson, firstly, that

24 has not been the objection of NUM, the period, as I

understand it. It's been a different objection but -

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chapter 9 body. The HRC will play a limited role under

2 phase 2 by presenting its previous report to the Commission

3 on relevant topics and by presenting evidence, evidence of

4 fact, if any. Those are our submissions, Chair.

5 CHAIRPERSON: Thank you.

6 COMMISSIONER HEMRAJ: Mr Malindi, is

there any relevance or particular import that attaches to

8 the five year period because it sounds rather onerous,

9 requesting documents for five years. Is there any

10 particular reason for that period?

11 MR MALINDI: It is –

12 CHAIRPERSON: What happened in 2007 which 13 set the clock ticking in respect of relations between NUM

and Lonmin, because you go back five years, from 2012 you

15 go back to 2007. So why 2007, what happened then, anything

16 in particular?

17 MR MALINDI: Chairperson, as I stand I

18 can't point to anything in particular but it is common

19 cause that the existence of AMCU has been for a period

20 longer than the preceding five years and if I'm mistaken in

21 that regard -

22

CHAIRPERSON: AMCU started in the coal

23 mines. I mean I'm not guite sure when AMCU moved into the

24 platinum belt of the North-West Province, so I'm not sure

25 if the mere fact that AMCU was in existence in 2007 is a

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CHAIRPERSON: Maybe they didn't raise the

objection. You're asking us to make a ruling.

3 MR MALINDI: Yes.

CHAIRPERSON: And if you're asking us to

5 make a ruling that it's five years, you've got to justify

6 it and if it's not five - you concede, well, five years may

7 be too long, it's just an arbitrary figure, then I'll say

8 what period is relevant because - I mean I can't say to

9 NUM, look here, you must give documents which go back over

10 a reasonable period. They say what's a reasonable period,

how can you expect us to comply with an order that's sovague. I have to put that problem to you in the hope of

13 getting an answer from you.

14 COMMISSIONER TOKOTA: Furthermore, you 15 are the one who is bringing an application, so the onus is 16 on you to justify the period which you seek us to rule that

17 NUM should produce those documents.

18 MR MALINDI: Chairperson, in that regard 19 I am instructed that the experts require the five years

20 because they consider it a reasonable period –

21 CHAIRPERSON: But that's not enough. We 22 would have to be satisfied that five years is a reasonable

23 period. You'd have to satisfy us. I mean can you imagine,

24 it's not just going to the archives and taking our five

5 years' worth of files. What would be required would be for

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NUM to go through five years' worth of documents, looking

- 2 for documents that are relevant as opposed to documents
- 3 that are irrelevant. Can you imagine the time and the
- 4 expense that would be involved in that exercise? So if you
- 5 ask us to make a ruling like that, regarding being had to
- the facts that I've mentioned, you've got to justify it. 6
- 7 MR MALINDI: Chairperson, the issues that
- we have addressed you, the Commission, on are matters that 8
- 9 have been with the mining industry or in particular Lonmin
- 10 in this instance, for a long period. For the experts to be
- able to make a proper analysis of say, for example, the 11
- social labour plan, they need to know what it was in 2010, 12
- if it existed -13
- 14 CHAIRPERSON: Who is going to tell them
- 15 that?
- MR MALINDI: Well -16
- CHAIRPERSON: What the social labour plan 17
- 18 is, is a matter that can easily be ascertained from the
- 19 Department of Mineral Resources and from Lonmin. What do
- 20 you expect NUM to tell you about that, that you can't find
- 21 out from these other people?
- 22 MR MALINDI: Chairperson, as we
- 23 indicated, all the issues that are set out there, there's
- 24 an overlap between what Lonmin was doing and what NUM was
- 25 agitating should -

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- initial instructions from our experts who had given us an
- 2 outline of what the scope of their work would be, was the
- 3 requirement of five years which we believed to be
- 4 reasonable but it's a period that -

CHAIRPERSON: But shouldn't you have

- 6 taken instructions? It's a bit late now to talk about
- 7 taking instructions. Once you decide to bring an
- 8 application in respect of which you bore the onus, wasn't
- 9 that the appropriate time for you to take an instruction
- 10 and seek to justify the five year period? You haven't done
- 11 that, so what are you going to do? Do you want a
- 12 postponement or, if you lose this application, do you want
- 13 to go back to your experts and then come with a new
- 14 application? I mean there must be an element of
- responsibility in the way that the proceedings of this 15
- 16 Commission are conduct, regard being had to the fact that
- 17 there's no provision for cost orders or anything of that
- 18 kind. You're seeking to put a heavy onus on NUM, which
- 19 would - I would imagine would involve them in expenditure
- 20 of considerable sums of money to get the information you
- 21 seek. If it's not required, if it's not reasonably
- 22 required then what can they do about it? They can't sue
- 23 you for the cost, surely. I mean one has got to approach
- this in a practical, reasonable fashion, surely. I
 - understand that all matters that are reasonably relevant to

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CHAIRPERSON: I can't understand an

- overlap in respect of the social economic plan. The plan 2
- 3 is the plan. Lonmin and the department would know about
- 4 that. There's nothing extra you can expect from NUM on
- 5 that, surely? An overlap means you've got two things that
- overlap at a certain point but it doesn't mean that if you 6
- 7 get - that there's a total identity of coincidence, as it
- 8 were.

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- 9 MR MALINDI: Chairperson, as I was
- saying, we refer to a number on issues under page 23 of the 10
- bundle and they range from 4.1, 4.5, 4.6, 4.7 up to 4.11 11
- 12 and that is on the Lonmin side of the request. Those are matters that the NUM as a union would have agitated that
- 13
- they happen. NUM and AMCU are in a position to indicate 14
- 15 where there are gaps, if we don't get that information from
- 16 Lonmin, what they have done and what the responses have
- 17 been to themselves by the - by Lonmin.
 - As paragraph 5.2 on page 24 indicates, that we
- 19 seek information especially on housing arrangements by the
- mining house, from NUM and NUM would have had that as an
- 21 issue with the mine as to hostel dwellings, of the hostel,
- of premises, and that is the overlap of this one aspect and
- other aspects that we have alluded to.

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- As to the question of five years being arbitrary,
- we obviously can we can take instructions on that. Our

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- the issues before us have to be investigated. I understand
- there is a great responsibility on us to ensure that we
- give a proper, adequate report on these matters, regard
- being had to the importance of the events which
- precipitated this Commission, but having said that, the
- 6 other factors I have mentioned cannot be ignored.
- 7 MR MALINDI: Chairperson, obviously I
- 8 don't have instructions beyond the fact that the experts
- 9 require information for that period and our request for
- 10 that discovery will then, it appears, stand or fall on this
- 11 aspect alone and it has - this proceeding has been
- 12 characterised as an application by the HRC, whereas the HRC
- 13 complied with the ruling of the Commission that parties
- 14 must make requests for discovery by a set date, which we
- 15 did, and now there's an objection to that -
 - CHAIRPERSON: [Inaudible]
- 17 MR MALINDI: And -
- 18 CHAIRPERSON: - declining to give you the
- discovery because they say you're not entitled to it.
- 20 That's not an objection as = please carry on, you
- 21 understand the point.

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- 22 MR MALINDI: So in the event,
- 23 Chairperson, if this be construed as an application for us
- 24 to compel NUM to make discovery, which it declines, we have
 - made our submissions on relevance and the Commission seems

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disinclined to, if it's inclined to order discovery, to

- order it for the period that we requested and I have made 2
- 3 submissions based on the instructions as we received from
- 4 our experts for a period of five years, which has not been
- 5 one of the grounds of declining the request for discovery
- and we shall therefore stand or fall on our submissions. 6
- 7

MR MALINDI: Thank you, Mr Malindi.

[14:13] CHAIRPERSON: Mr Tip? 8

9 MR TIP SC: Thank you, Chair,

10 Commissioners. Before we adjourned for the lunch break,

11 Chair, you made the observation that this particular

12 application and the response to it and the input from the

13 evidence leaders might well be of interest to parties other

14 than merely the Human Rights Commission and NUM and we 15 would, with respect, endorse that observation. We consider

this to be a significant application and a significant 16

17 objection and a significant hearing because what it brings

18 to focus is the relationship between 20 topics in phase 2

19 and the manner in which they are to be dealt with at a

20 practical level and the manner in which they are to find

21 their way ultimately into the report, conclusions,

22 recommendations of the Commission in respect of the terms

23 of reference viewed as a whole. And I say that in this

24 particular sense, that not one of the 20 topics that has

25 been identified could be described as comprising material vears or six months, because it's ultimately a broad question of relevance under which rubric potentially questions of period, of documentation, may fall for scrutiny.

Now, paragraph 7.1 is, I've said, a welcome addition and I, in discussion with many of my colleagues that is a common view of it. Of course it doesn't say anything that is already not within the legal framework that guides the Commission, which is that ultimately there are terms of reference which prescribe that certain matters must be investigated and that defines, in one way or

another, the parameters of what must be done. To step sideways perhaps for a moment, if one looks at a topic, one of the 20 listed topics, the subject of migrant labour, now that potentially of course is a colossal topic. That is a topic that could engage a dedicated commission of inquiry for years, with the input of a multitude of experts, governments not only from departments here in South Africa but from Lesotho, Mozambique, provincial governments that have a direct interest, the history, the financial implications of any change, what's possible in terms of rearrangement, housing, et cetera. It's a colossal topic, so one can never imagine, with respect, that everything relating to migrant labour should fall for the consideration of this Commission

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- potentially that is of no interest and perhaps not of great
- interest to anybody examining a situation in the mining 2
- 3 environment which has led to difficulties, led to, in this
- 4 case, acute conflict and tragically, a number of deaths.
- 5 But that of course requires it, those topics to be
- 6 funnelled through the process of relevant and we have
- 7 observed with pleasure the introduction of paragraph 7.1 in
- 8 the most recent version of the timetable that the evidence
- 9 leaders have prepared and circulated and 7.1, it's worth
- 10 repeating – I know that my learned friend Mr Malindi has
- 11 already read it out but it's a very important paragraph and
- 12 the pertinent part is that "The topics do not in any way" -
- 13 and that's all 20 of the topics - "do not in any way
- 14 supersede the terms of reference of the Commission, so a
- 15 party is obliged to make discovery of documents in relation
- 16 to a topic only insofar as the topic is relevant to the
- 17 events" and of course the events are then described. And
- 18 that is why this particular argument this afternoon is a
- 19 significant one because it brings into relief the need to
- 20 examine precisely what is entailed with that phrase, "to
- 21 the extent that it is relevant" and that is really where we
- 22 join issue with our learned colleagues for the Human Rights
- Commission and that is why, in our letter of the 15th March,
- 24 we did not confine ourselves to issues around vague
- 25 formulations or a period of five years as opposed to 10

and that it would, in its findings, make a full-on

2 assessment of migrant labour as a topic and have

3 recommendations on that. The Commission would frankly,

with respect, never come to an end because there are 20

topics.

So hence I say it is a matter of looking at any topic and determining what the relevant fineness of the filter is that must be applied. Is it a broad filter or is it a very fine filter? And that is, in our respectful submission, a question of the application of the conventional criteria and of what relevance means in a particular purpose, in a particular hearing and in order to secure a particular result as expeditiously as can be.

So against that - and that is again, Mr Chair, if I may just echo, that is why we imagine that the parties have not been swift to object to topics as a whole. Migrant labour may well play a role and there may be sufficiently distilled material that is relevant for the findings of the Commission that bear on the topic of migrant labour for it to be dealt with but then it must be dealt with in that way.

Now against that, if I may turn to the particular request which NUM has received from the Human Rights Commission and say this also, that we are here in the capacity of an objecting party because this is in fact the

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only request that has come to us from any of the parties 2

here for discovery, so that it was then an opportune - and

3 we hope helpful - step to let us say well, let us test just

what the parameters are of this process. And that is why

we are engaged in the way that we are today.

Now, my learned friend Mr Malindi has tried to identify topics in respect of which the requests that are set out in the letter to us of 10 January of this year

9 falls and he's referred to the lived experience of

10 mineworkers and he's referred to the Mining Charter and

11 he's referred to matters such as career pathing and the

12 like, but what is in fact the scene in that letter and it,

13 again it bears repetition. My learned friend has read it

14 but I want to dwell on the particular content a little

longer perhaps than did he. They say they want the 15

following from NUM, paragraph 1, records of discussions 16

17 relating to disputes over benefits associated with

18 employment at Lonmin over the five years preceding August

19 2012. Now that's the only paragraph – there's a second one

20 which I'll read in a moment, this is the only one that has

21 a period attached to it. I'd read that as arbitrary, my

22 learned friend says well, that's what experts say is a good

23 time and of course if you say two weeks it's too little, if

24 you say 10 years it might be too much, but what is really

25 being asked for here - records of discussions relating to

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disputes over benefits. Now, that is a very, very

substantial request and I may say, Mr Chair, it was no 2

3 exaggeration to say that digging all this sort of material

4 out from archives of NUM, which are not necessarily

5 arranged under the topic headings that we have in this

Commission, is a very substantial task but what is to be 6

7 done with it for the purpose of this Commission? And

8 perhaps that question may best be addressed by a reference

9 to paragraph 2 of this letter addressed to us, which is

10 that the HRC wants their records on format of interaction

11 between the unions and the employer. The format of

12 interaction between the unions and the employer, all

13 records on that. Now, frankly, we're not sure what that

means. We can say, well, there's a recognition agreement 14

15 that says how we interact but of what utility is that? And

it goes on then -16

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CHAIRPERSON: Presumably interactions would presumably be minuted meetings and there are many matters, topics, many topics covered in minutes of meetings or in correspondence between the union and the employer

21 would be totally irrelevant to any approach to the terms of

22 reference of the Commission -

MR TIP SC: It's indefinite. And then

24 the second part of that says this includes – so it doesn't

25 confine that – this includes correspondence as well as

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individual member's complaints regarding benefits,

especially housing. So what we're asked for is again a

vast amount of information but as my learned friend Mr

Malindi said in relation to this, NUM would also be in a

position to say what Lonmin did in respect of a dispute.

6 So what, for this to percolate into some form that would be

7 of value to the Commission in discharging its

8 responsibilities in terms of the terms of reference, would

9 be that it would receive a copious amount of complaints

10 raised by NUM members at Lonmin over an indefinite period

11 now, because this is not even confined to five years.

12 Complaints regarding benefits, especially housing but not

13 limited to housing. Now what does that mean? It means,

14 first of all, that there's got to be some kind of

15 assessment for it to be useful, for it to be processed to a

16 point where any inference can be drawn from it. What was

17 the complaint? Can one say whether it was a bona fide

complaint? Did the branch committee of NUM attend to it?

19 If not, did it go to regional? If not, did it go to

20 national? What attention was given to it? Was it ripened

21 into a dispute that went to the bargaining council? Did it

22 find its way into the Labour Court? Then how was it

23 processed in relation to Lonmin, what was said by NUM about

24 this particular member's complaint about this particular

benefit? And when NUM got the response from Lonmin, was

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that a proper response? All of this would have to be evaluated – and was NUM's reaction to that appropriate? 3

So one really just has a vast amount of information which, in itself, would require a tremendous amount of -

CHAIRPERSON: Did the member concerned later leave the service, the employment of Lonmin, take his complaint with him back to Pondoland or wherever he came from and was there any causal connection between his complaint and the fact that it wasn't dealt with and the events of the 16th of August? I mean -

MR TIP SC: Well, ultimately Chair, absolutely, with respect, because ultimately we come to that week, to that awful week and all of this has somehow to be in a form where it can be of value to the Commission in determining the conduct of the parties set out in the terms of reference in respect of those events. So much as

18 the HRC would be glad to have a very, very broad

19 interpretation of the terms of reference – and it says as

20 much in the submissions that it lodged in November, said

21 this is a unique opportunity for matters to be examined

22 throughout the extractive industry, not just platinum,

23 unions and employers in South Africa. So it's - their view

24 is that really you have an opportunity to examine

everything that is a difficulty in and around mines and,

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more generally, in South Africa. 1

We take a different view. We say that there is a task that the President has given this Commission. Had he wanted an examination, an evaluation, a conclusion making process of the scale that the HRC evidently contemplates, the President would, with respect, never have said you've got four months to complete your work. So in our submission this, with respect, is not a good application, request for discovery, and we would ask that our objection to it be upheld.

CHAIRPERSON: Do any of the other parties wish to say anything before I ask the evidence leaders to give us their submissions? It doesn't seem so. Which of the evidence leaders is going to address on this, is it you, Mr Chaskalson?

MR CHASKALSON: It is, Mr Commissioner. Mr Commissioner, while I propose to deal with this specific application, I would like also to make certain general submissions about the discovery process and the powers of the Commission in relation to the discovery process because, as Mr Tip has pointed out, this application may serve to guide all of the parties, at least as to the position of the evidence leaders in relation to discovery

With that introduction, I propose to deal with

Commission shall, in the Union, have the powers which a

provincial division of the Supreme Court of South Africa has within its province to summon witnesses, to cause an oath or affirmation to be administered to them, to examine them and to call for the production of books, documents and 6 objects." So that's a power of subpoena, essentially.

"The power is a coercive power and it's fortified with criminal sanction, so it must be exercised with precision. In particular the Commission cannot issue an order in open-ended terms because the person on the wrong side of the order must know exactly what is and what isn't expected of him or her because non-compliance might give rise to criminal liability." And we'd refer the Commission in this regard, by analogy, to the judgment in S v Mulder 1980 (1) SA 113 in the old Transvaal Provincial Division, where the court emphasised the need for precision in the terms of reference of the commission of inquiry because coercive powers would be exercised within those terms of reference, a fortiori an order to produce documents must be in precise terms. [14:33] The second aspect of the power is, is it a discretionary power? And we would submit that in exercising its discretion the Commission would weigh up the

relevance and importance of the documents to its enquiry

against the invasion of the private rights of the party who

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four topics. The first is, what are the Commission's

and the powers of the Commission on compulsion.

- powers in relation to compelling discovery or in relation 2
- 3 to discovery? The second is to link these proceedings to
- 4 the discovery timetable that has been issued as a ruling by
- 5 the Commission. The third is to turn to the specific
- 6 application and to make certain submissions on how we
 - submit the Commission should exercise its powers in this
- 8 particular case. And the fourth is briefly to respond to
- 9 the complaint of the SAHRC about the absence of a terms of
- 10 reference ruling in relation to the possible calling of
 - expert evidence.

To start then with the Commission's powers, we submit that the Commission has two separate powers in relation to discovery. The first is the power to compel production of documents, to order a party or a witness to produce documents.

The second is a slightly different power, it's the power to rule that in the absence of production of documents relevant to an issue, a party in control of those documents who refuses to make discovery of the documents will not be permitted to participate in the hearing.

21 22 If I might take those two powers separately, the power to compel flows from section 3 of the Commissions Act 24 and 3.1 states, "For the purposes of ascertaining any

25 matter relating to the subject of its investigations a

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Page 7060 may be compelled to produce documents against its will. So

it's a balancing exercise. It's not a situation of, if a

3 party can show that any document is relevant to an issue

4 within the terms of reference a fortiori automatically the

Commission must issue an order. There is a balancing

6 exercise. One weighs up the invasion of the rights of the

7 party who will have to produce the documents against the

8 relevance of the document that is sought and of course the

9 inquiry is fact specific, because one cannot engage in that

10

balancing exercise without looking at the specific facts.

In the context of this Commission, we would submit that one of the factors relevant in this discretionary inquiry is that the parties have reached an agreement on a discovery process, subject to the constraints of the terms of reference. That's the power of compulsion.

We'd submit that there is a separate power, which is a power to make parties' participation in the Commission conditional on proper discovery. Parties have no automatic right to be here. Their right to participate in this Commission flow from the Commission's duties in respect of procedural fairness and we would submit that the corollary to this is that their participation can be made subject to reasonable conditions relating to fair procedure generally. In particular, if the Commission doesn't want to resort to

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Page 7061

the coercive step of compelling production of documents in 2 a particular case, it can make the continued participation 3 of a party subject to the production of those relevant 4 documents. So those are the two powers.

If we link the present application to the discovery timetable that was agreed, very briefly to run through that timetable, it started at 30 November 2012 where it was agreed at a pre-hearing meeting. The timetable was then subsequently ignored by most parties and had to be extended by the evidence leaders and on 12 March a ruling was issued by the Commission to give effect to the remaining stages of the timetable and that's the document in the bundle from pages 35 to 36.

The ruling took place after certain of the earlier stages of the discovery process had already passed and if I might go back to identify the important provisions of the agreed timetable that preceded the ruling, the first was that the parties would identify topics within the terms of reference that they wanted to address in phase 2. The second was that the evidence leaders would circulate a consolidated list of topics. This was ultimately done on 8 February 2012. The third was that by a specified date, which was ultimately extended to 11 March 2013, parties would address requests to other parties to make discovery on particular topics on the consolidated list. So if there

that discovery and say there are missing documents, I want you to discover this document and the parties requested to make supplementary discovery then had until 3 April to make supplementary discovery.

5 Now, in terms of that timetable, we would submit 6 that the present request is, if anything, premature. It's 7 framed as a section 35(3) request, it's framed as a request 8 for certain specific categories of documents, at any rate, 9 but the time for making supplementary discovery only 10 expires on 3 April 2013. Now, as NUM is willing to engage 11 with the request head-on, I don't suggest that the 12 Commission should refuse to hear it but I would emphasise 13 that ordinarily the Commission will be better placed to 14 adjudicate on section 35(3) or rule paragraph 2 disputes after 3 April because by that stage the Commission will have received all of the discovery and supplementary 17 discovery made by all of the parties and this will put it in a much better position, first of all to know what 19 documents have already been obtained, secondly to identify 20 what other documents are out there and thirdly and most 21 importantly, to assess the importance of obtaining those 22 out there documents in the light of documents that the 23 Commission has already gathered through the discovery 24 process. But as NUM is the parties who is going to be prejudiced by any adverse ruling or any ruling on this

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was a topic on the list in respect of which you wanted discovery, you could ask a party to make discovery.

Now, these first three stages were not included in the ruling issued by the Commission on the 12th of March because they'd already been concluded by the 12th of March. The remaining stages that were included in the ruling are,

for present purposes, the following. The first is that the

8 discovery obligations were obviously subject to the terms

9 of reference and that's paragraph 7 of the ruling which

both Mr Tip and Mr Malindi have addressed, I don't propose 10

to dwell on that, but the first stage of the timetable 11

12 relevant to the current dispute was set out in paragraph 1

13 of the ruling and that was by 18th of March parties had to

make discovery of documents relevant to any topics that 14

15 they proposed to address in phase 2 and to any topics in

16 respect of which they'd been requested to make discovery by

17 other parties. If I might draw an analogy to civil

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18 proceedings, that would be ordinary discovery in terms of

19 Rule 35(2).

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The next stage of the timetable was set out in paragraph 2 of the ruling and it's the equivalent of Rule 35(3) of the Rules of Court. Parties had until 25 March 2013, in other words today, to address requests to other parties for supplementary discovery. Discovery, original discovery has already been made, parties can now peruse

Page 7064 application and NUM is prepared to argue this application, we don't suggest to the Commission that it should be rejected on the basis that it's premature.

If I might turn to the application and the

attitude of the evidence leaders to this application, we would concede that the documents requested are probably relevant to issues that fall within the terms of reference. We say probably because we see them as probably having some relevance to certainly some of the issues in paragraphs 1.1 of the terms of reference to which Mr Malindi referred the Commission, but despite the probable relevance of these documents to some of the issues covered by the terms of reference, we don't suggest that the order should be complied with and we do so for several reasons. First, we submit it's too vague and too broad. We emphasise the need for precision in orders compelling the production of documents and we would submit that certainly paragraph 3.2

17

18 of the request is too vague to support an order for

19 compulsion. We had difficulty understanding what would be

20 and what wouldn't be covered by 3.2. 3.2 is "records on

21 format of interaction between unions and the employer, this

22 includes correspondence as well as individual member's

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23 complaints regarding benefits, especially housing." We

submit that one needs far greater precision for an order

compelling the production of documents.

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If 3.2 is, we submit, too vague, we submit both

- 2 3.1 and 3.2 are too broad. They embrace potentially
- 3 thousands of documents which would put NUM to an extremely
- 4 arduous task to identify and to collate and we would submit
- 5 that the relevance of the documents or the immediately
- apparent relevance of the documents is not of such a nature 6
- 7 to justify a request of this broad nature and the Human
- 8 Rights Commission hasn't set out a case for pressing
- 9 relevance in this application, so we can only go by the

10 apparent relevance of these documents.

Finally, we would submit that if there is to be a request for documents of this nature, we would submit that they are more appropriately requested from Lonmin than from NUM because they appear to be more relevant to passages of the terms of reference specifically dealing with Lonmin. We don't see passages of the terms of reference relating to NUM, to which these documents would be relevant. So we

17 would oppose the application on those grounds. 18

Finally, we must briefly respond to the complaint that there hasn't been a ruling on topics 2 and, I think,

- 21 18 and that this has prejudiced the Human Rights Commission
- 22 in relation to expert evidence. We specifically included
- 23 paragraph 7.4 of the ruling, 7.4 of the ruling that was
- 24 issued on the 12th of March to cover this situation. 7.4
- 25 says that if any party seeks to lead expert evidence on a

Page 7066

topic but seeks a ruling that the topic falls within the

- terms of reference before it incurs the expenditure 2
- 3 necessary to engage an expert, that party must, on or
- 4 before 15 March 2013, 1) formally commit itself to calling
- 5 expert evidence on the topic if it is held to be within the
- 6 terms of reference and 2) seek a terms of reference ruling
- 7 from the Commission. Now, to the best of my knowledge, the
- 8 SA Human Rights Commission never did this, so we don't see
- 9
- how they can now complain that there hasn't been a ruling
- 10 and that they never saw it. Those are our submissions. 11 CHAIRPERSON: Thank you. Mr Malindi,

12 anything you wish to say in reply?

> MR MALINDI: Yes please, Chairperson.

14 Chairperson, ironically the HRC is the only party that has

15 complied with the deadline to request discovery and the NUM

16 also acted within the time frames to register its

- 17 objection. Having listened to my learned friend
- 18 Chaskalson, I would urge the Commission to exercise its
- powers, as he has set out and especially use its discretion
- as set out on page 6 of the bundle before the Commissioners
- 21 in reference to paragraph 5 of the terms of reference,
- 22 which is that the Commission shall, where appropriate,
- refer any matter for prosecution, further investigation or

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- 24 the convening of a separate inquiry to the appropriate law
- 25 enforcement agency, government department or regulator

regarding the conduct of a certain person. And as Mr Tip,

- my learned leader here, has indicated as well, some of the
- topics are very important but cannot be dealt with
- satisfactorily within the compressed time frames that this
- Commission has and to the extent that the Commission is
- 6 inclined to see those topics, all 20 topics which have not
- 7 been objected to as relevant, the Commission can use its
- 8 powers to narrow the requests that are made by the parties
- 9 to each other, as Chaskalson has indicated, to not make a
- 10 ruling on this application pending any further narrowing of
- the request by the HRC on discovery to NUM or any other 11
- 12 party. That will be our submission, Chairperson, because
- if it turns out that the topic is too broad for ventilation 13
- 14 before the Commission, it may be referred as provided by

15 the terms of reference.

> And Chairperson, Mr Fisher was trying to retrieve a document or documents that we have forwarded to the evidence leaders and the Commission regarding our request that there be rulings on these matters, but it seems like we don't have it red lettered.

MR TIP SC: Mr Chairman, if I might

22 assist there because I must withdraw the submission that I

23 made in that regard because a request for a ruling has been

24 drawn to my attention and possibly I can assist there. On

the 11th of March the Human Rights Commission did address a

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- letter to the evidence leaders calling for a ruling,
- indicating that they intended to call expert evidence on
- the following two broad topics, one, business and human
- rights aspects with a particular focus on social and labour
- 5 plans and Lonmin's compliance in this regard and, two,
- 6 environmental management issues and they sought a terms of
- 7 reference ruling from the Commission in this regard. I
- must apologise for suggesting that they hadn't. If they
- persist in that ruling then, in seeking that ruling, we
- 10 will have to -

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11 CHAIRPERSON: I didn't understand that to 12 be what was set down for hearing today.

MR TIP SC: No, no, it's not set down for

13 14 the hearing today.

15 CHAIRPERSON: So it's still there,

16 though, so they can still bring that if they wish. 17 MR TIP SC: I think the evidence leaders

and the Human Rights Commission must just chat about whether that ruling is still sought and, if so, we must arrange a convenient time -

CHAIRPERSON: Yes, yes and it may be that the evidence leaders will agree with the Human Rights Commission that these are topics that are appropriate, in which case it may be unnecessary for a formal application before the Commission but these are matters which can best

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- be dealt with once there has been the discussion you
- 2 suggest between the Commission and the evidence leaders,
- 3 yes.
- 4 MR TIP SC: From my side I must just
- 5 apologise on two counts, the first is for making the
- submission that there had been no application, the second 6
- 7 is for not attending to it in the time period since it's
- been made. 8

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- 9 CHAIRPERSON: Well, you can now attend to
- it. We'll give you an extension of two days to do that. 10
- 11 Mr Malindi, anything further you wish to say?
- 12 MR MALINDI: Nothing further and what's
- the word, thanking my learned friend for, indebted to my 13
- learned friend for assisting -14
- 15 CHAIRPERSON: - to your learned friend.
- I'm sure [inaudible]. We will reserve judgment on this 16
- matter, we'd like to think about it, the points that have 17
- 18 been put to us but we will give our ruling as soon as
- 19 possible. We'll indicate to the parties [inaudible]. We
- 20 understand that [inaudible]. Thank you. I did say the
- 21 Commission, I hoped very much, would be ready to proceed at
- 2 o'clock with the evidence. I suggest that it might be 22
- 23 appropriate, if the reporter from the City Press is here,
- for us all to adjourn to have tea and we'll reassemble 24
- 25 after the tea adjournment to continue with the cross-
 - Page 7070
- 3
- 5 parties had to adhere to and we have been bombarding the

- 7
- 8
- 10 requested, or the inadequacy of their discovery, they may

- 13
- 14 To interpose, as far as I
- 15
- 17 think it was, there are still some statements that you're
- 18 asking for, asking the SAPS for which they haven't given.
- 19
- you. They relate to individuals who were involved in the
- events of the 16th, I think primarily. I understand they

- a stage where a witness is going to come and give evidence
- and those documents are necessary, certainly you can raise
- the matter again and we'll deal with it but I understood Mr
- 4 Semenya to say in your presence that I give you an
- 5 undertaking, and in my presence, that he would see to it
- 6 that as soon as reasonably possible you would get the
- 7 documents you sought. I think that's correct, Mr Semenya,
- 8 is it not?
- 9 MR SEMENYA SC: It is correct, yes.
- 10 CHAIRPERSON: So we can leave that -
- let's go and enjoy our tea with the City Press and then 11
 - come back -

12

25

- 13 **COMMISSION RESUMES** [COMMISSION ADJOURNS
- 14 [15:12] CHAIRPERSON: The Commission resumes.
- National Commissioner, before I remind you you're under
- oath, I would apologise to you. I proved to be a very poor
- prophet as to how long the argument would take and the 17
- application, so you were due to come back here at 2 o'clock
- 19 to continue your evidence. You weren't able to do so, I'm
- 20 sorry that your time has been wasted in this way. You're
- 21 still under oath.
- 22 MANGWASHI VICTORIA PHIYEGA: SILO
- 23 CHAIRPERSON: Mr Madlanga, I take it
- 24 you're to proceed with your cross-examination.
 - CROSS-EXAMINATION BY MR MADLANGA SC (CONTD.):
- examination.

Chairperson, before you

- adjourn may I interrupt you? Chairperson, Mr Chaskalson
- 4 has gone through the various agreements on discovery that
- evidence leaders and the SAPS with our insistence that they 6
- should discover. It's been over some two weeks now that
- the Chairperson indicated that if there's still no
- 9 satisfaction on the part of SAPS to discover as we
- 11 be put on terms, in fact they were to be put on terms
- 12 before the commencement of the SAPS evidence. Chairperson,
- if you permit us we would like -

MR MALINDI:

- CHAIRPERSON:
- understand what you're going to say to me, based on
- something you told me in Mr Semenya's presence last week, I 16

- I understood they're in the process of getting them for
- 22 are going to be given to you. I don't think the evidence
- 23 we're hearing at the moment or the evidence of the next
- 24 witness, for example, are likely to be of such a nature

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25 that you require those documents but certainly if we reach

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- Yes, thank you, Mr Chairman. General, before the lunch
- adjournment I took you to the statement of Lieutenant-
- Colonel Gaffley that indicates that some SAPS members or
- rather SAPS members were shooting from different directions
- and thereafter I took you to, or back to paragraph 45.5
- 6 where it appears that some protesters may have been killed
- 7 when some SAPS members might have been firing in response
- 8 to friendly fire by other SAPS members. Now, I want to
- 9 take you to the statement of Mr de Rover, I hope I'm
- pronouncing the name correctly, it's the lower case d-e and 10 then the surname is R-o-v-e-r. I ask that a copy be placed 11
- 12 in front of you -

13

17

- I think we have to make it CHAIRPERSON:
- 14 an exhibit, do we? FFF11.
- 15 MR MADLANGA SC: Thank you, yes, Mr
- Chairman. Do you have a copy there, General? 16
 - GENERAL PHIYEGA: Yes, I do.
- 18 MR MADLANGA SC: Yes. Now General, if
- you want to you may read perhaps the two paragraphs that
- precede the paragraphs that I want to focus on and the
- 21 paragraphs I want to focus on are paragraphs 81 and 82 of
- 22 that statement. If you've read the paragraphs before that, 23 please indicate then I will read paragraphs 81 and 82 into
- 24 the record. And whilst you are reading let me, for the
- record, indicate that Mr de Rover is the South African

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aware of that?

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Page 7073 Police Service expert on public order policing, are you

3 **GENERAL PHIYEGA:** Yes, I'm aware. 4 MR MADLANGA SC: Please indicate then

5 when I should go ahead and read paragraphs 81 and 82.

GENERAL PHIYEGA: 6 I have read 79 and 80.

7 MR MADLANGA SC: Thank you, thank you, 8

General. Now, paragraph 81 reads, "At the time of scene 1 and immediately thereafter, the problems with the analogue

9

10 radio network conspired to prevent the overall commander to

stay abreast of developments and to call a halt to police 11 12 operations in a bid to regroup and reassess. It virtually

13 goes without saying that SAPS doctrine and experience in

14 crowd management dictate such a decision." Do you see

15 that?

16 Yes, I see. **GENERAL PHIYEGA:**

17 MR MADLANGA SC: And obviously the

18 analogue radio network referred to must be the SAPS

19 analogue radio network, not so?

20 **GENERAL PHIYEGA:** Yes.

21 MR MADLANGA SC: Paragraph 82 reads, "In

22 the absence of a countermanding order, the implementation

23 of the operation at scene 2 went ahead with the on scene

24 commander unaware of the incidents that had just produced

25 at scene 1." Do you see that? commander followed all developments at scene 1, he or she would have halted the operation. Do you still not agree?

2 3 GENERAL PHIYEGA: I said I do not know

4 because the commander is the best person to answer this,

it's not me.

6 MR MADLANGA SC: I'm not asking you about 7 your own knowledge. I am asking you purely based on what

8 the SAPS' own expert is saying, that is what I'm basing

9 this on. It flows from what the SAPS expert himself is

10 saying and he says, he says but for the problems with the

11 analogue radio network which made it impossible or

12 difficult for the overall commander to follow developments

13 at scene 1, the operation would have been halted. Do you

14 agree that that is what this thing says? I'm not asking

you about whether you know for a fact what the commander

would have done. I'm asking you isn't that what paragraph 16

17 81 says?

18 GENERAL PHIYEGA: I'm not sure where we're missing each other. You've asked me, you've read 19

20 that. You've asked me whether I saw it and I said yes. 21 CHAIRPERSON: The question really is

22 whether you understand it and the question is, further

23 question, is what is meant by the phrase "such a decision"

24 at the end of the second sentence of paragraph 81. Now

reading back to the first sentence, it does look as if what

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1 **GENERAL PHIYEGA:** Yes, I do.

2 MR MADLANGA SC: Now let me paraphrase,

3 and I do believe of course that this is quite plain, the

4 language of these two paragraphs is quite plain but just to

5 make sure that we are on the same page I will attempt to

paraphrase. I read this to mean that had the overall 6

7 commander followed all developments at scene 1, he or she

8 would have halted the operation and the 18 people who died

9 as a result of the police shooting at scene 2 would not

10 have died. Or let me just take one step back, let me take

11 one step back. Let me say I read this to mean, had the

12 overall commander followed all developments at scene 1, he

13 or she would have halted the operation, do you accept that?

> GENERAL PHIYEGA: I do not know.

15 Alright. What Mr de MR MADLANGA SC:

16 Rover says does not end there. He says, "It virtually goes

17 without saying that SAPS doctrine and experience in crowd

18 management dictate such a decision," such a decision being

the halting of the operation, do you see that? That is the

20 last sentence of paragraph 81.

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GENERAL PHIYEGA: I see that.

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MR MADLANGA SC: It is on that basis then

that I made the last proposition I made to you, which is

24 that according to what Mr de Rover says and which he says

would have been based on SAPS doctrine, had the overall

Mr de Rover meant was a decision to call a halt to police

operations in a bid to regroup and reassess. That seems to

be the plain meaning of the paragraph, doesn't it?

GENERAL PHIYEGA: 4 And I have answered,

Judge, to say I do not know. The operational person may

answer that well because I'm not qualified to actually

underscore or not underscore that statement.

CHAIRPERSON: Yes, no - well, I'm not

[inaudible] whether you were asked whether you agree with 10

what Mr de Rover says and, as you correctly say, this is a 11 field of expertise which is different from your normal

12

field of expertise. The question is, is that what Mr de

Rover is saying?

14 GENERAL PHIYEGA: I have said yes to that 15 already but I was asked a different question, as I

16 understood. Maybe I was wrong.

> MR MADLANGA SC: National Commissioner, I

18 did not ask you a different question, I asked you exactly

19 the question that Mr Chairman put to you just now. It's 20 not a different question. What I'm asking you for is

21 meaning and right now, after the two or so answers that you

have given I'm confused as to what your answer is. Do you

accept that what this paragraph says is what the Chairman

has just articulated? Do you accept that that is what this

paragraph means? I'm not asking you about commanders, what

last answer she gave.

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    commanders would have decided, meaning that's what my
2
    question is about.
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           GENERAL PHIYEGA:
                                      I understand it even
4
    better in Xhosa. I have read the contents of this
5
    paragraph and I recognise what the contents of the
    paragraph says, yes.
6
7
           MR MADLANGA SC:
                                     And this Mr de Rover
    bases on what he calls SAPS doctrine and he says SAPS
8
9
    doctrine, doctrine and experience - he bases it on SAPS
10
    doctrine and experience and in crowd management and he says
11
    that those, that is doctrine and experience, dictate in
12
    those circumstances a decision that the operation be
    halted, again meaning you accept that?
13
14
           CHAIRPERSON:
                                 It's fair to say that she
15
    accepts that's what Mr de Rover says. I think she makes it
    clear that she can't be heard, she's not in a position to
16
    say whether Mr de Rover is right or wrong.
17
18
           MR MADLANGA SC:
                                     I agree, Mr Chairman,
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    and is why I keep emphasising that all I'm concentrating on
20
    is meaning, meaning the meaning of paragraph 81. Do you
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    accept the last proposition?
22
           GENERAL PHIYEGA:
                                      I must say you, at
23
    times, confuse me. When you say meaning, meaning maybe
24
    differs. I say I read, I see, I understand it as the
25
    English language puts it in this document in 81 and 82.
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2 MR MADLANGA SC: But just to make sure, 3 is your short answer yes, to my last proposition? 4 GENERAL PHIYEGA: My problem is the word you have used "accept." It's got a deeper and stronger 6 meaning. 7 MR MADLANGA SC: I will avoid the use of 8 the word "accept." Does what Mr de Rover is saying mean 9 what I have suggested it means? 10 **GENERAL PHIYEGA:** You've gone back to meaning and you've used meaning before. You confuse me. 11 12 MR MADLANGA SC: What exactly confuses 13 you about meaning? 14 MR SEMENYA SC: Chair, this must 15 constitute the badgering of the witness. 16 CHAIRPERSON: No, he's not -17 MR SEMENYA SC: This must constitute the 18 badgering of the witness, Chair. 19 CHAIRPERSON: Well, some people might 20 argue that it's something that's got two sides to it, but let's not get involved in that. I think the problem is 21 22 that the questions are framed in a way that the witness 23 doesn't fully understand them and she's reluctant to - if 24 she's not quite sure what they mean, she's reluctant to answer yes or no because of the consequences she can't

Page 7078 1 MR MADLANGA SC: Yes, I keep -2 CHAIRPERSON: Mr Madlanga, I think if you 3 say the meaning of Mr de Rover then there won't be a 4 problem. I think I understand why she's reluctant to 5 answer the question in unqualified affirmative but if it's qualified by saying Mr de Rover's meaning, I don't think 6 7 she should have a problem. 8 MR MADLANGA SC: Thank you. May I 9 rephrase the question then and say, to my last proposition, do you accept that that is what Mr de Rover means? 10 11 GENERAL PHIYEGA: Yes, I have read what is written here. 12 13 MR MADLANGA SC: But I'm asking a different question. Do you accept that Mr de Rover means 14 15 what I have suggested he means? 16 CHAIRPERSON: I understood her to say 17 yes, and then she gave her reasons for saying yes, because 18 she's read what's written here. I don't think it - I don't 19 think one dare suggest she's not answering the question. 20 MR MADLANGA SC: I get confused, Mr 21 Chairman, because of the lengthy and qualified responses to very simple questions, I honestly get confused. 22 CHAIRPERSON: I don't think that was a 23

24 lengthy or a qualified response to your question. I really

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think that's a comment that certainly doesn't apply to the

Page 7080 foresee, so I can understand that. That's why I've been trying to help her a bit, but anyway, Mr Madlanga will bear in mind what you've said. I don't think you went quite as far as badgering but maybe you were approaching the borders 5 of badgerdom, so maybe you must move back a bit. 6 MR MADLANGA SC: The last question, 7 Commissioner, was - when Mr de Rover says that SAPS 8 doctrine and experience in crowd management dictate such a decision, does he mean that SAPS doctrine and experience in 10 crowd management dictate that after events like those that 11 had taken place in scene 1 have taken place, there should 12 be a halting or stoppage of the operation -13 GENERAL PHIYEGA: I have -14 MR MADLANGA SC: Is that what Mr de Rover 15 means? I've avoided "acceptance" - yes, I can't avoid 16 using the word "mean." 17 CHAIRPERSON: I think to be fair, she 18 can't say she necessarily knows what Mr de Rover meant. All she can say is that's what he appears to mean. He may have had some mental reservation or may have expressed himself badly but all she can be expected to answer is what he appears to mean on an ordinary meaning of the sentence. 22 23 Perhaps if the question is put that way, the witness 24 shouldn't have a problem. [15:32] MR MADLANGA SC: Thank you, Mr Chairman.

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Page 7081 I've asked her the question a few times, I will not ask the full question again. All I will do is just to add this. 2 3 On your reading of what Mr de Rover says, do you accept the 4 meaning that I've suggested to you? 5 **GENERAL PHIYEGA:** Maybe ask the question again. 6 7 MR MADLANGA SC: Mr de Rover says or refers to the SAPS doctrine and experience in crowd 8 9 management, do you see that? 10 **GENERAL PHIYEGA:** Yes. 11 MR MADLANGA SC: Do you see that he also 12 says that those, that is doctrine and experience in crowd management, dictate the taking of a decision, do you see 14 that? 15 **GENERAL PHIYEGA:** 16 MR MADLANGA SC: Do you also see that if 17 you read "decision" in the last sentence, that must be a 18 reference back to a decision to halt, to call a halt of the 19 operation. 20 **GENERAL PHIYEGA:** So you're reading 21 "decision" with the previous sentence? 22 MR MADLANGA SC: Yes, decision - what I'm 23 suggesting to you is that decision refers back to a 24 decision to call a halt to police operations. 25 GENERAL PHIYEGA: Yes. Page 7082

MR SEMENYA SC: The question calls for 1 conjecture again, Chair. 2 3 GENERAL PHIYEGA: Yes -4 MR SEMENYA SC: Where are we going? 5 CHAIRPERSON: He's entitled to ask her 6 this kind of question to engage in some conjecture but I 7 don't think it should be taken too far and if he takes it 8 much further, I'll stop him. 9 MR MADLANGA SC: Let me ask you this 10 question. Thank you, Mr Chairman. I know that you were 11 new at SAPS at the time but I want to find out what your 12 own thinking is. If, as Mr de Rover says, in such 13 circumstances there would have been a need to regroup and 14 reassess, what do you think the purpose of the regrouping 15 and reassessing would have been? 16 GENERAL PHIYEGA: I sincerely find that a 17 hard question to answer. I would say I do not know. MR MADLANGA SC: Would it not possibly, amongst others, have involved a taking of decisions as to 19 20 whether or not to continue with the operation? 21 GENERAL PHIYEGA: I would like to avoid 22 speculating. 23 CHAIRPERSON: I think these are matters 24 for argument, aren't they? I could understand if the National Commissioner had been on the scene at the time, in

charge of the operations, conjectural questions of this

fact she wasn't there and there is a strong element of

kind might be directly relevant but regard being had to the

conjecture, I'm not sure we are assisted by the answers she

MR MADLANGA SC: I'll go to the next 1 question. Can that decision, that is the decision to call 2 3 a halt to the operation, had that decision been taken do 4 you accept that the killings at scene 2 would not have 5 taken place? CHAIRPERSON: 6 I don't think that's a fair 7 question, Mr Madlanga, because to call a police - to call a 8 halt to police operations in a bid to regroup and reassess. 9 Now, if the police operations had been halted and there was 10 then a regrouping and a reassessment, we do not know what 11 the result of the reassessment would've been. The 12 reassessment might have involved a decision to advance on 13 scene 2 and take certain action which might have led to the 14 death of some of the people. So I'm not sure that the question in the absolute form that you put it, was a fair 15 16 one in the circumstances. 17 MR MADLANGA SC: Let me ask the question differently. Would you accept that if the operation had 18 been halted, the police had regrouped and reassessed, any number of possible decisions could have been taken? One 21 could have been that let's go ahead, let's pursue them to 22 koppie 3. Just like one could well have been that because

gives or her reluctance to give answers. I'm sure there are other matters you can ask her about which do not involve conjecture of this kind. MR MADLANGA SC: But you accept that part of the problem that made it impossible even for the regrouping and reassessing to be done was the problem with the analogue radio network. GENERAL PHIYEGA: I'm sure the commanders would be able to talk to that. I do not know. CHAIRPERSON: May I ask you a question about that? It appears from Mr de Rover's statement that he must have been informed by those who briefed him that the overall commander had a problem in staying abreast of developments because of problems with the analogue radio network. That seems a fair inference, you'd agree with that, I'm sure. No conjecture required. Now that's a fair inference, isn't it? He must have been told that. He didn't take that himself out of the air. Now when you received your various briefings about what had happened on

the night of the 16th, morning of the 17th and thereafter,

were you ever told that the overall commander had problems

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25 may again be a large number of casualties.

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23 we as SAPS have already killed 16 people at scene 1, let us

24 not pursue those that have run to koppie 3 because there

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Just refer me again to

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Page 7085 with the analogue radio network? 2 **GENERAL PHIYEGA:** On the 16th and the 3 17th, Judge, I was not informed of the radio thing. 4 CHAIRPERSON: Were you informed a bit 5 later? GENERAL PHIYEGA: 6 As we were preparing 7 our statements to come to the Commission and many, most of the information was coming, we noted the issue of the 8 9 radio. 10 CHAIRPERSON: Was that at the time of the 11 Potchefstroom conference or meeting? 12 **GENERAL PHIYEGA:** I missed that? 13 CHAIRPERSON: Was that at the time of the 14 Potchefstroom meeting? 15 GENERAL PHIYEGA: I think -CHAIRPERSON: The evidence is that 16 various police generals and others gathered at 17 18 Potchefstroom, I think it was for over a week, and prepared 19 a number of things, particularly exhibit L which is the 20 police presentation. Was it before then that this was, you 21 were told about the analogue radio network problem? 22 GENERAL PHIYEGA: Maybe let me answer 23 this way, Judge. The building of our submission was a 24 progressive process and we continued to pick up input along 25 the process that took the submission to come to yourselves. Page 7086 1

at the time, to have surfaced fairly early on in the development of the, what one can call the police case, but I don't think it's fair to ask you that question. That's something, that's a question the overall commander will 5 have to deal with when he comes there. That's right, isn't 6 it? 7 GENERAL PHIYEGA: Yes, Judge. 8 MR MADLANGA SC: When you say that you 9 read the issue about the problems with the analogue radio 10 network in the submission, are you referring to the SAPS 11 presentation, exhibit L? 12 GENERAL PHIYEGA: I'm hoping that it was 13 mentioned in that, or also in the statements of the members. 14 15 MR MADLANGA SC: Please bear with me. Commissioners. Now, at the risk of sounding, being repetitive but may the Commissioners and you, General, 17 please bear with me because after what may sound repetitive 19 I am leading to a question. From the information that we 20 have received from SAPS and which I've debated with you 21 after the tea break, one believes the following therefrom. 22 Some SAPS members fired shots in response to what may have 23 been "friendly fire" from other SAPS members. Do you 24 accept that? Do you accept that one does glean this from the information that you and I have been debating?

GENERAL PHIYEGA:

1

And I would also say I visited Potchefstroom only once. It is for that reason that maybe it is difficult for me to 2 3 pinpoint the time when the information came but I did note 4 the information in our submission. 5 CHAIRPERSON: You must forgive me for saying I don't like this maybe answer. I'm not being 6 7 unkind, I know it's just a way you express yourself but it 8 doesn't help to say it may be the case or it may not be the 9 case. We're not interested so much in maybe's as in facts 10 but I think it's fair to say, I think what you're saying is you're not sure whether you heard about it before or after 11 12 Potchefstroom and so you can't tell us. Would that be a 13 fair inference, that's what you're saying? 14 GENERAL PHIYEGA: Yes, Judge. 15 CHAIRPERSON: I see. You see, the reason I asked the question is if there was a problem with the 17 analogue radio network, I take it the commanding officer, 18 the overall commander would have known about it at the 19 time. 20 **GENERAL PHIYEGA:** Mm. 21 CHAIRPERSON: It's not something he 22 discovered days or weeks or months later. GENERAL PHIYEGA: Mm. 23

fact, which the overall commander must have been aware of

CHAIRPERSON:

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2 3 CHAIRPERSON: The word "accept" has 4 caused her trouble in the past and I can understand why. 5 I'm sure you can put it in a way which doesn't raise the kind of problems which encourage her to be cautious, for 6 7 which one cannot blame her. 8 MR MADLANGA SC: Is it so - thank you, Mr 9 Chairman – is it so that it does appear from the 10 information that you and I have debated, that some SAPS 11 members fired shots in response to what may have been 12 "friendly fire" from other SAPS members? This is in 13 paragraph 45.5 of the opening statement. 14 MR SEMENYA SC: No Chair, I think my 15 learned colleague should have used the word "may." 16 CHAIRPERSON: They don't say in 45.5 it 17 was friendly fire. They say it may have been friendly fire. They don't know that it was friendly fire, they 18 19 don't know that it wasn't friendly fire, that's why they 20 were hoping ballistic evidence would solve the problem. We 21 will find out in due course if it does but the point Mr Semenya puts is correct, if you can - you've got to use the 22 23 subjunctive "may" when you convey the point that you make. 24 MR MADLANGA SC: Mr Chairman, I only did not use the "may" and I accept what Mr Semenya says in

So I would've expected that

Page 7089 respect of the "fired" at the beginning of this sentence, to fall back and take position behind the vehicles as it was clear the police were firing from different directions 2 but where the Chairman is referring to I actually did use 3 it but I will just again read what I said and then I will 4 insert the "may" that Mr Semenya so correctly says should 4 MR MADLANGA SC: 5 be inserted. Is it so that what paragraph 45.5 says may be 5 read to mean this, some SAPS members may have fired shots 6 6 7 7 in response to what may have been "friendly fire" from GENERAL PHIYEGA: other SAPS members? 8 9 9 GENERAL PHIYEGA: Yes, the statement is saying that. 10 listen to the paraphrase. 10 11 MR MADLANGA SC: And does the statement 11 MR MADLANGA SC: 12 also say that some protesters may have been killed when the 12 13 SAPS members concerned might have been firing in response 14 14 to the "friendly fire" by other SAPS members? 15 15 **GENERAL PHIYEGA: GENERAL PHIYEGA:** I'm not sure is it your paraphrasing. 16 something you are reading or is it an interpretation? 16 17 MR MADLANGA SC: 17 MR MADLANGA SC: I introduced this 18 present debate by saying that on my reading of the material 18 GENERAL PHIYEGA: 19 provided by SAPS, this is what one gleans from the 19 20 material. 20 CHAIRPERSON: 21 CHAIRPERSON: 21 it? I think it's Mr Madlanga's 22 paraphrase. I think it's Mr Madlanga's paraphrase -22 MR MADLANGA SC: 23 **GENERAL PHIYEGA:** Okay, that's different. 23 24 24 CHAIRPERSON: - of the relevant section GENERAL PHIYEGA: 25 25 of paragraph 45.5. Page 7090 MR MADLANGA SC: What is your response or behind the vehicles as it was clear that the police are 1

and members could be caught in cross-fire." Yes, that is where I'm reading from or rather I'm paraphrasing from that paragraph, Mr Chairman. Do you accept my paraphrase? I'm going to ask you to paraphrase again because I just read that state - I didn't have the statement, I read what is written here, now I can According to Lieutenant-Colonel Gaffley, SAPS members were firing shots from different directions and some SAPS members could have been shot by SAPS members in the cross-fire. I'm not able to accept Why not? As I read the statement, it doesn't give me that understanding. How would you paraphrase As the Chairman has just asked, how would you paraphrase it? My understanding of this, I ordered the members to fall back and take position Page 7092

firing from different directions and members could be

caught - could be caught - in cross-fire. And I'm also

2 should I repeat the question? 3 GENERAL PHIYEGA: I think you should. 4 MR MADLANGA SC: Some protesters may have 5 been killed when the SAPS members concerned might have been firing in response to "friendly fire" by other SAPS 6 7 members? 8 GENERAL PHIYEGA: I would go more with 9 the statement as we've put it because I think the paraphrasing makes many, many more issues than what I read 10 11 here. 12 MR MADLANGA SC: I will move on, General. According to Lieutenant-Colonel Gaffley, SAPS members were 13 14 firing shots from different directions and could have shot 15 other police in cross-fire. MR MAHLANGU: 16 If I may just ask, where 17 are we reading from? [15:52] MR MADLANGA SC: 18 Again it's my paraphrase of the information that we have received. I did read to 19 the witness a paragraph or some paragraphs from the 21 statement of Lieutenant-Colonel Gaffley, Mr Mahlangu. 22 MR MAHLANGU: I've got it, I've got the statement. CHAIRPERSON: That's apparent from para

13 at the foot of the second page, "I ordered the members

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seeing, he's talking about shooting from different directions and I'm sure he can talk about that - earlier on he said he looked into the bushes and did not see somebody with the firearms. I'm not even sure whether his statement - he needs to be questioned on that so that your paraphrasing can be put into context. I'm the wrong person to do that. MR MADLANGA SC: You can take time to read the statement again, General. When Lieutenant-Colonel Gaffley is talking about not having identified any person within the crowd that was visible to him with any firearm in his hand, it does not appear to be talking about the police that he says were firing from all directions, including some even firing from behind them - "them" being his unit, the STF. Do you not agree with me? GENERAL PHIYEGA: No, I don't because I don't know who that, any person shooting is and this is why I'm saying I'm the wrong person to try and interpret that any person shooting. It is Gaffley who can say who he means by any person shooting. MR MADLANGA SC: In any event, General, I

do not see why you want to go back to paragraph 11. I am -

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Page 7093
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           CHAIRPERSON:
                                 I think paragraph 12, the
                                                                        of the cross-fire may have emanated from SAPS, you accept
1
    first sentence in para 12. "At this stage I heard gunshots
                                                                    2
                                                                        what I'm putting to you?
2
3
    from the direction of the bushes in front of us but I could
                                                                    3
                                                                               GENERAL PHIYEGA:
                                                                                                          I'm saying you have
4
    not see any person shooting." There were - I think the
                                                                    4
                                                                        used the phrase "part," particularly having listened to, I
                                                                        did not see any person shooting and the police, so the
5
    test is "in the bushes in front" and it would appear that
                                                                        "part" represents a better understanding of what you're
6
    there may well have been policemen as well. So the word
                                                                    6
7
                                                                    7
    "any" as you know is a very wide word, so it could cover
                                                                        saying.
    either protesters or policemen, so. It's now 4 o'clock.
                                                                    8
                                                                               MR MADLANGA SC:
8
                                                                                                         And because he says that
                                                                    9
9
    When you think it's a suitable stage for us to take the
                                                                        he gave an instruction or a command that they should go
10
    adjournment, please let me know.
                                                                   10
                                                                        behind the vehicles to avoid the fire, so effectively he
11
           MR MADLANGA SC:
                                                                   11
                                                                        means that part of that cross-fire which emanated from the
                                     Thank you, Mr Chairman.
                                                                   12
12
    In paragraph 12, the same paragraph that the Chairman read
                                                                        police could have injured the police themselves, do you
13
    from, which is the paragraph before the one that I'm
                                                                        accept that? Do you agree? Do you agree that this is what
                                                                   14
14
    focusing or was focusing on, the following sentence
                                                                        this means?
    appears, second last sentence, "Then the canine members
                                                                   15
                                                                               GENERAL PHIYEGA:
                                                                                                          From what I've read, I
15
    also started firing from behind us into the koppie. I also
                                                                        understand that part of that could have been.
16
                                                                   16
                                                                   17
17
    heard shots from our left and right." And then in
                                                                               CHAIRPERSON:
                                                                                                     I take it one can go
18
    paragraph 13 he says, "At this stage the Scorpion had
                                                                   18
                                                                        further. One could even say all of it might have been
19
    joined us and the members had formed a line in front of the
                                                                   19
                                                                        friendly fire. There's no basis for saying that only part
20
    Casspir, ready to approach the koppie on foot. I ordered
                                                                   20
                                                                        of it may have been friendly fire. Of course it's again
21
    the members to fall back and take position behind the
                                                                   21
                                                                        speculation -
22
    vehicles." You accept that he's - or rather let me avoid
                                                                   22
                                                                               GENERAL PHIYEGA:
                                                                                                          It is
23
    accept - he is referring to SAPS members, is he not?
                                                                   23
                                                                               CHAIRPERSON:
                                                                                                     - we're in the area of
24
           GENERAL PHIYEGA:
                                      Yes.
                                                                   24
                                                                        conjecture, aren't we, but while we're busy conjecturing,
25
           MR MADLANGA SC:
                                                                        we may as well get it right. It was fire. The police,
                                     And he gives the reason
                                                        Page 7094
                                                                                                                           Page 7096
    why he made that order and he says, "as it was clear that
                                                                        according to the statement 45.5, are prepared to accept it
    the police are firing from different directions," do you
                                                                        may, some of it may have been - they didn't say some of it,
2
3
    see that?
                                                                    3
                                                                        they're prepared to accept that they may have been
4
           GENERAL PHIYEGA:
                                      Yes, I read that.
                                                                    4
                                                                        responding to friendly fire. Now in the police statement
5
           MR MADLANGA SC:
                                     - "and members could be
                                                                        it said that there may have been friendly fire.
                                                                    6
                                                                               GENERAL PHIYEGA:
    caught in cross-fire," do you see that?
                                                                                                          Mm-mm.
6
7
           GENERAL PHIYEGA:
                                      Yes, I see that.
                                                                    7
                                                                               CHAIRPERSON:
                                                                                                     The possibility of the fire
                                                                    8
8
           MR MADLANGA SC:
                                     Would you not accept
                                                                        being friendly fire appears to be corroborated by what
9
    that the cross-fire refers also by, or refers also to fire
                                                                        Colonel Gaffley says in the passage that Mr Madlanga put to
10
    by SAPS members?
                                                                   10
                                                                        you. I think that's a fair summary, isn't it, of what
11
           GENERAL PHIYEGA:
                                                                   11
                                                                        we've seen?
                                      I actually read in his
12
    statement that he says the police are firing from different
                                                                   12
                                                                               GENERAL PHIYEGA:
                                                                                                          Judge, I would still
13
    directions. That's what he writes in his statement.
                                                                   13
                                                                        say there are many talks about possibilities and we still
14
           MR MADLANGA SC:
                                     And members, that is
                                                                   14
                                                                        say that that phrase, that very paragraph at the end says
15
    SAPS members, could be caught in cross-fire. What I'm
                                                                   15
                                                                        when all evidence has been presented, then it is a better
    suggesting to you is, whatever the source of the cross-fire
                                                                        point to actually start accepting corroborating and that.
17
    might have been, but he is certainly suggesting that part
                                                                   17
                                                                        At this point in time I think I would be irresponsible to
    of that cross-fire would have been fire from or by the
18
                                                                   18
                                                                        do so.
19
    police, do you accept that?
                                                                   19
                                                                               CHAIRPERSON:
                                                                                                     That answer may be the
20
           GENERAL PHIYEGA:
                                      What is important is
                                                                   20
                                                                        subject of argument at later stage but is this perhaps an
21
    what you've said, part of the cross-fire could have been.
                                                                   21
                                                                        appropriate stage to take the adjournment until tomorrow
22 In that sense I agree.
                                                                   22
                                                                        morning, half past nine?
         MR MADLANGA SC:
                                     You spoke at the same
                                                                   23
                                                                               [COMMISSION ADJOURNED]
23
24 with Mr Mahlangu. What, your answer may not appear in the
                                                                   24
25 transcript. So you say because I have used the word "part"
                                                                   25
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